**Introduction**

Heart failure is a major cause of death and disability worldwide. In the United States, it is the only form of cardiovascular disease increasing in incidence and prevalence, with nearly 400,000 new cases annually (1). Although targeting the neurohormonal axis in heart failure with β-adrenergic receptor blockers and angiotensin-converting-enzyme inhibitors has improved its overall prognosis, heart failure continues to have an overall 5-year mortality rate of approximately 50% (2). Hence, novel therapeutic targets are needed in this syndrome.

Altered cardiomyocyte Ca^2+ cycling is widely recognized as contributing to impaired contractile performance in human and experimental heart failure, including idiopathic dilated cardiomyopathy (3, 4). Coordinated regulation of cytosolic Ca^2+ by the sarcoplasmic reticulum (SR) of myocytes is required during each cycle of cardiac contraction and relaxation. Cytosolic Ca^2+ is sequestered into the SR lumen by cardiac SR Ca^2+-ATPase (SERCA2a), permitting muscle relaxation; subsequently, the stored Ca^2+ is released through ryanodine receptor channels to activate myofilament contraction (5). The activity of SERCA2a is reversibly regulated by phospholamban (PLN), a 52-amino-acid phosphoprotein (6). Dephosphorylated PLN interacts with SERCA2a and inhibits Ca^2+ pump activity, whereas protein kinase A phosphorylation of PLN through the β-adrenergic pathway relieves the inhibition (7).

**Human phospholamban null results in lethal dilated cardiomyopathy revealing a critical difference between mouse and human**

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In human disease and experimental animal models, depressed Ca^2+ handling in failing cardiomyocytes is widely attributed to impaired sarcoplasmic reticulum (SR) function. In mice, disruption of the PLN gene encoding phospholamban (PLN) or expression of dominant-negative PLN mutants enhances SR and cardiac function, but effects of PLN mutations in humans are unknown. Here, a T116G point mutation, substituting a termination codon for Leu-39 (L39stop), was identified in two families with hereditary heart failure. The heterozygous individuals exhibited hypertrophy without diminished contractile performance. Strikingly, both individuals homozygous for L39stop developed dilated cardiomyopathy and heart failure, requiring cardiac transplantation at ages 16 and 27. An over 50% reduction in PLN mRNA and no detectable PLN protein were noted in one explanted heart. The expression of recombinant PLN-L39stop in human embryonic kidney (HEK) 293 cells and adult rat cardiomyocytes showed no PLN inhibition of SR Ca^2+-ATPase and the virtual absence of stable PLN expression; where PLN was expressed, it was misrouted to the cytosol or plasma membrane. These findings describe a naturally-occurring loss-of-function human PLN mutation (PLN null). In contrast to reported benefits of PLN ablation in mouse heart failure, humans lacking PLN develop lethal dilated cardiomyopathy.

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its inhibitory effects and augments relaxation (6). Experimental data obtained in human and animal heart failure have demonstrated associations between increased PLN inhibition of SR Ca\(^{2+}\) sequestration — that is, impaired Ca\(^{2+}\) reuptake — and contractile dysfunction, suggesting a causal role for altered Ca\(^{2+}\) cycling in the development or progression of heart failure (7–9). Consistent with this notion, reversal of PLN inhibitory activity by in vivo cardiac adenoviral gene delivery improves cardiac function in the cardiomyopathic hamster (10), and mice deficient in PLN demonstrate enhanced Ca\(^{2+}\) reuptake, increased Ca\(^{2+}\)-transient amplitude, and improved contractility (11–13). In the PLN-null mouse, supernormal cardiac function is a permanent feature that does not, over time, compromise exercise endurance or abbreviate life span (13, 14). In human hearts, however, chronic cardiac hypercontractility has been implicated as a cause of dilated cardiomyopathy (15, 16).

Since a genetic basis for “idiopathic” dilated cardiomyopathy has been established by pedigree studies showing that up to 30% of cases have a heritable basis (17), we sought herein to identify naturally occurring mutation(s) in the human PLN gene that could perturb cardiac Ca\(^{2+}\) cycling and, therefore, contribute to dilated cardiomyopathy. A truncation mutation was identified that resulted in dramatically diminished myocardial PLN protein content and consequent loss of PLN inhibition of SERCA2a, and in homozygous individuals was associated with development of heart failure and early mortality. These findings demonstrate that in contrast to mice in which phospholamban deficiency enhances myocardial inotropy and lusitropy without adverse effects, PLN is essential for cardiac health in humans, and its absence results in lethal heart failure.

**Methods**

*Mutation identification and *Tru*91 restriction endonuclease screening.* The protocol was approved by the institutional review board of the Onassis Cardiac Surgery Center or the University of Cincinnati College of Medicine, and the subjects provided written informed consent. Genomic DNA was isolated either from whole blood or from paraffin blocks containing heart tissue. The PLN gene consists of two exons, and the coding region is totally contained in exon 2 (18). A 348-bp fragment containing the entire PLN coding region in exon 2 was amplified by PCR, using 60 ng of genomic DNA and a high-fidelity Taq polymerase. The primers were sense 5′-TCTCATATTGTGGCTG-3′ and antisense 5′-ATGTTTCTCGTTCG-3′ tagged with M13 forward and reverse primer sequences, respectively. The conditions were as follows: one cycle at 94°C for 3 minutes, linked to 30 cycles at 94°C for 1 minute, 46°C for 1 minute, and 72°C for 1 minute, followed by one cycle at 94°C for 1 minute, 53°C for 1 minute, and 72°C for 10 minutes. PLN DNA was sequenced using automated dye-primer chemistry. The generated sequences were compared with the reported human PLN sequences by a computational method, and the electropherograms were inspected individually for confirmation. The mutation results in a loss of a *Tru*91 restriction endonuclease site. Thus, for rapid screening, the PCR products were digested with *Tru*91, which provided four fragments of 147, 87, 61, and 53 bp from wild-type templates and three fragments of 234, 61, and 53 bp from T116G homozygotes after 2% agarose gel electrophoresis. Nevertheless, the PLN DNA from all subjects in kindreds I and II was sequenced as well.

**Echocardiography.** Comprehensive 2D and Doppler echocardiography was performed (19). Left ventricular dimension (interventricular septal thickness [IVS], anterior wall thickness [AW], posterior wall thickness [PW], and left ventricular end systolic diameter [LVESD] and diastolic diameter [LVEDD]) was measured with M-mode echocardiography by using the leading edge–to–leading edge convention. Left ventricular mass (LVM) was determined by using the Troy formula: LVM (g) = 1.05 [(LVEDD + IVS + AW + PW)3 – LVEDD3] (19).

**Ca\(^{2+}\) transport and immunofluorescence in HEK-293 cells.** Mutagenesis of PLN cDNA was carried out as described previously (20, 21). Wild-type and mutant PLN cDNAs were ligated into the *Xba*I and *Sal*I sites of the pMT2 expression vector for amplification, and plasmid DNA was purified using Qiagen columns. PLN and SERCA2a cDNA in pMT2 vectors was cotransfected (8 µg of each cDNA per dish, 1:1 ratio) into human embryonic kidney (HEK) 293 cells using the Ca\(^{2+}\)–phosphate precipitation method. Cells were harvested 24 or 48 hours after transfection, and microsomes were prepared and assayed for Ca\(^{2+}\) transport activity or immunoblotting with the PLN monoclonal antibody ID11 (gift from Robert Johnson, Merck Research Laboratories, Whitehouse Station, New Jersey, USA) (21).

For immunofluorescence experiments, HEK-293 cells were grown on 18 × 18 mm glass coverslips and transfected with 400 ng of PLN cDNA per 35-mm plate using Superfect (Qiagen, Mississauga, Ontario, Canada). At 24–48 hours after transfection, cells were fixed and processed for immunofluorescence using the PLN antibody ID11 and a fluorescein isothiocyanate-conjugated secondary antibody (Jackson ImmunoResearch Laboratories Inc., West Grove, Pennsylvania, USA). Images were collected using a Leica DM IRBE inverted microscope equipped with a Leica TCS SP laser scanning confocal system (Leica Microsystems Inc., Richmond Hill, Ontario, Canada).

**PLN gene expression.** Northern blots of total cardiac RNA (20 µg) from the explanted heart of proband III-4 were probed with the radiolabeled 60-mer oligonucleotide, antisense to the human PLN coding region. The probed blots were stained with methylene blue to demonstrate RNA integrity and loading.
Recombinant adenovirus. The recombinant adenovirus construction protocol was described previously (22). Briefly, the mutant L39stop cDNA was cloned into the shuttle vector pAdTrack-CMV (gift from Roger J. Hajjar, Harvard Medical School, Boston, Massachusetts, USA), linearized with restriction endonuclease Pmel, and cotransfected into E. coli BJ5183 cells (Stratagene, La Jolla, California, USA) with the adenoviral backbone plasmid pAdEasy-1 (gift from Bert Vogelstein, The Johns Hopkins Oncology Center, Baltimore, Maryland, USA). The recombinants were verified by restriction endonuclease mapping with PacI. The linearized recombinant plasmid was transfected into an adenovirus packaging cell line (HEK-293 cells) using Lipofectamine 2000 (Invitrogen, Carlsbad, California, USA). Recombinant adenovirus, denoted Ad.PLN-L39stop, which encoded for both PLN-L39stop mutant protein and GFP controlled by separate CMV promoters, was harvested after 7 days and then amplified and purified by CsCl gradient centrifugation.

Contractile mechanics of adult rat myocytes. Myocytes from adult Sprague-Dawley male rats (approximately 300 g; Harlan Laboratory, Indianapolis, Indiana, USA) were isolated from left ventricular tissue (23). The cells were resuspended in Claycomb medium supplemented with 0.2% BSA, penicillin (100 U/ml), and streptomycin (100 µg/ml) at a density of 10⁶ cells per 100-cm² lamin-precoated dish or in 2 × 10⁴ cells per well of 6-well plates with lamin-precoated glass coverslips. After 2 hours, myocytes were infected with the adenoviruses Ad.PLNL39stop, Ad.PLN-WT, or Ad.GFP at a multiplicity of infection of 500. Twenty-four hours after infection, myocytes adherent to coverslips were incubated in 1 mM Ca²⁺-Krebs Henseleit buffer, and mechanical or Ca²⁺-kinetic parameters in fura-2AM–loaded (2 µM) myocytes were determined. Fields of myocytes were chosen at random and stimulated at 0.5 Hz. Ca²⁺ transients were calibrated as described (24). Data were analyzed by Felix computer software (Photon Technology International, Lawrenceville, New Jersey, USA).

Biochemical assays. Quantitative immunoblotting of cardiac homogenates was used to determine the levels of PLN and SR Ca²⁺-handling proteins, as described previously (25).

Histology. Left-ventricular samples were collected from patients during heart transplantation, fixed overnight in 10% formalin, buffered with PBS, dehydrated in 70% ethanol, and transferred to xylene and then into paraffin. Paraffin-embedded heart samples were sectioned at 4 µm and stained with Masson’s trichrome.

Statistics. Data are presented as means ± SEM. Comparisons were made using Student’s t test as appropriate. A P value of less than 0.06 was considered statistically significant.

Results

Clinical evaluation. Seventy-six patients with dilated cardiomyopathy and 30 normal subjects were recruited from the Onassis Cardiac Surgery Center. Three patients had undergone heart transplantation, and two were on the waiting list. The average ejection fraction, determined by echocardiography, was 22.9% ± 9.91%. Comorbid conditions in the cohort included hypertension (8%), diabetes (6%), hypercholesterolemia (12%), and atrial fibrillation (12%).

Identification of a mutant PLN gene in cardiomyopathy pedigrees. Sequencing of the PLN gene coding region from the 76 unrelated individuals with dilated cardiomyopathy revealed one patient homozygous for a T-to-G transversion at nucleic acid 116 (T116G) (Figure 1a). This mutation was not found in 30 unrelated normal subjects matched in age and ethnic background or in 220 unrelated normal subjects. The mutation converts the codon for Leu-39 to the premature stop codon TGA (denoted PLN-L39stop), truncating the 52-amino-acid protein in transmembrane domain II, which is highly conserved among species (18) and involved in PLN regulation of SERCA2a affinity for Ca²⁺ (6). Using alanine-scanning mutagenesis, we have previously shown

Figure 1

Mutation in the PLN gene and analysis of inheritance in kindred I. (a) Partial nucleotide sequences of the PLN coding region in normal subjects and in patients with dilated cardiomyopathy who were homozygous or heterozygous for the T116G transversion, which converts the codon for Leu-39 (TTA) to a stop codon (TGA). (b) Pedigree for the presence or absence of the T116G mutation in kindred I. Probands III-4 and III-6, who were homozygous for the L39stop mutation, were diagnosed with dilated cardiomyopathy and required cardiac transplantation. Squares represent males and circles represent females. A line denotes that the patient is deceased. (c) Histological analysis of explanted hearts from probands III-4 and III-6 (who were homozygous for the L39stop mutation), which were stained with Masson’s trichrome, illustrated the massive interstitial fibrosis and myocardial disarrangement (arrows). Scale bar, 50 µm.
that mutations in this domain can be associated with either an increase in or a loss of the inhibitory effect of PLN on SERCA2a (21).

The extended pedigree of the index case is shown in Figure 1b. Both homozygous individuals developed severe dilated cardiomyopathy requiring cardiac transplantation. For the male, onset of severe heart failure and cardiac transplantation occurred at age 17, and he died shortly thereafter because of surgical complications. His homozygous sister exhibited only mild echocardiographic left ventricular hypertrophy at age 20 but developed progressive left ventricular dilation and cardiac failure, requiring cardiac transplantation at the age of 28. Histopathological examination of both explanted hearts revealed marked replacement fibrosis and myofibrillar disarrangement (Figure 1c). History and physical examinations of the other family members failed to elicit symptoms or signs of heart failure.

An additional unrelated heterozygous individual was identified in the cohort of 76 patients (III-13 in Figure 2). This man presented with a dilated cardiomyopathy at age 28, having an ejection fraction of 20%, and his heterozygous brother (III-14 in Figure 2) was also diagnosed with a dilated cardiomyopathy. Their deceased father, II-9, was also diagnosed with dilated cardiomyopathy. Squares represent males and circles represent females. A line denotes that the patient is deceased.

**Figure 2**

Analysis of inheritance of the T116G mutation in kindred II. Pedigree for the presence or absence of the T116G mutation in four generations. Two brothers (proband III-13 and III-14) who were heterozygous for the L39stop mutation presented with a dilated cardiomyopathy. Their deceased father, II-9, was also diagnosed with dilated cardiomyopathy. Squares represent males and circles represent females. A line denotes that the patient is deceased.

Functional consequences of PLN-L39stop on SR Ca\(^{2+}\) transport. The function of PLN-L39stop on SR Ca\(^{2+}\) transport, as compared with wild-type PLN, was examined by coexpression with SERCA2a (1:1 ratio) in HEK-293 cells. Reduction in the apparent affinity of SERCA2a for Ca\(^{2+}\) (K\(_{Ca}\) expressed in pCa units) in microsomes isolated from transfected cells provided a measure of the inhibitory function of PLN (21). Coexpression of human wild-type PLN (PLN-WT) with SERCA2a resulted in the anticipated lower apparent affinity for Ca\(^{2+}\), whereas coexpression of SERCA2a with PLN-L39stop had no effects (Figure 3, red). Studies were also designed to simulate the heterozygous state, in which wild-type and mutant PLN were coexpressed with SERCA2a. The same decrease in apparent Ca\(^{2+}\) affinity was observed in “homozygous” cells as in “homozygous wild-type” cells, indicating that the PLN-L39stop mutant does not exert a dominant-negative effect on PLN-WT (Figure 3, green).

**Figure 3**

Effect of wild-type and homozygous or heterozygous mutant PLN-L39stop on the Ca\(^{2+}\) affinity of SERCA2a. HEK-293 cells were cotransfected with wild-type, homozygous, or heterozygous mutant PLN cDNAs and SERCA2a cDNAs, and the rates of Ca\(^{2+}\) uptake were measured. The results are representative of two nearly identical and independent experiments. V\(_{\text{max}}\), maximum velocity of Ca\(^{2+}\) uptake.

**Figure 4**

An additional unrelated heterozygous individual was identified in the cohort of 76 patients (III-13 in Figure 2). This man presented with a dilated cardiomyopathy at age 28, having an ejection fraction of 20%, and his heterozygous brother (III-14 in Figure 2) was also diagnosed with a dilated cardiomyopathy at age 27 with an ejection fraction of 25%. Their father had also died of dilated cardiomyopathy at age 57, and their mother was homozygous for wild-type PLN. Although DNA was not available for genotyping the father, these results indicate that it is likely that he must have carried at least one copy of the mutant gene (Figure 2). Interestingly, when nine heterozygous subjects from the two pedigrees (I-2, I-3, I-4, II-3, II-4, II-9, and III-5 in Figure 1, and III-7 and III-10 in Figure 2) underwent echocardiographic examination, their left ventricular systolic function (ejection fraction, 64.8% ± 2.8%) was normal, but four (I-3, II-1, II-3, III-7) exhibited left ventricular hypertrophy (169, 213, 293, and 313 g for LVM as compared with 127 ± 10 g for normals, n = 7), indicating incomplete penetrance of the cardiomyopathy phenotype (Figures 1 and 2).
not expressed. Suggesting the latter, the PLN-L39stop protein product could not be detected in Western blots of microsomal fractions from transfected HEK-239 cells (Figure 5a, left panel). However, PLN-L39stop truncated peptide was detected in very small amounts in the insoluble sedimented fraction from dounce-homogenized cells (Figure 5a, right panel). This lower–molecular-weight protein represented monomeric PLN-L39stop, consistent with the previously established fact that PLN oligomerization occurs in the transmembrane domain (21, 26, 27).

Confocal microscopy in HEK-293 cells transfected with PLN-L39stop revealed detectable immunoreactive protein signals in only 2.3% of fluorescent cells per coverslip, as compared with PLN-WT transfec-
tants (23 ± 5 vs. 99 ± 148 fluorescent cells per coverslip for PLN-L39stop vs. PLN-WT, respectively; P < 0.0002) (Figure 5b). Where PLN-L39stop protein was detected, its fluorescence was localized primarily to the cell membrane (Figure 5, c and d, lower panels), whereas the abundantly expressed PLN-WT was restricted to the endoplasmic reticulum (Figure 5, c and d, upper panels).
To confirm these findings in human hearts, immunoblot analysis of ventricular myocardium from normal humans, the PLN gene knockout mouse, the explanted heart of PLN-L39stop homozygous patient III-4, and a patient with nonfamilial idiopathic dilated cardiomyopathy was performed. As shown in Figure 6a, the PLN-L39stop homozygous ventricle had no detectable PLN immunoreactivity. As expected, calsequestrin expression was not altered (Figure 6a), and SERCA2a expression was decreased (by about 50%) in both the PLN-L39stop patients and the patients with idiopathic cardiomyopathy (28). Consistent with the view that the expression defect was at the level of PLN translation or protein turnover, PLN mRNA was readily detectable in PLN-L39stop homozygous myocardium, albeit at lower levels than in normal hearts or hearts with idiopathic cardiomyopathy (Figure 6b, upper panel).

**Discussion**

We describe here individuals with dilated cardiomyopathy who possess a truncation mutation in the PLN gene that results in an absence of detectable PLN protein — that is, PLN null. This experiment of nature is therefore analogous to previously published PLN gene ablation or “knockout” studies in mice (11, 12). The most important finding is that PLN ablation in humans appears to have effects that are the opposite of those observed with PLN ablation in mice. Whereas the PLN-null mouse displays chronically increased basal cardiac contractile function without developing heart failure, even in advanced age (13), both human subjects homozygous for the PLN-L39stop mutation developed severe dilated cardiomyopathies requiring cardiac transplantation at an early age, and two heterozygous individuals from an independent family also developed delayed dilated cardiomyopathy. Although our findings indicate the virtual absence of PLN in the homozygous hearts, we cannot rule out the possibility that a highly unstable/rapidly degraded and inactive form of PLN, associated with the PLN-L39stop mutation, may initiate human dilated cardiomyopathy.

The mouse has been used extensively as a model system for human disease and for the design of appropriate therapies, but the phenotype of mouse models may differ from the phenotypes observed in human diseases with the corresponding genotype (29, 30). In this study, the surprising difference between human and mouse PLN-null cardiac phenotypes emphasizes the need to better understand inherent differences in cardiac physiology and Ca2+-cycling mechanisms between mice and humans (31). The mouse heart beats over 600 times per minute, or about 10 times faster than the human heart, indicating different mechanisms regulating the dynamic balance of cardiomyocyte Ca2+ fluxes. Whereas Ca2+ removal from the cytosol during cardiac relaxation in mice relies almost exclusively on SERCA2a (92% of total), in humans Na+/Ca2+ exchanger activity accounts for approximately one third of Ca2+ removal, with SERCA2a function largely responsible for the remainder (32–34). The basic ventricular motor proteins are also different, with α-myosin heavy chain predominating in adult mice but β-myosin heavy chain predominating in humans (30). An absence of PLN in
mouse hearts, already operating close to their theoretical maximum, might therefore be less deleterious than in the slower-beating human hearts, in part through the regulatory effects of PLN.

In human subjects homozygous for the PLN-L39stop mutation, PLN is absent and SERCA2a should be tonically inhibited—that is, activated—with enhancement of inotropy andlusitropy analogous to that observed in PLN-null mice (11, 12). Increased cardiac work over a period of years could conceivably lead to ventricular hypertrophy, as seen in some of the heterozygous PLN-L39stop individuals, which may then progress to ventricular failure as observed in the homozygous mutants. Indeed, there are numerous examples of a direct association between the development of heart failure and chronic inotropic stimulation, such as pheochromocytoma (15) and catecholamine cardiomyopathies (16), as well as the increased incidence of heart failure from genetic polymorphisms that augment norepinephrine release and cardiac β1-adrenergic receptor (βAR) function (35). For each of these conditions, prolonged, unregulated stimulation of the human heart causes or accelerates the progression of heart failure, and the same may be true of the PLN-L39stop mutant. On the other hand, chronic suppression of the β-adrenergic signaling pathway stimulatory effects by a dominant R9C-PLN mutant also results in human cardiomyopathy and heart failure (36). Thus, a fine balance between the degree of SERCA2a inhibition and augmentation by PLN is essential for normal cardiac function.

The variable expression of the clinical phenotype, elicited by PLN-L39stop, is not unusual in inherited cardiomyopathy (17). In this study, heterozygosity of PLN-L39stop was associated with hypertrophy in some members of both families and with overt dilated cardiomyopathy in other members. As such, environmental perturbations are likely to contribute to the mechanism by which absence of functional PLN induces cardiac dysfunction. For example, in PLN-null mice, the persistently enhanced inotropic state impairs functional recovery from ischemia (37), and chronic β-adrenergic stimulation increases the incidence of ventricular failure after ischemia or pressure overload (38). Likewise, there are undoubtedly as-yet-unidentified genetic influences that can modify the PLN-L39stop phenotype, as strongly suggested by the development of dilated cardiomyopathy in two heterozygous subjects from one of our kindreds but ventricular hypertrophy with normal cardiac function in heterozygous individuals from the other kindred. Future studies in additional human kindreds, and in PLN mice with different genetic backgrounds, will help to resolve this issue.

In conclusion, a genetic mutation resulting in lack of expression of PLN protein was identified in individuals from two families with inherited dilated cardiomyopathy. To our knowledge, this is the first instance of a human equivalent of the PLN-null mouse. The apparent pathological effects of absent PLN in human hearts contrast strikingly with benefits observed in many, although not all (39), mouse heart failure models. These data emphasize a general concern that targeted therapies whose design is based exclusively on results of studies in rodent models, in which phenotypes can differ radically from those observed in the corresponding human genetic condition, may not ultimately be successful in human disease. A specific cautionary note is sounded as to whether drugs targeted to inhibition of PLN will ultimately have a therapeutic role in chronic, as compared with acute, human heart failure.

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