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#### Article

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### Adenoviral cardiotrophin-1 gene transfer protects *pmn* mice from progressive motor neuronopathy

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#### Introduction

Human motor neuron diseases such as amyotrophic lateral sclerosis (ALS) and spinal muscular atrophy (SMA) result from degeneration of spinal neurons and, in ALS, also cortical motor neurons, leading to severe muscular atrophy. Mutations in the superoxide dismutase 1 (SOD1) gene have been identified in a subset of familial ALS, and deletions or mutations in the survival motor neuron gene are responsible for SMAs (reviewed in refs. 1, 2). Mechanisms of motoneuron degeneration, however, remain incompletely understood. In vitro and in vivo experiments have suggested that motoneuron diseases might benefit from neurotrophic factor administration (3-5). Indeed, brain-derived neurotrophic factor (BDNF), neurotrophin-3 (NT-3), NT-4/5, ciliary neurotrophic factor (CNTF), and glial cell line-derived neurotrophic factor (GDNF) protect motoneurons from acute death induced by peripheral nerve axotomy in neonate rodents (6-10). Furthermore, CNTF, NT-3, and BDNF show protective effects on motoneurons in murine models of inherited progressive motoneuron degeneration such as progressive motor neuronopathy (pmn) (11, 12) and wobbler (13, 14). None of these factors, however, has prevented the onset or halted the course of the disease, which has prompted us to test other factors in motoneuron disease models.

We have chosen cardiotrophin-1 (CT-1), a member of the IL-6 family of cytokines. Like leukemia inhibitory factor (LIF), CNTF, and oncostatin M (OSM), CT-1 signals via the LIFR $\beta$ /gp130 receptor complex (15) and a third specific receptor component (CT-1R $\alpha$ ) (16, 17).

CT-1 was originally identified as a cardiac myocyte hypertrophic factor (18) but shares biological activities of the IL-6 members, i.e., on hematopoietic cells, embryonic stem cells (15, 19), hepatocytes (20, 21), and neuronal cells (15, 22). In particular, CT-1 is a very potent neurotrophic factor for spinal motoneurons in long-term culture and protects neonatal sciatic motoneurons from axotomy-induced cell death in rats (16). Furthermore, CT-1 may be a naturally relevant neurotrophic factor for developing motoneurons, as it is present at high levels in embryonic limb bud in vivo (16, 23).

Here, we have investigated the therapeutic potential of CT-1 in the mouse mutant *pmn*. This mutant is characterized by a prominent axonal degeneration that starts at the endplates of motor nerves and leads to pelvic girdle and hindlimb atrophy, impaired motor performance, and ultimately death (24). Adenoviral vectors represent an interesting means to achieve high and stable delivery of neurotrophic factors in vivo (12, 25–27). In this study, we treated newborn *pmn* mice by intramuscular adenoviral gene transfer of CT-1 and observed an improvement in both functional and histological parameters.

#### Methods

*Construction of the AdCT-1 recombinant adenoviral vector.* Murine CT-1 cDNA was isolated by screening of an adult mouse muscle cDNA library with a PCR probe corresponding to nucleotides 504–785 of the mouse CT-1 cDNA (GenBank accession no. U18366). The CT-1 reading frame was fitted with the 60-bp preNGF leader



Adenovirus-mediated production of biologically active CT-1. Conditioned media from AdCT-1- or AdLacZ-infected human fibroblasts were tested for their trophic activity on cultured motoneurons. The number of surviving motoneurons was determined 48 hours after plating and is represented as a function of the number of infectious particles per fibroblast (PFU/cell) or as a function of recombinant CT-1 protein (rCT-1; inset). Each point represents the mean of duplicate plates.

sequence, the long terminal repeat of Rous sarcoma virus (RSV) and the SV40 polyadenylation signal. This expression cassette was subcloned in a shuttle plasmid in place of the E1 region of the adenoviral genome. The AdCT-1 vector was obtained by a 2-step recombinational cloning in *Escherichia coli*, with the CT-1 shuttle plasmid and a replicon providing the adenoviral genome deleted in regions E1 and E3 (28). Adenoviral vectors were amplified and titered on 293 cells according to standard methods (29). Construction of the AdLacZ vector has been described previously (30).

Cell culture methods and in vitro assays. Human fibroblasts were grown to a density of  $5 \times 10^5$  cells per 25-cm<sup>2</sup> dish. Adenoviral infection was carried out for 1 hour at different moi's, and conditioned media (2 mL) were sampled at from 24–48 hours after infection.

Motoneurons from ventral spinal cords of embryonic day 14.5 Sprague-Dawley rats were isolated essentially as described by Henderson et al. (31). Purified motoneurons were seeded on polyornithine/laminin–coated dishes at a density of 1,000 cells per 16-mm well. Samples (conditioned media, mouse sera, or recombinant murine CT-1 protein; kindly provided by Genentech, San Francisco, California, USA) were distributed in the coated well plates before cell seeding. After 48 hours in culture, motoneuron survival was quantified by counting the number of large phase-bright neurons with long axonal processes (16).

Ciliary ganglion neurons from 8-day-old chicken embryos were isolated and cultured as described by Manthorpe and Varon (32). Their survival was assessed in the presence of mouse sera or recombinant CT-1 protein as detailed previously (33).

Adenoviral vector injection in pmn mice. At 3–5 days of age, homozygous pmn mice, heterozygous Xt/pmn littermates

(34), and normal C57BL/6J mice were anesthetized by hypothermia and injected intramuscularly with 10<sup>7</sup> to 3  $\times$  10<sup>8</sup> plaque-forming units (PFU) of virus in 100 µL of PBS. The adenoviral suspension was injected into the right gastrocnemius (50 µL), the right triceps brachii (25 µL), and the long dorsal muscles of the thoracic trunk (25 µL). Animal experimentation was performed in compliance with institutional guidelines.

Analysis of CT-1 expression in tissues by RT-PCR and Western blot. Gastrocnemius muscles and lumbar spinal cords mice were dissected and flash-frozen in liquid nitrogen. Total RNAs were isolated using the RNA-B reagent (Bioprobe, Montreuil, France) and reverse transcribed with the M-MLV RT (GIBCO BRL, Grand Island, New York, USA). RT-PCR analysis of adenoviral CT-1 transcripts were performed with primers in the RSV promoter (5'ACCATTCACCACATTGGTGT) and in CT-1 cDNA (5'GCACGTATTCCTCCAGAAG). Control RT-PCR reactions were carried out using  $\beta$ -actin primers. Proteins were prepared by homogenization and sonication in 100 mM 20% (wt/vol) potassium phosphate buffer (pH 7.8), 0.2% Triton X-100, and protease inhibitors; centrifugation for 30 minutes at 30,000 g; and collection of supernatants. CT-1 immunoreactive protein was detected on Western blots with a rabbit polyclonal CT-1 antibody raised against recombinant murine CT-1 expressed in E. coli (Covalab, Oullins, France). A total of 150 µg and 50 µg of proteins was loaded on 12% SDS-PAGE to reveal endogenous and adenoviral CT-1 expression, respectively.

Histological examinations. Animals were deeply anesthetized with ether and transcardially perfused with glutaraldehyde. The distal phrenic nerves were postfixed with osmic tetroxide and embedded in epoxy resin. Myelinated fibers were counted in p-phenylenediamine-stained 3-µm-thick cross sections. The terminal motor innervation of thin abdominal muscles was stained by the AChE-silver method (35, 36). Digital images were taken with a ×20 objective, and the distances between last axon branching and endplate were measured with NIH Image (National Institutes of Health, Bethesda, Maryland, USA) and processed with Kaleida-Graph software (Synergy Software, Reading, Pennsylvania, USA). Micrographs were reconstructed from through-focus series by means of the Auto-Montage software (Synoptics Ltd., Cambridge, United Kingdom).

Brains perfused with buffered formaldehyde were embedded in paraffin and 7-µm-thick serial sections of the brain stem were stained with cresyl violet. The nucleoli of facial motoneurons were counted in every fifth section on both sides without correction for split nucleoli (37).

*Electrophysiology*. The mice were lightly anesthetized with Fentanyl citrate fluanisone to suppress voluntary activity and pain reactions to needle insertion. A concentric needle electrode (0.5 mm diameter) with a lead-off area of 0.03 mm<sup>2</sup> was advanced through the lateral abdominal wall to the right diaphragm, and the electromyogram was recorded. Care was taken not to record from intercostal muscles and not to penetrate the diaphragm. For each mouse, 5–15 inspiratory bursts recorded from at least 2 sites were analyzed; duration,



CT-1 gene expression in *pmn* mice. (a) Levels of endogenous CT-1 protein in gastrocnemius muscles of 28-day-old normal (lanes 1–3) and *pmn* (lanes 4–6) mice analyzed by Western blot. (b, c, d, and e) CT-1 expression after AdCT-1 intramuscular injection in neonatal *pmn* mice. (b) The right gastrocnemius muscle and the lumbar spinal cord were analyzed in AdCT-1-injected (lanes 1–3) and AdLacZ-injected (lanes 4–5) mice. Adenoviral CT-1 transcripts were detected by RT-PCR in all right gastrocnemius muscles of AdCT-1-injected mice at day 25, whereas only weak RT-PCR signals were detected in the lumbar spinal cords of 15-day-old treated mice. C+: cDNA from AdCT-1-injected 293 cells; C-: without template; –, without RT; +, with RT. (c) CT-1 overexpression was revealed by Western blot analysis in AdCT-1-injected (+) or noninfected (–) fibroblasts were used as controls. The 2 bands of apparent molecular mass 23 and 29 kDa might reflect precursor and mature forms of CT-1 or differently glycosylated isoforms. Note that endogenous CT-1 protein in untreated *pmn* mice was not detectable under these experimental conditions (50 µg of loaded protein, short exposure time). (d) Sera of AdCT-1- and AdLacZ-injected *pmn* mice were tested for their neurotrophic activity on motoneurons. The number of motoneurons per field (mean ± SEM) was determined and expressed relative to the number of motoneurons surviving in 50 ng/mL CT-1 (100%) and in neurobasal medium (0%). (e) Sera of 25-day-old *pmn* mice and rCT-1 (inset) were tested in a ciliary ganglion (CG8) neuron survival assay. Elevated serum CT-1 concentrations (in trophic units [TU]/mL) were detected in all 5 tested AdCT-1-injected *pmn* mice but in none of the 4 AdLacZ-injected mice. One TU corresponds to the serum dilution that allowed half maximal survival of the neurons.

maximal amplitude, and number of negative spikes of at least 30  $\mu$ V amplitude were determined. The means for each mouse were computed, and differences were tested with Student's *t* test.

Compound action potentials of the calf muscles were elicited by supramaximally stimulating the sciatic nerve at the sciatic notch through noninsulated platinum needle electrodes and were recorded between 2 electrodes placed over the belly of the anterior tibial muscle and at the ankle. Shape and amplitude of the potentials did not change when the recording electrode was moved to the gastrocnemius muscle; this indicated that the recorded potential was the combined extensor and flexor muscle potential. Peak-to-peak amplitudes and the motor latencies were measured. All stimulations and recordings were done with a KEYPOINT electromyograph (Dantec, Copenhagen, Denmark).

*Echocardiographic methods.* Cardiac function and structure in anesthetized mice were studied by 2-dimensional and M-mode echocardiography (Sequoia; Acuson, Mountain Valley, California, USA) using a short-focus 13- to 15-Mhz linear phased-array transducer (15L8; Acuson). The heart was first imaged in the 2-dimensional parasternal long-axis view of the left ventricle (LV); the M-mode cursor was then positioned perpendicular to the septum and posterior wall just below the tip of the mitral valve leaflets. Only M-mode tracings with well-defined continuous interfaces were accepted for linear dimensions measurements. All measurements were made online, at a speed of 200 mm/s from images captured on cineloops, independent of the electrocardiogram (ECG) and using the American Society of Echocardiography leading edge method (38). The investigator (A. Hagege) was blinded to the treatment status of the mice; measurements were averaged on 3–5 consecutive cycles.

#### Results

*Biological activity of CT-1 expressed by adenoviral vector*. We constructed a replication-defective adenoviral vector (AdCT-1) coding for murine CT-1. As CT-1 does not contain a hydrophobic NH<sub>2</sub>-terminal leader sequence characteristic of secreted proteins (18), the CT-1 cDNA was

Electromyography in 35-day-old **a** AdCT-1-treated pmn mice. (a) Individual and mean amplitude of CMAPs and motor latency in the calf muscles of normal, untreated pmn, and ACT-1-treated pmn mice. Data of untreated pmn and AdCT-1-treated pmn mice were significantly different (\*P < 0.05). (**b**) Spontaneous electrical activity in the right diaphragm. Note the differences in shape of the inspiratory burst and the frequency of the high-voltage oscillations between untreated and CT-1-treated pmn mice. Asterisks mark ECG recordings.



placed behind the mouse  $\beta$ NGF leader sequence. To assess the biological activity of this vector, human fibroblasts were infected with AdCT-1 at different moi's, and their conditioned media were tested in a motoneuron survival assay (Figure 1). A dose-dependent increase in motoneuron survival was observed in conditioned media after AdCT-1 but not after AdLacZ infection. At the optimal titer (1,000 PFU/cell), adenoviral production of biologically active CT-1 was estimated to be 140 ng per million cells per 24 hours, as calculated from the dose-response curve established with recombinant CT-1 protein.

*Endogenous CT-1 expression in pmn mice.* It has been hypothesized that a lack of target-derived neurotrophic factors might contribute to or even be responsible for motoneuronal degeneration in ALS (39) and in *pmn* mice (40). However, in gastrocnemius muscles of *pmn* mice and normal littermates, we measured similar amounts of CT-1 mRNA by competitive RT-PCR (data not shown) and CT-1 protein by Western blot (Figure 2a). Because CT-1 mRNA and protein levels are normal in *pmn* mice, the intended adenoviral CT-1 delivery in *pmn* mice can be regarded as a pharmacological treatment.

Intramuscular AdCT-1 gene transfer into pmn mice. Neonatal (3- to 5-day-old) pmn mice were injected with 108 PFU of AdCT-1 into the right gastrocnemius, triceps brachii, and the long muscles of the thoracic trunk. At day 25, adenoviral CT-1 transcripts were detected by RT-PCR in the right gastrocnemius muscles from all treated mice (Figure 2b). Weak RT-PCR signals were also detected in the lumbar spinal cords of treated mice aged 15 days. At day 25, a high level of CT-1 protein was detected in muscle extracts by immunoblotting (Figure 2c). Using the motoneuron survival assay, we also showed that the sera of AdCT-1-treated mice had a serum trophic activity significantly above the background in sera of AdLacZinjected mice (Figure 2d). In the absence of an available ELISA for CT-1, we used a chicken ciliary ganglion neuron survival assay to determine more accurately the circulating CT-1 levels (Figure 2e). A mean of  $137 \pm 31$  trophic U/mL serum was measured in 25-day-old AdCT-1-injected *pmn* mice, whereas no circulating CT-1 bioac-tivities could be detected in AdLacZ-injected *pmn* mice.

Improved motor functions in CT-1 treated pmn mice. AdCT-1 did not delay the usual onset of muscle weakness and wasting around day 15, but progression was slower than in untreated *pmn* mice. To assess the innervation of the early affected hindlimb muscles, we recorded the amplitude of the evoked motor response (compound muscle action potential [CMAP]) of the calf muscles after supramaximal electrical stimulation of the sciatic nerve (Figure 3a). At 35 days of age, the amplitude of the CMAP in untreated *pmn* mice was  $13 \pm 2$  mV (*n* = 5), corresponding to only 20% of the normal (58  $\pm$  4 mV; *n* = 4). After AdCT-1 treatment, the evoked response in *pmn* mice was almost twice as large  $(23 \pm 1 \text{ mV}; n = 7; P < 0.01)$ . Also, the motor latency was shorter in AdCT-1-treated  $(1.4 \pm 0.08 \text{ ms}; n = 7)$  than in untreated *pmn* mice  $(1.7 \pm 1.01)$ 0.03 ms; n = 5; P < 0.05). In normal mice of the same age, the latency was  $1.1 \pm 0.04$  ms.

Because *pmn* mice die from respiratory insufficiency with acidosis and hypercapnia, we investigated the electromyogram of the diaphragm. The number and the mean amplitude of the positive-negative deflections (turns) are indicators of the number of motor unit potentials during an inspiratory burst and of the size of the motor units recruited. Representative recordings from 35-day-old mice are shown in Figure 3b. The inspiratory bursts in normal mice consisted of regular and high-frequency spikes that were irregular and less frequent in untreated *pmn* mice owing to ongoing denervation of the motor units. Although the number of negative spikes increased in AdCT-1-treated mice (29  $\pm$  3.3 turns vs. 17  $\pm$ 3.4 in AdLacZ-injected mice; P = 0.03), their amplitudes  $(580 \pm 130 \,\mu\text{V} \text{ and } 520 \pm 211, \text{ respectively})$  did not differ. This suggests that after CT-1 treatment, either more motor units were recruited during the inspiratory phase

or they discharged at a higher frequency, whereas the size of the motor units had not increased by collateral reinnervation. Table 1

Terminal innervation pattern in AdCT-1-treated pmn mice. To assess whether CT-1 improved neuromuscular functions by delaying nerve degeneration rather than by inducing collateral reinnervation, we examined the terminal motor innervation pattern in CT-1-treated mice by using the acetylcholinesterase-silver method. Terminal axons in abdominal muscles of normal mice usually supplied 1 motor endplate, and most of them ran without branching for 100–400 µm (Figure 4, a and e). Number of phrenic myelinated axons and facial motoneurons in pmn mice after AdCT-1 treatment (mean ± SEM)

Group		Phrenic axons (day 25)	Facial motoneurons (day 35)
Normal mice Untreated <i>pmn</i> mice AdLacZ-injected <i>pmn</i> mice AdCT-1-treated <i>pmn</i> mice	10 <sup>8</sup> PFU 5 × 10 <sup>7</sup> PFU 10 <sup>7</sup> PFU	$263 \pm 8 (n = 4)  122 \pm 13 (n = 8)  120 \pm 11 (n = 6)  197 \pm 12 (n = 12)^{A}  144 \pm 15 (n = 9)  134 \pm 12 (n = 6)$	3,132 ± 94 (n = 3) 2,193 ± 64 (n = 3) ND 2,587 ± 45 (n = 4) <sup>B</sup> ND ND

<sup>A,B</sup>Student's *t* test: *P* < 0.001 and *P* = 0.01, respectively. ND, not determined.

They were shorter and often interrupted in untreated *pmn* mice, and many endplates lacked an axon, i.e., were denervated (Figure 4, b and e). Nodal and preterminal sprouts were frequent but usually thin and blind ending. In contrast, muscles of AdCT-1-treated *pmn* mice (Figure 4, c-e) contained few denervated endplates. Instances of obvious reinnervation by terminal, preterminal, or nodal sprouts (Figure 4c) were rare, which is in agreement with the electrophysiology of the diaphragm. Terminal axons were longer after CT-1 treatment than in untreated *pmn* but shorter than in normal mice (Figure 4e).

Protective effects of CT-1 against degeneration of phrenic nerve axons and facial motoneurons. The phrenic nerve is severely degenerated in *pmn* mice with pronounced loss of myelinated fibers (24). After CT-1 treatment, the nerve looked surprisingly intact (Figure 5). At day 25, the phrenic nerves of AdCT-1-treated *pmn* mice contained 50% more myelinated fibers than control *pmn* mice (P < 0.0001; Table 1). Even at 45 days, when most untreated *pmn* mice had already died, the fiber number in treated mice was still relatively high (160 ± 8 axons; n = 10). This effect of CT-1 was dose-dependent (Table 1).

We also examined whether CT-1 is able to protect motoneuron cell bodies. As reported previously (11, 41), the facial nucleus of 4- to 6-week-old *pmn* mice shows significant degeneration and loss of motoneurons. We performed serial sections from the brain stem of 35-dayold mice and showed that the number of facial



#### Figure 4

Terminal innervation of abdominal muscles of 4-week-old mice, stained with the AchE-silver method. (**a**) Normal mouse (Xt/pmn). Bundles of terminal axons originating from intramuscular nerve branches supply individual endplates. These axons run without branching for up to 400 µm. (**b**) pmn mouse, untreated. A nerve branch contains few intact, possibly afferent fibers and remnants of degenerated axons. Many endplates are devoid of terminal axons. Between these endplates are numerous fine axonal sprouts that, however, are not visible at this magnification. (**c** and **d**) pmn mice, AdCT-1-treated. The nerve branch in **c** appears well preserved, and all endplates are supplied by relatively long terminal axons. Rare examples of unequivocal sprouting are marked in both micrographs (arrows). A muscle spindle is visible in **c** (open arrow). Calibration bars: 100 µm for **a**-**c**, 50 µm for **d**. (**e**) Histograms of the lengths of terminal axons of individual endplates without terminal axon) were recorded as zero. Note large number of denervated endplates in untreated pmn as compared with CT-1-treated pmn and normal mice.



Light micrographs of phrenic nerves from 25-day-old (**a**, **b**, and **c**) and 45-day-old (**d**) mice. (**a**) Untreated *pmn*; (**b**) normal littermate; (**c** and **d**) AdCT-1-treated *pmn*. Note the well-preserved nerve of the AdCT-1-treated mice at day 25 and still at day 45 compared with that of the 25-day-old untreated *pmn* mouse. Scale bar: 50  $\mu$ m.

motoneurons was significantly increased in AdCT-1-treated *pmn* mice compared with untreated *pmn* mice (Figure 6 and Table 1).

Improved survival of CT-1 treated pmn mice. Altogether, the neuroprotective effects of the AdCT-1 injection resulted in an improved survival (Figure 7a). The AdCT-1-treated pmn mice survived for up to 67 days, with a mean of 48.2  $\pm$  1.6 days, which corresponds to an 18% increase in mean life-span when compared with AdLacZ-injected pmn mice (40.8  $\pm$  1.6 days; log rank *P* = 0.0012). At day 50, 44% of AdCT-1-treated pmn mice were still alive in comparison to only 10% of AdLacZ-injected pmn mice.

We also asked whether the survival of *pmn* mice might be further prolonged by higher AdCT-1 doses or by its combination with other neurotrophic factors. A higher dose of AdCT-1 ( $3 \times 10^8$  PFU/animal) failed to improve the mean survival and induced a significant decrease in weight gain (Figure 7b). Coinjection of AdCT-1 with 10<sup>9</sup> PFU of an ade-

#### Table 2

Cardiac functions in CT-1-treated C57BL/6J mice

Group	Control	CT-1
HR (bmp)	482 ± 16	474 ± 10
LVDD (mm)	3.2 ± 1.3	$3.4 \pm 0.9$
LVSD (mm)	1.7 ± 1.8	2.1± 1.3
LVDV (mm <sup>3</sup> )	34.6 ± 4	$40.4 \pm 3$
LVSV (mm <sup>3</sup> )	5.8 ± 2	9.3 ± 2
Septal thickness (mm)	$0.63 \pm 0.02$	$0.53 \pm 0.03$
Posterior wall thickness (mm)	0.57 ± 0.01	$0.52 \pm 0.04$
FS (%)	46.6 ± 3	39.6 ± 3
EF (%)	84.2 ± 2.8	77.2 ± 3.2

<sup>A</sup>Student's *t* test: *P* = 0.04. Animal number was 4 in the control group and 6 in the CT-1 group. HR, heart rate; LVDD, maximal LV dimension in diastole; LVSD, minimal LV dimension in systole; LVDV, LV diastolic volume; LVSV, LV systolic volume; FS, fractional shortening calculated as (LVDD – LVSD)/LVDD; EF, ejection fraction.

noviral vector coding for GDNF (kindly provided by P. Horellou and J. Mallet, Paris, France) also did not result in prolonged survival (mean:  $49.3 \pm 2.4$  days; n = 12).

These limitations prompted us to examine potential side effects of CT-1 that might have counterbalanced its neuroprotective effects. Given that injection of recombinant CT-1 protein can induce cardiotoxic effects in mouse (19), we investigated cardiac function by ECG methods. In this experiment, normal C57BL/6J mice rather than *pmn* mice were studied because the small size of *pmn* mice precluded the use of the echographic probe. Neonate C57BL/6J mice were treated with the AdCT-1 vector as described previously and analyzed at 50 days of age. In AdCT-1-injected mice, LV volumes tended to be higher, whereas myocardium thickness was significantly lower, than in untreated mice (Table 2). Furthermore, the LV contractility (fractional shortening and ejection fraction) tended to be reduced after AdCT-1 treatment, but these differences did not reach statistical significance.

#### Discussion

CT-1, one of the most recently discovered neurotrophic factors, has demonstrated potent survival-promoting activities on motoneurons in vitro and after axotomy (16, 42). Our study is, to our knowledge, the first direct demonstration that CT-1, when delivered in vivo, has a relevant neurotrophic action in an animal model of motoneuron disease. After intramuscular gene transfer of an adenoviral vector encoding CT-1 into neonate pmn mice, we measured high amounts of recombinant CT-1 mRNA and protein in the injected muscles and elevated CT-1 bioactivities in serum. Adenoviral CT-1 expression was also detected by RT-PCR in the spinal cord, in line with the previously reported retrograde transport of adenovirus in  $\alpha$ -motoneurons (27, 43, 44). The levels of adenoviral CT-1 mRNAs in the lumbar spinal cords, however, were low, probably owing to the perturbation of axonal transport in pmn mice (45). We therefore assume that the therapeutic benefit of CT-1 in *pmn* mice was mostly due to its systemic release from the infected muscles into the bloodstream.

Motoneurons in untreated *pmn* mice degenerate in a dying back process that first affects nerve terminals, axons, and only later their cytons. Motoneuron cytons have been shown to degenerate in the facial nucleus (11) but are preserved in the anterior horn of the lumbar spinal cord until late ages (12, 24). In this study, we report CT-1 actions in different territories and at various levels of the motoneuron. The AdCT-1 treatment rescued 42% of the facial motoneurons, suggesting that peripheral CT-1 can efficiently reach and protect these neurons in the facial nucleus of pmn mice like CNTF and GDNF (11, 41, 46). The denervation of the diaphragm presumably determines the course of the disease in pmn mice. Here, CT-1 reduced by 56% the loss of myelinated fibers in the phrenic nerve of 25-day-old pmn mice, which represents the best result ever obtained in this mutant. Strong CT-1 neuroprotective effects were also seen at later ages, in line with the described long-term effects of CT-1 on spinal motoneurons in culture or after axotomy (16). Muscles innervated by the sciatic nerve are early affected by pmn. After CT-1 treatment, more muscle fibers retained their



Light micrographs of facial nucleus of 35-day-old normal ( $\mathbf{a}$  and  $\mathbf{b}$ ), untreated *pmn* ( $\mathbf{c}$  and  $\mathbf{d}$ ), and AdCT-1-treated *pmn* ( $\mathbf{e}$  and  $\mathbf{f}$ ) mice. In untreated *pmn* mice, the number of facial motoneurons was significantly decreased, and many of them showed extensive chromatolysis. Motoneurons were more numerous in the facial nucleus of AdCT-1-treated mice compared with untreated *pmn* mice (see also Table 1). Scale bar: 100 µm ( $\mathbf{a}$ ,  $\mathbf{c}$ , and  $\mathbf{e}$ ), and 50 µm ( $\mathbf{b}$ ,  $\mathbf{d}$ , and  $\mathbf{f}$ ).

innervation, allowing a slower decrease in the evoked action potential of the calf than in untreated *pmn* mice. Furthermore, nerve conduction velocity or neuromuscular transmission, or both, were improved, as evidenced by shorter latencies. Finally, the electrophysiological analysis of the inspiratory activity of the diaphragm provided evidence that CT-1 prevented degeneration and loss of motor units rather than that it promoted collateral reinnervation. This assumption was clearly strengthened by the near-normal appearance of the terminal innervation of abdominal muscles as compared with untreated *pmn* mice. Nevertheless, the terminal axons were shorter in CT-1-treated *pmn* mice than in normal mice. This might result from either preferential loss of the longest axons or successful nodal sprouting.

Despite these remarkable neuroprotective effects of CT-1, the life-span of AdCT-1-treated *pmn* mice was only modestly improved, by a mean of 18%. This finding was particularly intriguing given the fact that the administration of adenoviral vectors coding for NT-3 or CNTF, while reducing the loss of phrenic myelinated fibers by about 30%, resulted in, respectively, a 50% and 28% increase in the animals' survival (12, 33). Different explanations that are not mutually exclusive might account for this apparent discrepancy. First, it is obviously very difficult to compare the intrinsic efficacy of different neurotrophic factors after adenovirus-mediated delivery in vivo because the blood concentrations may vary. On the other hand, one might argue that the serum CT-1 bioactivities reported in this study

Survival and weight gain of *pmn* mice after AdCT-1 gene transfer. (**a**) Survival of AdCT-1-treated mice (filled triangles; n = 18) is significantly improved (log rank test, P = 0.0012) compared with AdLacZ-injected mice (filled squares; n = 20). (**b**) A dose-dependent reduction in weight gain was observed in AdCT-1-injected *pmn* mice (filled triangles, 10<sup>8</sup> PFU; open triangles,  $3 \times 10^8$  PFU) compared with AdLacZ-injected mice (filled squares,  $10^8$  PFU).



were in the same range as those of the previously tested cytokine CNTF (33). Second, CT-1 alone might not be sufficient to save a motoneuron subpopulation affected in *pmn* mice that might be critical for their survival. We therefore tested the coadministration of CT-1 with GDNF, a neurotrophic factor belonging to the TGFb superfamily that can synergize with CT-1 to promote the survival of motoneurons in culture (42). The combined injection of both AdCT-1 and AdGDNF vectors, however, did not improve the life-span of pmn mice. In the future, combinations of CT-1 with neurotrophins such as NT-3 and NT-4/5 or IGF-1, which can all efficiently promote sprouting (47-49), might be more promising. Third, CT-1 side effects might have been induced by the AdCT-1 treatment. Indeed, we observed a reduction in weight gain and a dilatation of the left cardiac ventricle in AdCT-1-treated animals. Weight loss, proinflammatory responses, and induction of acute-phase proteins can be caused by several cytokines and have been extensively described after CNTF injection (21, 50-52). The stigmates of dilated cardiopathies that we observed in AdCT-1-treated normal mice are consistent with the morphological changes of cardiomyocytes cultured in the presence of CT-1 (53) and support the assumption that the neuroprotective effects of CT-1 in *pmn* mice might have been counterbalanced by its systemic toxicity. Thus, we propose that the therapeutic index of CT-1 might be increased by a more targeted delivery into the vicinity of motoneurons.

In conclusion, when delivered by adenoviral gene transfer into muscle of *pmn* mice, CT-1 was a very potent factor in preventing motoneuron cell death and long-term motor axonal degeneration. CT-1 inhibited the prominent muscle denervation observed in *pmn* mice and improved neuromuscular functions. Because CT-1 is a cytokine with actions in various tissues, its therapeutic index in motoneuronal degeneration syndromes might be increased by targeting its actions to the motor unit or by its combination with other factors.

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