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# Breast cancer cell-derived microRNA-155 suppresses tumor progression via enhancing immune cell recruitment and anti-tumor function

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1	Breast cancer cell-derived microRNA-155 suppresses tumor progression
2	via enhancing immune cell recruitment and anti-tumor function
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- 29
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- 31 The authors have declared that no conflict of interest exists.

# 32 Abstract

33 Evidence suggests that increased microRNA-155 (miR-155) expression in immune cells 34 enhances anti-tumor immune responses. However, given the reported association of miR-35 155 to tumorigenesis in various cancers, a debate is provoked on whether miR-155 is oncogenic or tumor suppressive. We aimed to interrogate the impact of tumor miR-155 36 37 expression, particularly cancer cell-derived miR-155, on anti-tumor immunity in breast 38 cancer. We performed bioinformatic analysis of human breast cancer databases, murine 39 experiments, and human specimen examination. We revealed that higher tumor miR-155 40 levels correlate with a favorable anti-tumor immune profile and better patient outcomes. 41 Murine experiments demonstrated that miR-155 overexpression in breast cancer cells 42 enhanced T cell influx, delayed tumor growth, and sensitized the tumors to immune 43 checkpoint blockade (ICB) therapy. Mechanistically, miR-155 overexpression in breast 44 cancer cells upregulated their CXCL9/10/11 production, which was mediated by SOCS1 45 inhibition and increased pSTAT1/pSTAT3 ratio. We further found that serum miR-155 levels 46 in breast cancer patients correlate with tumor miR-155 levels and tumor immune status. Our 47 findings suggest that high serum and tumor miR-155 levels may be a favorable prognostic 48 marker for breast cancer patients, and therapeutic elevation of miR-155 in breast tumors 49 may improve the efficacy of ICB therapy via remodeling the anti-tumor immune landscape.

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53 miR-155 was first identified as an oncomiR; it was found to promote carcinogenesis and 54 disease progression of various hematological malignancies and solid tumors (1, 2). It is 55 upregulated in cancers such as breast, liver, lung, pancreatic, and prostate cancers (3-6). Nevertheless, some reports have shown that miR-155 upregulation in tumors is associated 56 57 with improved overall survival of patients with several types of cancer including breast 58 cancer, colon cancer, and melanoma (7-9). To account for this discrepancy, we and others 59 have shown that miR-155 may play a central role in innate and adaptive immune responses 60 (10-16). We reported that miR-155 deficiency in macrophages and myeloid-derived 61 suppressor cells (MDSCs) promotes tumor growth by exaggerating the immunosuppressive 62 functions of these cells (12, 13). We also showed that miR-155 deficiency impairs dendritic 63 cell (DC) maturation, cytokine secretion, migration toward tumor draining lymph nodes, and 64 their ability to activate T cells, whereas miR-155 overexpression enhances these activities 65 (14). Furthermore, using miR-155 overexpressing DCs, we generated a therapeutic vaccine 66 that resulted in enhanced antitumor immunity against established breast tumors in mice, 67 evidenced by increased intratumor effector T cells, suppressed tumor growth, and drastically 68 reduced lung metastasis (15). miR-155 is also upregulated in activated lymphocytes and 69 involved in T cell and B cell proliferation and maturation (17, 18). Depletion of miR-155 was 70 intrinsically detrimental to the antitumor response of CD8<sup>+</sup> cytotoxic T cells (19). 71 Since miR-155 is expressed in both immune cells and cancer cells in the tumors, an

open question is if miR-155 expression in breast tumors in general, and particularly in breast
 cancer cells, is pro- or anti-tumor. To answer this question, we performed bioinformatic

74 analyses of human breast cancer databases, murine experiments, and human specimen 75 examinations. We revealed that higher miR-155 levels in breast tumors are associated with 76 a favorable anti-tumor immune infiltration and better patient outcomes, and that miR-155 77 expressed in breast cancer cells suppressed tumor progression by enhancing the 78 recruitment of anti-tumor immune cells. Furthermore, we observed that miR-155 79 overexpressing tumors were more sensitive to ICB treatment in a mouse breast cancer 80 model and that serum miR-155 abundance reflected intratumor miR-155 levels as well as 81 the anti-tumor immune status of patients.

Our findings in this study are of great translational and clinical value. Mirroring the immune status of breast tumors, circulating miR-155 levels may be used as a prognostic biomarker of breast cancer patients and as a predictive marker for their responsiveness to immunotherapeutic treatment. Moreover, strategies that enhance miR-155 expression in breast tumors may boost anti-tumor immunity and enhance the efficacy of ICB therapy.

# 87 **Results**

88 miR-155 expression levels in breast tumors are associated with disease progression 89 To investigate the association of miR-155 with global gene expression and the clinical 90 outcome of breast cancer patients, we retrieved and analyzed breast cancer data of miRNA-91 Seq, RNA-Seq, and clinical information from The Cancer Genome Atlas (TCGA) Program 92 (Supplemental Figure 1). After normalization and combination of the raw data, we found that 93 miR-155 expression in human breast tumors was markedly higher than that in adjacent 94 normal tissues (Supplemental Figure 2A). In 99 paired samples, we also observed that 95 miR-155 levels were substantially higher in tumors compared to non-tumor tissues from the 96 same patients (Figure 1A). We confirmed this result by quantitative real-time PCR (qPCR) 97 using freshly resected samples from a small cohort of breast cancer patients (Figure 1B, 98 Supplemental Table 1). Aligning to these findings, we performed a Gene Set Enrichment 99 Analysis (GSEA) and found that miR-155 target genes were less enriched in tumors 100 compared to non-tumor tissues (Figure 1C), consistent with higher miR-155 levels in tumors 101 and the degradation/depletion of miR-155 target genes. 102 To evaluate how miR-155 levels in tumors were associated with tumor progression, we 103 analyzed relevant clinical information and associated the data with miR-155 expression in 104 tumor tissues of breast cancer patients. The results showed that tumor miR-155 levels were

higher in patients at early clinical stages (stage I and II; n = 732) than those at advanced stages (stage III and IV; n = 204) (Figure 1D). In addition, in patients that had lymph node metastasis (N1-N3; n = 520), lower miR-155 levels were observed in their tumor tissues when compared to patients without lymph node involvement (N0; n = 458) (Figure 1E). In addition, GSEA results revealed that in patients with miR-155 expression levels at upper half (miR-155<sup>high</sup>, n = 497), gene signatures associated with the downregulation of cancer amplification, metastasis, and relapse were extensively enriched, whereas the opposite was seen in patients with miR-155 expression levels at lower half (miR-155<sup>low</sup>, n = 498) (Figure 1, F and G).

# 114 miR-155 levels in breast cancer tissues correlate with the outcome of patients

115 Based on the miR-155 expression level in the tumor tissues, we categorized the upper and lower quartiles of patients in TCGA database as miR-155<sup>high</sup> and miR-155<sup>low</sup>, with 246 116 117 patients in each group. Compared to miR-155<sup>low</sup> patients, miR-155<sup>high</sup> patients exhibited an 118 extended survival time (Figure 2A). Multivariate Cox proportional hazards analysis 119 demonstrated that high miR-155 expression in tumors was a protective factor for breast 120 cancer patients (HR = 0.724, P = 0.028) (Supplemental Table 2), suggesting that miR-155 121 can be used as an independent prognostic factor for breast cancer patients. To confirm this 122 result, we analyzed the relationship between miR-155 or miR-155 host gene (miR155HG) 123 expression levels with the overall survival of different cohorts of breast cancer patients. The 124 data of European Genome-Phenome Archive (EGA) cohort showed that patients with higher 125 miR-155 levels had extended survival time, although statistical significance was not reached 126 (P = 0.34) (Supplemental Figure 2B). Notably, the result of meta-analysis based multiple 127 Gene Expression Omnibus (GEO) datasets, which was retrieved from Kaplan-Meier (KM) 128 plotter, supports a positive relationship between *miR155HG* expression levels and the 129 survival rate of breast cancer patients (Figure 2B). To further interrogate the relationship 130 between miR-155 levels and the prognosis of patients with cancers of differing molecular

131 classifications, we generated KM survival curves of various breast cancer subtypes with 132 different miR-155 expression levels; the results showed that in EGA and GEO cohorts, miR-133 155 or *miR155HG* expression levels were positively associated with the outcome of breast 134 cancer patients, regardless of molecular subtype, although the association is not statistically 135 significant in the Luminal A and HER2 patients (Figure 2, C and D). By analyzing TCGA 136 patient groups based on the median value of their tumor miR-155 expression levels, we also 137 found a significant association between miR-155 expression levels and overall survival of 138 Luminal B patients; and similar trends were also observed in Basal-like and HER2-type 139 breast cancer patients, although the associations were not statistically significant due to the 140 small sample size (Supplemental Figure 2C). 141 Collectively, these data suggest that miR-155 expression levels in breast tumors are 142 inversely associated with breast cancer progression and positively correlated with better 143 patient outcome. Based on our recent findings indicating that miR-155 is a central regulator 144 of anti-tumor immunity (12-15), we speculate that patients who have high tumoral miR-155 145 expression may have enhanced anti-tumor immune responses. 146 Higher miR-155 expression defines a better anti-tumor immune profile in human 147 breast tumors 148 To investigate whether high miR-155 expression in tumors was associated with an 149 enhanced anti-tumor immune response, we first analyzed differentially expressed genes 150 (DEGs) between miR-155<sup>high</sup> and miR-155<sup>low</sup> tumors from TCGA database. Based on the

- 151 preset criteria of Log2 fold change  $\geq$  1 and adjusted *P* value < 0.05, 293 out of 12,885 genes
- 152 were shown to be differentially expressed, including 283 genes that were upregulated and

153 10 genes that were downregulated in miR-155<sup>high</sup> tumors (Figure 3A). The DEGs with a Log2 154 fold change of at least 2.0 (n = 64) are shown in Supplemental Table 3. Functional 155 enrichment of DEGs was performed by Kyoto encyclopedia of genes and genomes (KEGG) 156 pathway analysis and Gene Ontology (GO) term analysis. KEGG analysis revealed that the 157 pathways enriched in miR-155<sup>high</sup> tumors were mostly immune-related (Supplemental Figure 158 3A). Consistently, the anti-tumor GO terms, including lymphocyte activation and antigen 159 processing and presentation, were markedly enriched in miR-155<sup>high</sup> tumors (Supplemental 160 Figure 3B). These results were confirmed by GSEA analysis showing that the immune 161 response signatures, such as lymphocyte activation and interferon (IFN) signaling, were strongly enriched in miR-155<sup>high</sup> tumors (Figure 3B). Specifically, the expression of T cell 162 163 functional molecules was dramatically upregulated in the miR-155<sup>high</sup> tumors (Figure 3C), 164 indicating an augmented anti-tumor immunity within these tumors. 165 To further confirm the relationship between miR-155 expression and tumor immune 166 profiles, we next applied the CIBERSORTx algorithm (20), which deconvolved the genomic data to estimate the fraction of immune cells in both miR-155<sup>high</sup> and miR-155<sup>low</sup> tumor 167 168 tissues. The correlations between miR-155 expression and total immune cell proportions 169 were generated using the R script. The results showed that miR-155 expression levels in 170 tumors were positively correlated with multiple anti-tumoral immune cell types, including 171 CD8<sup>+</sup> T cells and M1 macrophages; the results also showed that miR-155 levels were 172 negatively associated with the frequencies of pro-tumoral immune cell types such as 173 regulatory T cells (Tregs) and M2 macrophages (Figure 3D and Supplemental Figure 4). 174 Consistently, using another convolutional neural network-based atlas developed by The

175	Cancer Image Archive (TCIA) (21), we found that the estimated proportion of tumor
176	infiltrating lymphocytes (TILs) was positively associated with miR-155 level in human breast
177	tumors (Figure 3, E-G).
178	Together, these data suggest that increased miR-155 levels are positively associated
179	with enhanced innate and adaptive immunity in human breast tumors.

## 180 Overexpression of miR-155 in breast cancer cells delays tumor growth and

181 increases anti-tumor immune infiltration

182 miR-155 in the tumors is derived from both cancer cells and stroma cells including 183 immune cells. While we and others showed the anti-tumor role of immune cell miR-155 (10-184 19), the role of cancer cell-derived miR-155 is more elusive or controversial (5, 8). To 185 investigate the direct impact of cancer cell-derived miR-155 on tumor progression and tumor 186 immune infiltration, we established B-cell integration cluster (Bic, miR-155 gene) 187 overexpressing breast cancer cell lines (EO771-Bic, 4T1-Bic, and AT-3-Bic) via lentiviral 188 transduction; these cells express 15-60-fold higher miR-155 than control lentiviral 189 transduced cells (EO771-GFP, 4T1-GFP, and AT-3-GFP) (Supplemental Figure 5, A-C). 190 miR-155-overexpressing breast cancer cells exhibited comparable proliferative capacity as 191 the control cells in vitro (Supplemental Figure 5, D-F), as well as similar sensitivities to 192 doxorubicin (Supplemental Figure 5, G and H). Despite these, the growth rate of the tumors 193 with miR-155-overexpressing EO771 cells were significantly delayed compared to EO771-194 GFP counterparts in C57BL/6 wild type (WT) mice as well as in miR-155 knockout (miR-195 155<sup>KO</sup>) mice (Figure 4, A and B). Consistent with our previous report (14), host miR-155 196 deficiency dramatically accelerated EO771 tumor growth.

197 We next examined the immune profiles in EO771-Bic and EO771-GFP tumors using 198 flow cytometry. The results showed the frequencies of CD45<sup>+</sup> immune cells were 199 significantly increased in EO771-Bic tumors compared to EO771-GFP tumors 200 (Supplemental Figure 6A and Figure 4C). Specifically, overexpression of miR-155 in EO771 201 breast cancer cells increased the presence of anti-tumor immune cells, including DCs, 202 helper T cells, cytotoxic T cells, and tumoricidal natural killer cells (NK cells) (Figure 4, D 203 and E; Supplemental Figure 6, B-E). Consistently, in 4T1 breast cancer model, 204 overexpressing miR-155 in cancer cells also significantly inhibited tumor growth (Figure 4, F and G), accompanied by increased tumor infiltrating immune cells (Figure 4, H-J), 205 206 compared to the control counterparts. Additionally, the T cells in EO771-Bic tumors were 207 detected with enhanced proliferative capacity by an in vivo BrdU incorporation assay 208 (Supplemental Figure 6, F and G). Moreover, we discovered an increased number of 209 apoptotic cancer cells in EO771-Bic tumors by both flow cytometry analysis and TUNEL 210 assay, respectively (Supplemental Figure 6, H and I). Concerning immune cell composition, we detected a dramatically lower level of immune infiltration in miR-155<sup>KO</sup> mice compared 211 212 to WT mice, which can be explained by their intrinsic defects in CCR5/CXCR3 expression 213 levels (Supplemental Figure 7, A and B).

Our results consistently showed that T cell activation-related genes were drastically upregulated in EO771-Bic tumor infiltrating CD45<sup>+</sup> leukocytes isolated from both WT and miR-155<sup>KO</sup> mice (Supplemental Figure 8 and Figure 4K). Furthermore, IFN $\gamma$  and TNF $\alpha$  were markedly enriched in the tumor interstitial fluids (TIFs) retrieved from EO771-Bic tumors than from EO771-GFP tumors (Figure 4, L-N). Taken together, these results, particularly those from the miR-155<sup>KO</sup> mice, indicate that miR-155 produced by breast cancer cells enhances immune cell influx and anti-tumor capacity, resulting in substantial tumor suppression.

miR-155 overexpression in cancer cells enhances immune cell influx by increasing
 the production of chemoattractants via suppressing SOCS1 and tilting
 pSTAT1/pSTAT3 balance

225 To corroborate the above finding that miR-155 overexpression in breast cancer cells 226 helps flood the tumor with anti-tumor immune cells, we performed an unbiased multiplex 227 proinflammatory chemokine panel assay, to determine the secretome difference between 228 EO771-GFP and EO771-Bic cells. Among 13 types of chemokines tested, we found the 229 concentrations of key chemoattractants for T cell recruitment, including CCL5, CXCL9 and 230 CXCL10 were significantly enriched in EO771-Bic cell conditioned medium (Bic-CM) than 231 that in EO771-GFP cell conditioned medium (GFP-CM) (Supplemental Figure 9, A-C). We 232 next confirmed that miR-155 overexpression upregulated Ccl5 and Cxcl9/10/11 expression 233 in murine breast cancer cell lines (Figure 5A; Supplemental Figure 10, A and B) using qPCR. 234 Given that the differential expression of Cxcl9/10/11 are the highest between GFP and Bic 235 tumor cells, and CXCL9/10/11 share similar regulatory mechanisms and bind to the same 236 receptor CXCR3 (22), we chosen CXCL9 as the representative to investigate how breast 237 cancer cell-derived miR-155 promotes T cell recruitment via upregulating this 238 chemoattractant. We detected that CXCL9 protein levels were remarkably upregulated in 239 miR-155-overexpressing cancer cells in the tumors (Figure 5, B and C) and in cell culture 240 (Supplemental Figure 10, C and D), as well as in the miR-155-overexpressing cell culture

241 medium (Figure 5D) and EO771-Bic tumor interstitial fluid (TIF) (Figure 5E). Consistent with 242 the murine tumor model, we found in the TCGA database that the expression of T cell recruitment-related genes was substantially increased in miR-155<sup>high</sup> human breast tumors 243 244 (Figure 5F) and positively correlated with tumor miR-155 levels (Supplemental Figure 10E). 245 To confirm if miR-155-overexpressing breast cancer cells attract more activated T cells, 246 we performed an in vitro T cell migration assay using ovalbumin (OVA) peptide (257-264) to 247 stimulate OT-I CD8<sup>+</sup> T cells, which express high level of CXCR3 (23). As expected, the Bic-248 CM was more potent in attracting activated T cells than that of GFP-CM, and T cell migration 249 toward GFP-CM and Bic-CM were significantly attenuated and the difference was 250 diminished by CXCR3 blockade (Figure 5, G and H), suggesting the CXCL9/10/11-CXCR3 251 axis play an essential role in tumor intrinsic miR-155-mediated T cell influx to the tumor. 252 SOCS1 has been identified as an important miR-155 target (14) and an inhibitor of 253 cytokine-induced signaling that acts via the JAK/STAT pathway (24). Among STAT proteins, 254 STAT1 and STAT3 are reported to regulate the expression of CXCL9/10/11 in myeloid cells 255 (25, 26) and play opposing roles in directing cellular activities (26, 27). To examine whether 256 miR-155 upregulates CXCL9/10/11 expression by targeting SOCS1 and thereby altering 257 downstream STATs, we performed western blot analysis. We found markedly reduced 258 SOCS1 levels accompanied by increased pSTAT1 and STAT1, decreased pSTAT3, and 259 thus increased pSTAT1/pSTAT3 ratio in miR-155-overexpressing EO771, 4T1, and AT-3 260 tumor cells, compared to control cells (Figure 5, I-K; Supplemental Figure 11, A-C). 261 Importantly, we obtained consistent results using human primary breast cancer cells 262 transduced with lentiviruses to introduce miR-155 overexpression. Specifically, we found

263	that miR-155 overexpression in human primary breast cancer cells significantly increased											
264	Ccl5 and Cxcl10/11 expression (Supplemental Figure 12, A-E). In addition, western blot											
265	analysis showed a decreased SOCS1, but increased p-STAT1/p-STAT3 levels in miR-155-											
266	overexpressing primary cancer cells, compared to controls (Supplemental Figure 12, F-H).											
267	To further confirm if SOCS1 is the miR-155 target that regulates CXCL9/10/11 expression											
268	via regulating p-STAT1/p-STAT3 balance, we generated SOCS1 knockdown EO771 cells											
269	using siRNA transfection (Supplemental Figure 13 A). We found that the EO771 cells with											
270	reduced SOCS1 expression displayed similar phenotype with miR-155-overexpressing cells,											
271	including enhanced Cxcl9 and Cxcl11 expression (Supplemental Figure 13, B and C), as											
272	well as increased p-STAT1/p-STAT3 ratio (Supplemental Figure 13, D-F).											
273	These data indicate that the increased pSATA1/pSTAT3 ratio may have led to											
274	increased CXCL9/10/11 expression in miR-155-overexpressing breast cancer cells. Indeed,											
275	STAT3 inhibition by Stattic phenocopied miR-155 overexpression and enhanced CXCL9											
276	production in breast cancer cells (Figure 5, L and M; Supplemental Figure 11D).											
277	Taken together, these results suggest that miR-155 in breast cancer cells enhances											
278	CXCL9/10/11 expression by suppressing SOCS1 expression and tilting the											
279	pSTAT1/pSTAT3 ratio, leading to the recruitment of effective T cells to the tumor site and											
280	subsequently an improved anti-tumor immune response.											
281	miR155 deficiency promotes tumor progression by impairing immune cell recruitment											
282	To further verify above findings, we generated miR-155 knockout (miR-155 <sup>KO</sup> ) EO771											
283	cells using the CRISPR-Cas9 genomic editing system. miR-155 level in miR-155 $^{\rm KO}$ cells was											

decreased by about 75 % (Figure 6A), without affecting cell proliferation in vitro (Figure 6, B

285 and C). We speculate that miR-155 was not completely eliminated in the cells due to the 286 endocytosis of miR-155 in FBS contained in the culture medium, as the sequence of miR-287 155 is highly conserved among many species (28). We obtained opposite results to what we 288 found in EO771-Bic cells, including significantly reduced Ccl5 and Cxcl9/10/11 expression in 289 miR-155<sup>KO</sup> EO771 cells (Figure 6D). In addition, we also detected decreased level of 290 intracellular CXCL9 at the protein level via flow cytometry (Figure 6, E and F). Importantly, 291 miR-155<sup>KO</sup> EO771 tumors grew faster in vivo, compared with their control counterparts 292 (Figure 6, G and H). Immune profile analysis displayed reduced immune cells (Figure 6I), 293 including anti-tumor CD8<sup>+</sup> T cell (Figure 6, J-L) accumulation in tumor tissues. 294 Mechanistically, we detected an increase in SOCS1 but a decrease in the p-STAT1/p-295 STAT3 ratio in miR-155<sup>KO</sup> EO771 cells (Figure 6, M-O).

Taken together, our in vitro and in vivo data using miR-155<sup>KO</sup> tumor cells further confirmed the anti-tumor role of endogenous miR-155 in regulating anti-tumor immune response by targeting SOCS1 and altering its downstream p-STAT1/p-STAT3 balance.

# 299 miR-155<sup>high</sup> tumors have elevated expression of immuno-break molecules

Emerging evidence has revealed that increased expression of immunosuppressive molecules is concomitant with an activated immune response. This negative feedback loop is essential for maintaining normal immune responses and limiting T cell activity to protect normal cells during chronic inflammation (29, 30). However, tumors may circumvent T cellmediated cytotoxicity by expressing immunosuppressive molecules on both cancer cells and tumor-infiltrating immune cells, resulting in the inhibition of immune-mediated cancer cell death (30).

307	GSEA analysis of TCGA database showed that the negative regulators of immune
308	response and lymphocyte apoptotic processes were highly enriched in miR-155 <sup>high</sup> human
309	breast tumors (Figure 7A). Specifically, the expression of hallmark immunosuppressive
310	genes including PDCD1 (PD1), CD274 (PD-L1), CTLA4, and FOXP3 was drastically
311	upregulated in miR-155 <sup>high</sup> tumors (Figure 7B). Consistent with the TCGA data, we found
312	that in CD45 <sup>+</sup> leukocytes isolated from EO771-Bic tumors in both WT and miR-155 <sup>KO</sup> mice,
313	the overall expression of main checkpoint molecules was substantially increased (Figure
314	7C). Furthermore, the concentrations of soluble PD-L1 in the TIFs harvested from Bic tumors
315	were significantly higher than those from control GFP tumors (Figure 7D). In addition, not
316	only the expression of PD-L1 on miR-155-overexpressing human primary and murine breast
317	cancer cells was upregulated (Supplemental Figure 14, A-F), but also the expression of PD-
318	L1 on tumor associated macrophages (TAMs) was significantly upregulated in Bic tumors
319	compared to that in control GFP tumors (Supplemental Figure 14, G-J).
320	These data suggest that the enhanced antitumor immunity elicited by cancer cell miR-
321	155 overexpression also triggers immunosuppressive pathways in breast tumors, which may
322	set a stage for ICB therapy.
323	Tumors with miR-155-overexpressing cancer cells display an improved response to
324	immunotherapy and elicit a stronger immunological memory
325	The elevated expression of immuno-break molecules in miR-155 <sup>high</sup> tumors prompted
326	us to explore if elevated miR-155 levels in breast cancer cells could sensitize the tumors to
327	ICB therapy. We treated established EO771-GFP and EO771-Bic tumors with anti-PD-L1
328	monoclonal antibodies ( $\alpha$ PD-L1 mAbs) and observed that EO771-Bic tumors were more

329 sensitive throughout the treatment cycle, as determined by percentage of tumor inhibition330 (Figure 7, E and F).

331 Abundant evidence indicates that exosomes carrying bioactive miRNAs that can shuttle 332 between tumor cells and other types of cells in the TME, therefore affecting many aspects 333 of tumor development, including immune cell activities (31, 32). Based on recent findings 334 indicating that miR-155 is anti-tumoral in multiple immune cells (2, 8, 10-15, 18, 19), we 335 hypothesized that the exosomes containing miR-155 produced by tumor cells may directly 336 facilitate immune cell activation in the TME. To address this question, we purified exosomes 337 from EO771-GFP and EO771-Bic tumor-conditioned media by differential centrifugation 338 (Supplemental Figure 15A). miR-155 level in Bic cell-derived exosomes (Bic-Exo) was about 339 150-fold higher than that in GFP cell-derived exosomes (GFP-Exo) (Supplemental Figure 340 15B). To investigate if tumor-derived exosomal miR-155 affects anti-tumor immunity in vivo, 341 we injected a single dose of 50  $\mu$ g of each exosome type intravenously (i.v.) into EO771 342 tumor-bearing mice (Supplemental Figure 15C). Three days later, we analyzed the immune 343 profile within tumors and tumor-draining lymph nodes and found that Bic-Exo administration 344 elicited an enhanced anti-tumor immune response, characterized by augmented immune 345 response in tumor draining lymph nodes (Supplemental Figure 15, D-F), as well as 346 increased presence of overall CD45<sup>+</sup> immune cells (Supplemental Figure 15G) and cytotoxic 347 CD8<sup>+</sup> T cells in tumor tissues (Supplemental Figure 15, H-J).

To explore if miR-155 overexpression in cancer cells elicits immune memory in tumorbearing mice, we surgically removed EO771-GFP or EO771-Bic tumors 20 days postinoculation and re-challenged the same mice with parental EO771 cells in the contralateral 351 mammary fat pad and with B16-F10 melanoma cells on the back, and then monitored the 352 growth of the new EO771 and B16-F10 tumors. (Supplemental Figure 16A). Some naïve 353 mice were also challenged with parental EO771 and B16-F10 tumors as control. The results 354 showed that the mice which previously had EO771-GFP tumors displayed a modest 355 increase in tumor-specific immune memory, which curbed the growth of the reinoculated 356 breast tumors compared to those in the control naive mice, but without statistical significance 357 (P = 0.12 on day 17); however, melanoma progression in these mice was observed to be 358 accelerated. Interestingly, the mice that previously had EO771-Bic tumors almost completely 359 rejected both newly transplanted EO771 tumors and B16-F10 melanomas (Supplemental 360 Figure 16, B and C), and their survival time was dramatically extended (Supplemental Figure 361 16D). These data suggest that to a certain extent, EO771-GFP tumors established 362 immunological memory to the same cancer type, but the immunosuppressive metabolites 363 released by invasive tumors might also compromise systemic immune function, which would 364 then favor the development of a different type of tumor.

# 365 Serum miR-155 level mirrors the immune status of breast tumors

Tumor-derived nucleic acids, including miRNAs, have recently been proposed as diagnostic and prognostic biomarkers (33, 34). miR-155 was not only highly enriched in tumor-derived exosomes as above mentioned (Supplemental Figure 15B) but also detectable in the non-concentrated cell culture media (Supplemental Figure 17, A-C). To test the feasibility of using circulating miR-155 level as a prognostic biomarker, we then measured the miR-155 levels in serum of tumor-bearing mice. miR-155 was measured in the serum with significantly higher levels in EO771-Bic tumor-bearing mice than in EO771-

373	GFP tumor-bearing mice (Figure 8A). Notably, the levels of serum miR-155 of WT and KO
374	mice were comparable in mice with either EO771-GFP tumors or EO771-GFP tumors
375	(Figure 8A). This suggests that breast cancer cells were the main source of serum miR-155
376	in these mice. A significant association was observed between serum miR-155 levels and
377	the frequency of tumor infiltrating CD8 <sup>+</sup> T cells in the tumors of miR-155 <sup>KO</sup> mice (Figure 8B).
378	In addition, serum miR-155 levels were positively correlated with the protein levels of
379	chemoattractant CCL5 and CXCL9 (Figure 8, C and D), immune activating IL-12 (Figure 8E),
380	and immunosuppressive PD-L1 (Figure 8F) in the TIFs of the miR-155 <sup>KO</sup> mice.
381	To explore the potential value of circulating miR-155 levels in evaluating the immune
382	status of human breast tumors, we harvested matched sera and tumor tissues from a small
383	cohort of patients with breast cancer (Figure 8G and Supplemental Table 1). Using qPCR to
384	analyze miR-155 expression levels, we observed that while serum miR-155 levels did not
385	correlate with normal breast tissue miR-155 expression levels (Supplemental Figure 18),
386	they faithfully reflected the miR-155 expression levels in breast tumor tissue (Figure 8H).
387	Moreover, serum miR-155 levels were also positively correlated with the expression levels
388	of hallmark anti-tumor immune activation genes IL2, CD8A, and IFNG (Figure 8, I-K).
389	Notably, serum miR-155 abundance also mirrored the expression levels of the
390	immunosuppressive molecule, CD274 (PD-L1), in tumor tissues (Figure 8L).
391	Taken together, these results indicate that circulating miR-155 can serve as a non-
392	invasive biomarker in estimating the immune status of breast tumors, and therefore may be
393	of great value in predicting their prognosis and response to ICB treatment.

### 394 **Discussion**

395 miR-155 is a multifunctional molecule and plays intricate, and sometimes contradictory, 396 roles in various cancers (7-9, 35-37). Recent findings of our group and others have revealed 397 the pivotal role of immune cell expressed miR-155 in anti-tumor immunity (10-15, 18, 19), 398 while the functions of miR-155 expressed in breast cancer cells are more elusive. As the 399 dynamic crosstalk between malignant and immune cells in the TME has a profound impact 400 on tumor progression (38), in this study we particularly sought to examine how breast cancer 401 cell-derived miR-155 affects immune cell phenotype and functionality. 402 By analyzing human breast cancer data from multiple repositories in respect to miR-155 403 expression, we first demonstrated a correlation between higher miR-155 levels and 404 favorable anti-tumor immune infiltrations in human breast tumors, as well as better patient 405 prognosis. To dissect a potential causative relationship, we investigated the direct role of 406 cancer cell miR-155 in enhancing anti-tumor immunity using murine breast cancer models. 407 It was found that overexpression of miR-155 in breast cancer cells significantly delayed 408 tumor progression via increasing the recruitment of effector immune cells to the TME. Since 409 this happened also in miR-155 deficient mice which lack of host miR-155 expression, the 410 tumor-suppressive function of miR-155 overexpression in breast cancer cells is likely 411 independent of miR-155 expression in immune cells. Furthermore, we found that miR-155 412 is secreted from breast cancer cells to the TME and circulation and thus circulating miR-155 413 may be utilized as a biomarker for evaluating the immune status of breast cancer patients. 414 There are some conflicting reports regarding the role of miR-155 in breast cancer 415 development and progression. miR-155 expression levels in breast cancer have been

416 shown to be associated with high-grade, advanced stage, metastases, and invasion (35, 39). 417 However, a study on a large series of triple-negative breast cancers showed that high miR-418 155 levels decreased the efficiency of homologous recombination repair by targeting the 419 recombinase RAD51, thus were associated with better overall survival of patients (7). 420 Another study reported that stable expression of miR-155 in 4T1 murine breast cancer cells 421 significantly reduced the aggressiveness of tumor cell dissemination by preventing tumor 422 cell epithelial-to-mesenchymal transition (EMT) in vivo (40). The seemingly contradicting 423 conclusions reached in previous miR-155 studies may be attributed to variations in sample 424 size, cancer types, animal models, and experimental design. Notably, we showed that even 425 when miR-155 was expressed in breast cancer cells at a level 60-fold higher than baseline, 426 it did not affect breast cancer cell proliferation and sensitivity to chemotherapy drug 427 doxorubicin.

428 We investigated the mechanism by which cancer cell miR-155 overexpression 429 enhances anti-tumor immunity in breast tumors. Chemotactic cytokines and chemokines 430 determine the migratory behavior of immune cells. Regarding the tumors, it has been well 431 studied that CXCL9/10/11 (ligands for CXCR3) produced by macrophages and DCs, along 432 with CCL5 (ligands for CCR5) secreted by tumor cells are associated with T cell recruitment 433 to the TME and a favorable response to chemotherapy and immunotherapy (41, 42). We 434 observed that miR-155 dramatically upregulated CCL5 and CXCL9/10/11 expression in 435 breast cancer cells. Accordingly, we also noted that conditioned medium from miR-155-436 overexpressing cells recruited more OVA activated OT-1 T cells in vitro, suggesting that

tumor cells with high miR-155 expression recruit more effective T cells to tumor site and turn

438 "cold" tumors "hot", which may provide better targets for immunotherapy.

439 These findings prompted us to explore the intrinsic cellular signaling events regulated 440 by miR-155. STATs are responsible for chemokine and cytokine production, which can be 441 regulated by SOCS1. As SOCS1 is a direct miR-155 target, we hypothesized that miR-155 442 might increase CXCL9/10/11 expression by suppressing SOCS1 and thus regulating STATs 443 activities. Indeed, we detected increased STAT1 expression and activation, but decreased 444 phosphorated STAT3 levels in miR-155 overexpressing cancer cells. Depending on the 445 context, STAT1 and STAT3 play opposing roles and regulate one another via SOCS1. We 446 confirmed the above regulatory mechanisms using human primary breast cancer cells 447 overexpressing miR-155, miR-155<sup>KO</sup> EO771 cells, as well as SOCS1 depleted EO771 cells. 448 In addition, we also observed in our in vitro study that a STAT3 inhibitor increased CXCL9 449 expression in tumor cells, indicating the balance of pSTAT1/pSTAT3 was a determinant in 450 regulating chemokine production in tumor cells.

451 Upon tumor-specific T cell activation, released interferons trigger the inducible 452 expression of immunosuppressive molecules by cancer cells or myeloid cells in the TME, 453 thereby leading to T cell exhaustion and restricting the antitumor immune response, which 454 is known as adaptive immune resistance (43). In the mice bearing miR-155-overexpressing 455 tumors, along with the enhanced anti-tumor immune cell infiltration, a concomitant increase 456 of immune-suppressive molecules, including PD-L1 and CTLA4, occurred. This would be 457 expected to limit antitumor activity. These immune checkpoint genes were also found to be 458 upregulated in miR-155<sup>high</sup> tumors from human breast cancer patients. We also discovered 459 that miR-155 overexpression intrinsically increased PD-L1 expression in both human 460 primary breast cancer cells and murine breast cancer cell lines, which may explain our in 461 vivo findings showing the miR-155-overexpressing tumors are sensitive to ICB therapy. The 462 establishment of immunological memory is an important aspect of durable antitumor 463 responses against tumor relapse (29). In our study, we demonstrated that tumor-derived 464 miR-155 was highly enriched in exosomes, which can significantly strength the anti-tumor 465 immune response when applied to tumor bearing mice intravenously. We speculate that 466 tumor-derived exosomal miR-155 may help the host to establish an augmented immune 467 protective mechanism as we observed that tumors with miR-155 overexpression elicited a 468 stronger systemic immunological memory, resulting in rejection of rechallenged tumors after 469 surgical removal of primary tumors.

470 Exist in free form or embedded in macrovesicles, like above mentioned exosomes, 471 miRNAs can be secreted into the circulation and exist in remarkably stable forms (34). An 472 abundance of circulating miRNAs is in some cases associated with the initiation and 473 progression of cancer and can be easily detected via basic molecular biology techniques 474 (34, 44). Therefore, considerable effort has been devoted to identifying suitable circulating 475 miRNAs as noninvasive biomarkers not only for early cancer diagnosis, but also as a 476 predictor of prognosis and treatment response (33, 34). Our data showed that breast cancer 477 cell-derived miR-155 can be released into peripheral blood, and that serum miR-155 levels 478 not only mirror the tumor miR-155 expression, but also reflect the anti-tumor status in the 479 TME. These data suggest the potential of utilization of circulating miR-155 levels in patients

with breast cancer as a prognostic biomarker, as well as a predictive marker for the efficacyof ICB therapies.

482 Also importantly, because this study showed that the forced overexpression of miR-155 483 in breast cancer cells led to improved anti-tumor immunity accompanied by elevated 484 expression levels of immune checkpoint molecules in breast tumors, we envision that 485 nanotechnology or virus-based therapeutic strategies to increase miR-155 expression in 486 breast tumors may enhance the efficacy of ICB therapies. A limitation of this study is that we 487 based our conclusions on syngeneic breast cancer mouse models. In future studies, we will 488 use humanized mice engrafted with human breast tumors to confirm our findings. 489 In conclusion, our study suggests that breast cancer cell expressed miR-155 plays an 490 anti-tumor role by enhancing anti-tumor immune responses, serum miR-155 levels can be

491 used as a predictive biomarker for patient prognosis and their response to immune therapy

and boosting miR-155 expression in breast tumors could be a promising therapeutic strategy,

493 particularly when it is used in combination with ICBs.

# 494 Methods

# 495 **Bioinformatic analysis**

496 RNA-Seq, miRNA-Seq data and clinical information of human breast cancer were 497 retrieved from the GDC portal (https://portal.gdc.cancer.gov/). After normalization using 498 "limma" R package, the expression data of miRNA-Seq and RNA-Seq were aligned to the 499 clinical information of breast cancer patients (n = 995). miR-155<sup>high</sup> and miR-155<sup>low</sup> groups 500 were separated based on the median value of miR-155 expression in tumors, unless 501 otherwise noted. The differentially expressed genes (DEGs) between these two groups were 502 extracted using "DESeq" R package and the result was visualized in a volcano plot. The 503 parameters set for differential expression analysis were FDR < 0.05 with |Log2FC| > 1. Cox 504 regression analysis was performed to assess the prognostic association of hsa-miR-155 505 expression, adjusted for age, sex, race, tumor stage, and tumor purity. The tumor purity was 506 estimated by ESTIMATE (45) and the association analysis were performed on the platform 507 of SCISSOR™ (46). Hazard ratio, 95% confidence interval (CI), and p-value were calculated. 508 The association of hsa-miR-155 expression with survival in each of five main intrinsic 509 subtypes (Luminal A, Luminal B, HER2, Basal-like and Normal-like), which were defined by 510 PAM50 (47) was investigated. The patient survival data of EGA (European Genome-511 Phenome Archive) repository (48) and GEO (Gene Expression Omnibus) datasets (49) were 512 obtained from Kaplan-Meier Plotter (KM plotter) (50). For survival analysis, Kaplan-Meier 513 plot was generated by using "survival" and "survminer" R packages and examined by using 514 log-rank test.

## 515 **Pathway enrichment analysis**

A total of 12,888 genes with normalized values were analyzed for pathway and gene signature enrichment analysis. Gene ontology (GO) and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway enrichment analysis were performed and visualized via "GOplot" and "ggplot2" R packages. Gene set enrichment analysis (GSEA) was performed using the GSEA software (version 4.0.3) with the default settings and 1,000 gene set permutations. Gene sets used in these analyses were derived from the Molecular Signature Database (MSigDB) (51). Multi-GSEA plots were generated using "ggplot2" R package.

# 523 Immune cell infiltration with CIBERSORTx

CIBERSORTx was applied to estimate the immune cell composition of breast cancer tumor tissues based on a validated leukocyte gene signature matrix and default settings. The normalized gene expression profile of the TCGA data was input into CIBERSORTx for analysis based on a deconvolution algorithm with 100 permutations and S-batch correction to remove variances between different sequence platforms. To control the accuracy of the deconvolution algorithm, data with a P < 0.05 was screened for the following analysis.

530 **Patients and specimens** 

All clinical sample collections were conducted according to the Declaration of Helsinki principles. Matched tumor samples for serum and tumor miR-155 expression analysis were obtained from patients with pathologically confirmed breast cancer at Nanjing Drum Tower Hospital, the Affiliated Hospital of Nanjing University Medical School. None of the patients received anticancer therapy prior to sampling. Paired serum, non-tumor (taken at least 3 cm

536	distal to the tumor site), and tumor tissues from 29 breast cancer patients who underwent a
537	tumor resection (Supplementary Table 1) were used to extract RNA. The TNM staging was
538	classified according to the 8 <sup>th</sup> edition of the American Joint Committee on Cancer (AJCC).
539	Triple negative breast cancer samples for primary cancer culture were obtained from Cancer
540	Institute of Prisma Health (Greenville, SC).
541	Місе
542	All mice used for this study were 6-8 weeks old females, including C57BL/6 wild type
543	(WT), miR-155 KO, and OT-1 mice, all of which were obtained from Jackson Laboratories
544	(Bar Harbor, Maine). Mice were maintained in pathogen-free conditions at the University of
545	South Carolina according to National Institutes of Health guidelines.
546	Cell culture and tumor conditioned medium collection
547	Breast cancer cell lines EO771 (American Type Culture Collection (ATCC), CRL-3461),
548	4T1 (ATCC, CRL-2539) and AT-3 (Sigma-Aldrich, SCC178), and melanoma cell line B16-
549	F10 (ATCC, CRL-6475) were expanded in high-glucose Dulbecco's modified eagle medium
550	(DMEM, Sigma-Aldrich, D6429) supplemented with 10% fetal bovine serum (FBS, Gibco,
551	A4766801), 100 U/mL penicillin, and 100 $\mu$ g/mL streptomycin (Gibco, 15140148). All cells
552	were maintained in a humidified, 5% CO <sub>2</sub> incubator at 37°C.
553	For in vitro CXCL9 level determination, tumor cells were treated with Stattic (Sigma-
554	Aldrich, S7947) as indicated, and then cells were trypsinized and harvested for flow
555	cytometry. For chemo-sensitivity assay, tumor cells were treated with doxorubicin for 24
556	hours, cell viability was determined by MTT assay (Sigma-Aldrich, CT02) according to the
557	manufacturer's instructions.

558	Tumor-conditioned medium was prepared by plating $6 \times 10^6$ tumor cells in 10 cm dishes.
559	The medium was changed to serum-free DMEM for another 48 hours when cells were 80%
560	confluent. The supernatant harvested was filtered by 0.45 $\mu m$ strainer and stocked in -80 $^\circ C$
561	as tumor-conditioned medium.
562	miR-155 overexpressing tumor cell lines establishment
563	Details of lentiviral vector construction and lentiviral transduction have been reported
564	previously (14, 15). Forty-eight hours after transduction, GFP+ cells were sorted for the
565	subsequent experiments.
566	miR-155 knockout cell generation by CRISPR-Cas9
567	For the generation of lentiviral-based miR-155knock out via CRISPR/Cas9, EO771 cells
568	were transfected with empty lentiCRIPSR or lentiCRISPR containing dual-gRNA (5'-
569	GTTGCATATCCCTTATCCTC-3' and 5'-GACATCTACGTTCATCCAGC-3') targeting miR-
570	155. After limiting dilution, single clone was selected out in the presence of puromycin
571	$(2\mu g/mL)$ for three weeks. qPCR was performed to validate the successful miR-155
572	knockout in EO771 cells before using for downstream experiments.
573	Human primary breast cancer cell isolation and culture
574	Triple negative human breast cancer cells were isolated and cultured as previously
575	reported (52). Briefly, tumor tissue was mechanically cut into small pieces (< 1 mm <sup>3</sup> ) using
576	scalpels and enzymatically dissociated in a mixture of collagenase/hyaluronidase
577	(STEMCELL Technologies, 07912) for 16 hours at 37 °C, following by further digestion with

- 578 trypsin (0.25%) for 2 min and then 5 units/ml of dispase (STEMCELL Technologies, 07913)
- 579 and 0.05 mg/ml of DNase I (STEMCELL Technologies, 07900) for 1 min. After centrifugation

at 150 × g for 5 min, cells were seeded at density 1 ×  $10^{5}$ /well onto Geltrex<sup>TM</sup> (23 µg of protein per 1 cm<sup>2</sup>; Thermo Fisher Scientific, A1413202) coated six-well plates in human complete EpiCul<sup>TM</sup>-B medium (STEMCELL Technologies, 05602, 05630 and 07925). Cells were either further cultured or passaged using trypsin–EDTA (0.25%) for downstream usage.

# 584 **Tumor models**

585 Mouse orthotopic breast cancer models were established as previously described with 586 a minor modification (12, 14). Briefly, 2 x 10<sup>5</sup> EO771 cells suspended in 10 µl PBS were 587 implanted into the 4<sup>th</sup> pair of mammary fat pads of mice. To establish subcutaneous 588 melanoma in mice,  $1 \times 10^6$  B16-F10 cells in 10 µl of PBS were implanted into the rear flanks 589 of mice. The tumor size was monitored by caliper on indicated days. Tumor volume was 590 calculated according to the following formula: Tumor volume  $\approx$  (short axis)<sup>2</sup> × (long axis)/2. 591 To determine tumor sensitivity to immunotherapy, anti-mouse PD-L1 mAb (100 µg/mouse, 592 BioXcell, BP0101) was applied to mice with established EO771-GFP or EO771-Bic tumors 593 intravenously on day 12, day 15, and day 18 post tumor inoculation; IgG2b was used as 594 isotype control (BioXcell, BP0090). Tumor volume was monitored. Tumor sensitivity to anti-595 PD-L1 treatment was determined using the following formula: % of tumor inhibition =  $\frac{\text{Tumor volume (IgG2b)} - \text{Tumor volume (anti-PD-L1)}}{\text{x 100. At the experimental end point, mice were}} \times 100$ 596 Tumor volume (IgG2b) 597 sacrificed. Tumors and tumor-draining lymph nodes were removed, weighed, and processed 598 for subsequent experiment.

# 599 Cell isolation and interstitial fluid collection

600 Cells from mouse spleens were isolated by mechanical disruption. Tissue-infiltrating 601 leukocytes were obtained as described previously (14, 53). In short, fresh resected tumor

602	specimens were minced and enzymatically digested in completed RPMI 1640 medium
603	(Sigma-Aldrich, R8758) supplemented with 0.3 mg/ml of collagenase, Type 4 (Worthington,
604	LS004189), 200 U/ml of DNase I (Worthington, LS006334), and 1U/ml of Hyaluronidase
605	(Sigma-Aldrich, H3506) for 1 h at 37°C. Cells were thoroughly rinsed with ice-cold PBS, then
606	erythrocytes were lysed using red blood cell lysing buffer (Sigma-Aldrich, R7757), per
607	manufacturer's instructions. Dissociated cells were passed through a 70- $\mu$ m cell strainer and
608	resuspended in medium supplemented with 1% FBS for flow cytometry analysis.
609	Tumor infiltrating leukocytes were isolated using EasySep™ Mouse PE Positive
610	Selection Kit (STEMCELL Technologies, 17696) following manufacturer's instruction.
611	Splenic T cells were isolated using EasySep™ Mouse T cell isolation Kit (STEMCELL
612	Technologies, 19851) following manufacturer's instructions. In all sorted samples, a purity
613	of > 95% was achieved as determined by flow cytometry.
614	To collect tissue interstitial fluid, tumors were freshly harvested and cut into small pieces.
615	Samples were then vortexed in serum free DMEM (0.5 g tissue/ml medium) for 30 seconds
616	to dissolve the interstitial fluid and centrifuged at 450 $\times$ g for 5 min. The resulting supernatant
617	was collected and filtered through a 0.22- $\mu$ m filter to remove any debris. The obtained liquid
618	was referred to as tissue interstitial fluids (TIFs).
619	Flow Cytometry
620	Flow cytometry was performed as previously described (14, 53). For surface staining,
621	the in vitro cultured and tissue-infiltrating cells were stained with fluorochrome-conjugated

- 622 antibodies (Abs) for 30 min, then washed and analyzed via flow cytometry. For intracellular
- 623 staining, cells were stimulated with or without Cell Activation Cocktail (Biolegend, 423304),

624 depending on the experiment's needs, followed by BD fixation and permeabilization 625 treatment via manufacturer protocols. Samples were then incubated with fluorochrome-626 conjugated Abs for 30 min, washed, and resuspended in wash buffer. To measure cell 627 proliferation capacity, we performed a BrdU incorporation assay. For in vitro labeling, 1 µM 628 of BrdU was applied 30 min prior to harvesting. For in vivo labeling of mouse cells, 1 mg 629 BrdU in 200 µl of PBS was injected intraperitoneally 24 hours prior harvesting. All samples 630 were then processed according to the manufacturer's directions (BD Biosciences, 559619). 631 To evaluate apoptosis, cells were incubated with 5 µg/ml of propidium iodide (PI, Biolegend, 632 421301) for 15 minutes before analysis. Data was acquired and read on a BD FACS Aria II 633 flow cytometer and analyzed using Flowjo software 10.8.0 (BD Biosciences). Details of the 634 fluorochrome conjugated Abs that were used in this study are listed in Supplementary Table 635 4.

# 636 Quantitative real-time PCR (qPCR) for mRNA and miR-155 expression

637 Tissues/cells were lysed in 700 µl Qiazol lysis reagent (QIAzol), and tissue samples 638 were homogenized. RNA was extracted using Qiagen miRNeasy Mini Kits (217084) to allow 639 for the collection of microRNAs and mRNAs. cDNA was then synthesized with 1 µg RNA 640 using miScript II RT kits (Qiagen, 218161). miR-155 expression was measured by a Bio-641 Rad CFX96 thermocycler using miScript SYBR Green PCR kits (Qiagen, 1046470) 642 according to the manufacturer's instructions. Qiagen miScript Primers were purchased for 643 Mmu-miR-155 (mouse, MS00001701), Hsa-miR-155 (human, MS00003605), and RNU6 644 (MS00033740). For normalization, miR-155 expression was presented relative to RNU6 645 expression.

For mRNA expression detection, qPCR was performed using iQ<sup>™</sup> SYBR® Green Supermix (Bio-Rad, 1708880). All primers used for qPCR analysis of genes were synthesized by Integrated DNA Technologies (Coralville, IA). The primer sequences are listed in Supplemental Table 5. The relative amount of target mRNA was determined using the comparative threshold (Ct) method by normalizing target mRNA Ct values to those of 18s rRNA.

# 652 **LEGENDplex™** assay

To investigate the cytokine/chemokine profile in the secretomes of the EO771-GFP
and EO771-Bic cells, we performed a multiplex proinflammatory chemokine panel assay
(Biolegend, 740451) according to the manufacturer's instructions. The concentration of
chemokines was quantified using The LEGENDplex<sup>™</sup> Data Analysis Software Suite.

# 657 ELISA (enzyme-linked immunosorbent assay)

The concentrations of IFN $\gamma$  (Biolegend, 430804), TNF $\alpha$  (Biolegend, 430904), CCL5 (R&D, DY478-05), CXCL9 (R&D, DY492-05), and soluble PD-L1 (R&D, DY1019-05) in tumor-conditioned media or tumor interstitial fluids were determined using ELISA kits according to the manufacturers' instructions.

# 662 In vitro T cell activation and migration

T cell activation and migration assays were performed following the protocol by Albert et al.(23). Briefly, splenocytes from OT-1 transgenic mice were stimulated with 1 nM TCRspecific peptide Ovalbumin (257-264) (Sigma-Aldrich, S7951) for 1 h. Seven days post culture in complete RPMI 1640 medium with 50 U/ml of recombinant IL-2 (R&D, 402-ML-020/CF), CD8<sup>+</sup> T cells with high CXCR3 expression were used for T cell migration assay.

668	To inhibit receptor binding of CXCR3, anti-mouse CXCR3 mAb (10 $\mu$ g/ml, BioXcell, BE0249)
669	was applied to treat activated OT-1 cells for 1 h at 37 $^\circ\text{C}$ ; IgG2b (10 $\mu\text{g/ml}$ , BioXcell, BP0090)
670	pretreated cells were used as isotype control. Then, 0.1 $\times$ 10 <sup>6</sup> activated T cells were placed
671	into 5 $\mu$ m pore size polystyrene trans-well inserts (Corning, 3421) in serum-free RPMI-1640
672	and allowed to migrate for 1.5 h at 37°C towards EO771-GFP/Bic cell conditioned medium.
673	Cells that had migrated to the receipt chamber were collected and counted using Precision
674	Counting Beads <sup>™</sup> (Biolegend, 424902) by flow cytometry.
675	TUNEL assay
676	Apoptosis in tumor sections was determined using In Situ Cell Death Detection Kit,
677	according to the manufacturer's instructions (Roche, C755B40). Dead cells were quantified
678	by counting the number of TUNEL <sup>+</sup> cells in 10 fields for each section.
679	Western blotting
680	In vitro cultured cancer cells from independent dishes were dissolved in RIPA cell lysis
681	buffer (ThermoFisher, 89901) supplemented with a protease inhibitor (Sigma-Aldrich, P8340)
682	and a phosphatase inhibitor (Sigma-Aldrich, P00441). The protein concentrations were
683	determined using a Rapid Gold BCA protein assay kit (ThermoFisher, A53227). In each
684	group, Equal amounts of protein were separated by SDS/PAGE and transferred to a 0.22 or
685	0.45 $\mu$ M nitrocellulose (50) membrane by electroblotting. Samples were loaded either on the
686	same gel or separate gels. For antigens that detected on different blots, separated loading
687	controls were applied. The indicated Abs (Supplementary Table 6) were applied, and the
688	protein bands were determined using ECL Plus reagent (ThermoFisher, 32132). Western

blotting bands were quantified using ImageJ software relative to internal control ( $\beta$ -Actin) expression.

# 691 SOCS1 knockdown by siRNA

To silent SOCS1 expression, EO771 cells were transfected with 10 nM of siRNA target

mouse SOCS1 (OriGene, SR426031) using Lipofectamine<sup>®</sup> RNAiMAX Reagent (Invitrogen,

13778) according to the manufacturer's instructions. 48 hours post transfection, cells were

695 harvested for downstream analysis.

# 696 **Exosome purification and in vivo administration**

697 For exosome purification from EO771-GFP/Bic cell conditioned media, extracellular 698 vesicles were purified by a standard differential centrifugation protocol. In brief, culture 699 supernatants were centrifuged at  $2,000 \times g$  for 10 min to remove cell debris and dead cells. 700 Microvesicles were next pelleted by centrifugation at 10,000 x g for 30 min. Supernatants 701 were then centrifuged at 160,000 x g for 90 min at 4 °C. The pelleted exosomes were 702 suspended in PBS. The size distribution of isolated exosomes was measured using 703 nanoparticle tracking analysis. The purified exosomes were quantified by determining 704 protein concentrations using a Rapid Gold BCA protein assay kit (ThermoFisher, A53227). 705 For in vivo study, GFP or Bic exosomes with 50 µg of protein equivalent in 100 µl of 706 PBS were injected via retro-orbital venous plexus on EO771 tumor bearing mice. Three days

708 Statistics

707

Data was shown as mean ± standard error of mean (SEM) whenever the mean was
 the primary value representative of a sample group's behavior. Two group comparison was

later, tumors and tumor-draining lymph nodes were removed for immune profile analysis.

accomplished using a two-tailed Student's *t* test or Wilcoxon rank sum test as indicated. One-way ANOVA followed by Tukey's post-hoc test was used for multiple comparisons. Two-way ANOVA with Tukey's post-hoc test was used to analyze the tumor growth data. The cumulative survival time was estimated using the Kaplan-Meier method. The survival association analysis was performed using the Cox proportional hazards model. The comparisons were performed using GraphPad Prism 9 (Graphpad Software Inc.) or R.  $P \leq$ 0.05 was considered statistically significant for all tests.

# 718 **Study approval**

All animal experiments were approved by the Institutional Animal Care and Use Committee (IACUC) at the University of South Carolina. For experiments using human breast cancer specimen, all samples were anonymously coded as stipulated by the Declaration of Helsinki. Written informed consent was obtained from the patients prior to inclusion in the study. The use of human subjects for this study was approved by the Institutional Review Board (IRB) of Nanjing Drum Tower Hospital and Prisma Health.

# 725 Author contributions

JW and DF designed the experiments. JW, QW, XW, KL and YW performed experiments, analyzed, and interpreted the data. GY, YS and YY provided clinical samples and collected data of human specimen. JW and GC performed bioinformatics analysis. ML, JK, EAM and YY helped in data interpretation. JW, KL and DF wrote the manuscript, and all authors contributed to editing of the manuscript.

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# 859 Figures



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861 Figure 1. miR-155 expression levels in breast tumors are associated with disease 862 progression. (A) Normalized miR-155 expression in paired human breast tumors and 863 adjacent non-tumor tissues of TCGA data. n = 99. (B) Relative miR-155 level in paired human breast tumors and adjacent normal tissues by qPCR. n = 29. (C) GSEA analysis of 864 865 TCGA data with respect to miR-155 target enrichment in tumor (n = 995) versus non-tumor 866 (n = 99) area of human breast cancer patients. (D) Normalized miR-155 expression in breast 867 tumors at different clinical stages. I + II, n = 732; III + IV, n = 204. (E) miR-155 levels in 868 tumors of breast cancer patients with or without lymph node involvement. N0, n = 458; N1-869 3, n = 520. (F-G) Multi-GSEA analysis of tumor progression related gene signatures in miR- $155^{\text{high}}$  versus miR-155<sup>low</sup> tumors. The results are expressed as mean  $\pm$  SEM. \*\**P* < 0.01; 870 871 \*\*\*\**P* < 0.0001 by paired (**A-B**) or unpaired (**C-D**), two-tailed, Student's *t*-test. DN, down.



873 Figure 2. Higher miR-155 levels in human breast tumors are associated with better 874 patient outcome. (A) Overall survival of breast cancer patients from TCGA database with 875 high or low levels of miR-155 expression. Patients were divided into 2 groups according to 876 the upper and lower quartiles of normalized miR-155 level in tumors. n = 246 in each group. 877 (B) Overall survival of breast cancer patients from pooled GEO database with high and low 878 levels of miR155HG expression. (C-D) Overall survival of breast cancer patients with 879 different molecular classifications and miR-155 expression levels from EGA (C) and GEO 880 (D) datasets. In B-D, the patients were divided into 2 groups according to the median value 881 of miR-155 or miR155HG level in tumors. The curves comparison with the log-rank (Mantel-882 Cox) test revealed statistically significant differences as shown on graphs. HG, host gene; 883 TCGA, The Cancer Genome Atlas; GEO, Gene Expression Omnibus; EGA, European 884 Genome-Phenome Archive.



886 Figure 3. miR-155 expression levels in breast tumors are positively correlated with 887 anti-tumor immunity. (A) Volcano plot for the differentially expressed genes between miR-888 155<sup>high</sup> and miR-155<sup>low</sup> tumors. (B) Multi-GSEA analysis of immune-related gene signatures in miR-155<sup>high</sup> versus miR-155<sup>low</sup> tumors. (C) Box plots comparing T cell-associated gene 889 expression between miR-155<sup>high</sup> (n = 497) and miR-155<sup>low</sup> (n = 498) tumors. (**D**) Correlations 890 891 of normalized miR-155 expression with predicted immune cell fractions in breast cancer 892 tumors. n = 995. (E) The representative H&E staining and computational staining images of 893 breast cancer tumors from TCGA, which were retrieved from CANCER Digital Slide Archive 894 and TCIA, respectively. Normalized miR-155 expression and TILs percentage values are 895 shown above corresponding images. (F) Quantification of estimated TILs proportions in miR-896  $155^{high}$  and miR-155<sup>low</sup> breast cancer tumors. n = 432 per group. (G) Correlations of miR-897 155 levels with the percentages of TILs in breast cancer tumor tissues. n = 864. (C) Wilcoxon 898 rank sum test was carried out to compare the T cell activation related gene expression 899 between miR-155<sup>high</sup> and miR-155<sup>low</sup> breast cancer tumors, \*\*\*P < 0.001. (**D** and **G**) P and r 900 value were calculated based on the Pearson's correlation analysis. (F) Statistical 901 significance was assessed using unpaired, two-tailed, Student's t-test and all data points are 902 presented as mean ± SEM. \*\*\*\*P < 0.0001. TCIA, The Cancer Image Archive; TILs, tumor 903 infiltrating lymphocytes.



905 Figure 4. Forced miR-155 overexpression inhibits tumor growth by increasing 906 immune cell influx. (A) EO771-GFP and EO771-Bic tumor growth curves in WT or miR-907  $155^{KO}$  mice. n = 10-20 per group. (B) EO771 tumor weight 29 days post tumor inoculation. 908 n = 10 per group. (C) Frequencies of tumor infiltrating CD45<sup>+</sup> leukocytes by flow cytometry. 909 n = 6 per group. (D) The representative pseudo color images from 6 samples of each group 910 showing the frequencies of CD8<sup>+</sup> T cells gating from CD45<sup>+</sup> cells; (E) quantified percentage 911 of CD8<sup>+</sup>T cells in EO771 tumors. (F) 4T1-GFP and 4T1-Bic tumor growth curves in BALB/c 912 mice. 4T1 tumor weight (G) and CD45<sup>+</sup> immune cell percentages (H) 19 days post tumor 913 inoculation. (I) The representative pseudo color images showing the frequencies of CD8<sup>+</sup> T 914 cells gating from CD45<sup>+</sup> cells; (J) quantified percentage of CD8<sup>+</sup> T cells in 4T1 tumors. (F-915 J), n = 10 per group. (K) T cell activation-related gene expression in sorted tumor infiltrating 916 CD45<sup>+</sup> cells by qPCR. n = 6 per group. (L) Schematic image illustrating the procedure of 917 TIFs collection from tumor tissue and ELISA. IFNy (M) and TNF $\alpha$  (N) protein concentrations 918 in tumor interstitial fluids (TIFs). Statistical analysis of (A) and (F) was performed using two-919 way analysis of variance followed by Tukey test. Statistical significance was assessed using

920 two-tailed Student's *t* test for comparing 2 groups (**G**, **H** and **J**) and one-way ANOVA 921 followed by Tukey's post-hoc test for multiple groups (**B**, **C**, **E**, **K**, **M** and **N**). All data points 922 are presented as mean  $\pm$  SEM. *\*P* < 0.05 compared to WT counterparts; *\*P* < 0.05, *\*\*P* < 923 0.01, *\*\*\*P* < 0.001, *\*\*\*P* < 0.0001. TIFs: tumor interstitial fluids.

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926 Figure 5. miR-155 overexpression enhances T cell recruitment by upregulating CCL5 927 and CXCL9/10/11 expression via tilting the pSTAT1/p-STAT3 ratio. (A) Ccl5 and 928 Cxcl9/10/11 expression by qPCR. n = 3 per group. (B and C) Intracellular CXCL9 expression 929 in EO771-GFP/Bic cells retrieved from tumor tissue (GFP+ cells) by flow cytometry, 930 representative histograms (**B**) and quantified MFI of CXCL9 (**C**) are shown. n = 6 per group. 931 CXCL9 concentration in cell culture media (**D**) and TIFs (**E**) by ELISA. n = 6 per group. (**F**) Expression of T cell recruitment related genes in miR-155<sup>high</sup> (n = 497) and miR-155<sup>low</sup> (n = 932 933 498) human breast cancer. (G) In vitro T cell migration towards EO771-GFP/Bic cell culture 934 media, representative zebra plots showing the number of T cells and beads by flow 935 cytometry. (H) The chemotactic index of (G) was calculated based on estimated cell 936 numbers using counting beads. n = 4 per group. (I) Representative western blotting bands 937 showing SOCS1, STAT1/STAT3 levels in EO771-GFP or EO771-Bic cells. (J) Blots of (I) 938 was quantified relative to  $\beta$ -Actin expression. n = 3 per group. For samples run on different 939 gels, separate loading controls were provided in Supplemental Unedited Western Blot 940 images. (K) pSTAT1 to pSTAT3 ratio based on band intensity. n = 3 per group. (L and M) 941 Intracellular CXCL9 expression in EO771 parental cells 24 hours post STAT3 inhibitor 942 (Stattic) treatment; the representative histograms (L) and quantified MFI of CXCL9 (M) are 943 shown. n = 3 per group. Statistical significance in all figures except (F) was assessed using 944 the unpaired, two-tailed, Student's t-test; the statistic results shown in (F) were carried out 945 by Wilcoxon rank sum test. All data points are presented as mean  $\pm$  SEM. <sup>#</sup>P < 0.05 compared to WT counterparts; \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001, \*\*\*\**P* < 0.0001. 946



Figure 6. miR-155 knock out in EO771 cells promotes tumor growth by impairing anti-948 949 tumor immune infiltration. (A) Validation of miR-155 expression in miR-155<sup>KO</sup> EO771 cells 950 by qPCR. n = 3 per group. Representative pseudo color image (**B**) and quantified data (**C**) showing percentage of Brdu<sup>+</sup> Control and miR-155<sup>KO</sup> EO771 cells. n = 3 per group. (**D**) Ccl5 951 952 and Cxcl9/10/11 expression in Control and miR-155<sup>KO</sup> EO771 cells by qPCR. n = 3 per group. 953 Representative histograms (E) and quantified MFI (F) of Intracellular CXCL9 in Control and 954 miR-155<sup>KO</sup> EO771 cells by flow cytometry. n = 5 per group. (G) EO771-Control and EO771-955 miR-155<sup>KO</sup> tumor growth curves in WT mice. n = 10 per group. (H) Tumor weight 19 days 956 post tumor inoculation. n = 10 per group. (I) Frequencies of tumor infiltrating CD45<sup>+</sup> leukocytes by flow cytometry. n = 5 per group. (J) The representative pseudo color images 957 958 from 5 samples of each group showing the frequencies of CD8<sup>+</sup> T cells gating from CD45<sup>+</sup> 959 cells; quantified percentage of CD8<sup>+</sup> (K) and IFN  $\gamma$  <sup>+</sup>CD8<sup>+</sup> (L) T cells in tumors. (M) 960 Representative western blotting bands showing SOCS1 protein, and STAT1/STAT3 protein and phosphorylation levels in EO771-Control or EO771-miR-155<sup>KO</sup> cells. For samples run 961 962 on different gels, separate loading controls were provided in Supplemental Unedited 963 Western Blot images. (N) Blots of (M) was quantified relative to  $\beta$ -Actin expression. n = 3 964 per group. (**O**) The ratio of pSTAT1 to pSTAT3 in EO771-Control or EO771-miR-155<sup>KO</sup> cells 965 based on band intensity. n = 3 per group. Statistical significance in all figures was assessed 966 using the unpaired, two-tailed, Student's t-test. All data points are presented as mean  $\pm$ 967 SEM. \**P* < 0.05, \*\**P* < 0.01, \*\*\**P* < 0.001, \*\*\*\**P* < 0.0001. 968





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970 Figure 7. High miR-155 expression increases the level of immuno-break molecules 971 and improves the tumor response to immunotherapy. (A) Multi-GSEA analysis showing 972 the enrichment of negative immune response signatures in miR-155<sup>high</sup> (n = 497) and miR-973  $155^{low}$  (n = 498) human breast cancer tumors. (B) Box plot showing T cell exhaustive and 974 immunosuppressive genes in miR-155<sup>high</sup> (n = 497) and miR-155<sup>low</sup> (n = 498) tumors. (C) 975 Relative expression of T cell exhaustive and immunosuppressive genes in sorted tumor 976 infiltrating leukocytes from tumor-bearing mice. n = 6 per group. (D) Soluble PD-L1 977 concentration in TILs by ELISA. n = 6 per group. (E) Tumor growth curves of EO771-GFP 978 or EO771-Bic tumors of mice treated with anti-PD-L1 mAb, IgG2b was applied as isotype 979 control. n = 7-10 per group. (F) Percent of tumor inhibition at various time points post anti-980 PD-L1 mAb treatment. n = 7-9 per group. Statistical significance was assessed using two-981 tailed Student's t test for comparing 2 groups (F) and one-way ANOVA followed by Tukey's 982 post-hoc test for multiple groups (C and D). All data points are presented as mean  $\pm$  SEM. 983  $^{\#}P < 0.05$  compared to WT counterparts;  $^{*}P < 0.05$ ,  $^{**}P < 0.01$ ,  $^{***}P < 0.001$ .



986 Figure 8. Circulating miR-155 mirrors anti-tumor immune status within breast tumors. (A) Relative miR-155 expression in serum collected from both WT and miR-155<sup>KO</sup> mice 987 988 carrying EO771-GFP or EO771-Bic tumors. n = 4 per group. Statistical significance was 989 assessed using unpaired, two-tailed, Student's t-test and all data points are presented as 990 mean  $\pm$  SEM. \**P* < 0.05, \*\*\**P* < 0.001. (**B**) The correlation between serum miR-155 levels 991 measured by qPCR and the frequencies of CD8<sup>+</sup> T cells in the tumors determined by flow cytometry. n = 12. (C-F) The correlation between serum miR-155 levels and CCL5 (C), 992 993 CXCL9 (D), IL-12 (E), and soluble PD-L1 (F) concentrations in TIFs. n = 12. In a small cohort 994 of human breast cancer samples, the expression of miR-155 and hallmark genes of T cell 995 activation in serum, non-tumor, and tumor tissues were determined by qPCR. (G) Schematic 996 image showing the procedure of sampling from breast cancer patients. (H) The correlation 997 between serum miR-155 levels with tumor tissue miR-155 expression. n = 29. (I-L) The 998 correlations between serum miR-155 levels and mRNA levels of *IL2* (I), *CD8A* (J), *IFNG* (K), 999 and CD274 (L) in human breast cancer tumor tissues. n = 26. P and r value in (B-L) were 1000 calculated based on the Pearson's correlation analysis.

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