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Contributions Of Alveolar Epithelial Cell Quality Control To Pulmonary Fibrosis

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ABSTRACT

Epithelial cell dysfunction has emerged as a central component in the pathophysiology of diffuse parenchymal diseases including idiopathic pulmonary fibrosis (IPF). Alveolar type 2 (AT2) cells represent a metabolically active lung cell population important for surfactant biosynthesis and alveolar homeostasis. AT2 cells and other distal lung epithelia, like all eukaryotic cells, contain an elegant quality control (QC) network to respond to intrinsic metabolic and biosynthetic challenges imparted by mutant protein conformers, dysfunctional subcellular organelles, and dysregulated telomeres. Failed AT2 QC components (ubiquitin-proteasome system, unfolded protein response, macroautophagy, mitophagy, and telomere maintenance) result in diverse cellular endophenotypes and molecular signatures including ER stress, defective autophagy, mitochondrial dysfunction, apoptosis, inflammatory cell recruitment, profibrotic signaling, and altered progenitor function that ultimately converge to drive downstream fibrotic remodeling in the IPF lung. As this complex network becomes increasingly better understood, opportunities will emerge to identify targets and therapeutic strategies for IPF.

INTRODUCTION

Fibrosis is a common pathological manifestation of chronic disease in a variety of organ systems including liver, kidney, and skin. In the distal lung, fibrotic remodeling represents a major complication of and contributor to the morbidity and mortality of several diffuse parenchymal lung diseases (DPLDs) seen in clinical practice (1). The shared pathological derangement of these diseases is a disruption of normal bronchoalveolar architecture resulting in impaired oxygen diffusion from the alveolus to the capillary plexus with resultant hypoxemia. Fibrotic lung diseases make up the most common diagnosis at lung transplantation (2), and among them, the most pernicious subclass is idiopathic pulmonary fibrosis (IPF) (3).

Histopathologically, IPF is defined by the presence of the usual interstitial pneumonia (UIP) pattern, identified by the organization of myofibroblasts into fibroblastic foci in the context of temporally and spatially heterogeneous chronic interstitial fibrosis (4). While fibroblastic foci represent the cardinal lesions in UIP, microscopic honeycombing of distal lung regions is also seen wherein affected areas undergo bronchiolization, lined by both hyperplastic alveolar type 2 (AT2) cells and epithelia expressing a variety of more proximal airway cell markers, including those of basal, ciliated, and goblet cell lineages (5). An additional defining feature of UIP is hyperplasia of AT2 cells and loss of alveolar type 1 (AT1) cells, suggesting that the pathological hallmarks of IPF are as much defined by aberrant epithelial cells as by mesenchymal expansion (6).

Two antifibrotic medications, Nintedanib and Pirfenidone, are approved for the treatment of IPF. While each attenuates the rate of lung function decline, neither halt progression or affect long-term survival (7-10). The refractoriness of the UIP lung to these therapies has led for a continued search for new therapeutic targets for IPF. In this Review, we focus on the biology of the dysfunctional alveolar epithelium in IPF, discuss a role for other epithelial lineages, and highlight the emerging importance of disrupted epithelial cell quality control as an upstream event in the cascade of aberrant injury/repair that results in the well-recognized clinical syndrome and end-stage pathology.

THE ALVEOLAR EPITHELIUM AS A DRIVER OF IPF

The role for a dysfunctional distal lung epithelium in IPF pathogenesis represents a rebirth of a concept first put forth by Haschek and Witschi in 1979, which was initially unsuccessful in challenging the contemporaneous

view of lung fibrosis as an inflammatory disease (11). However, in part due to multiple setbacks experienced by clinical IPF trials based on pure anti-inflammatory strategies, a refocused recognition of the distal lung epithelium as an active player in IPF emerged. Beginning in the early 2000s, the epithelial injury and abnormal wound repair model postulating that recurrent micro-injuries to a susceptible alveolar epithelium drive fibrotic remodeling has become the dominant paradigm in IPF pathogenesis (**Figure 1**).

A central role for the AT2 cell in IPF is, in part, due to its critical function in alveolar niche homeostasis through the production of pulmonary surfactant (12) and as a progenitor cell to both self-renew and transdifferentiate into AT1 cells (13). Supporting this hypothesis are studies in murine models using diphtheria toxin or SR39TK herpes simplex virus-1 thymidine kinase to ablate AT2 cells: These studies established a dose-response effect that ranged from enhanced susceptibility to exogenous bleomycin-induced fibrosis with modest ablation, to spontaneous fibrosis following more substantive AT2 depletion (13-15). Subsequent studies showed that limitation of AT2 cell loss through pharmacologic or genetic inhibition of apoptosis pathways also attenuated bleomycin fibrosis in mice (16). These observations, coupled with the recognition of enhanced AT2 apoptosis in human IPF (17, 18) have solidified a theory that disruption of alveolar epithelial homeostasis promotes lung fibrosis (19, 20). However, these studies did not address the mechanisms by which, beyond dropout, dysfunctional AT2 cells contribute to IPF development or progression.

THE AT2 CELL AND ITS QUALITY CONTROL NETWORK

AT2 cells face substantial biosynthetic and metabolic challenges as a consequence of dual roles: as progenitor cells as well as producers of surfactant phospholipids and proteins. The hardships imposed on AT2 cells by malformed proteins, accumulated macromolecules, dysfunctional mitochondria, and/or foreshortened telomeres have been implicated in a number of chronic lung diseases, including IPF (21) [**TABLE 1**]. Like many eukaryotic cells, AT2 cells preserve homeostasis in the face of both endogenous challenges and a variety of exogenous insults to the fidelity of their biosynthetic, metabolic, and replicative machinery. AT2 cells have developed an integrative network of cell quality control (QC) repertoires (shown in **Figure 2**) to manage, repair, and/or degrade damaged proteins, subcellular organelles, or chromosomes, including:

Protein Quality Control: The Proteostasis Network

Continuous mechanical or environmental stressors represent a constant threat to protein folding in the lung. Proteostasis refers to a network of processes handling protein folding, misfolding, unfolding, and degradation composed of a complex, dynamic, and interactive set of cellular systems and subcellular compartments (reviewed in (21) and (22)). Disrupted proteostasis results in loss of function (e.g. insufficient active protein) and/or toxic gain of function (e.g. from aggregation). Of high relevance to chronic lung diseases like IPF is that the proteostatic capacity declines with age. Components of a prototypical proteostasis network response include some or all of the following:

- i) Unfolded Protein Response (UPR) – Misfolded conformers first activate three highly complementary UPR signaling pathways that have evolved to ensure that ER protein-folding capacity is not overwhelmed (reviewed in (23)). Specifically, distinct ER transmembrane sensors inositol-requiring enzyme 1 (IRE-1), PKR-like endoplasmic reticulum kinase (PERK), and activating transcription factor 6 (ATF6) trigger one or more of three corresponding signaling cascades with two functional objectives: expanding ER refolding capacity and promoting translational attenuation. Cells expand ER refolding capacity via transcriptional programs that upregulate chaperones. The transcription factors X-box binding protein 1 (XBP-1), ATF-6p50, or ATF4 bind to nuclear ER-stress responsive elements (ERSE) to upregulate chaperones including glucose-related peptide 78 (GRP78 or BiP). Translation attenuation is promoted via phosphorylation of elongation initiation Factor- α (EIF2 α). The relative contributions of each UPR pathway to the proteostatic response are protein substrate- and cell-specific.
- ii) Ubiquitin-Proteasome System (UPS)- Misfolded or unassembled protein targets unresponsive to the UPR can be extracted from the ER by retro-translocation, modified by covalent attachment of one or more lysine(K)-48 linked polyubiquitin chain(s) in the cytosol, and destroyed by the 26S proteasome in a process known as ER-Associated Protein Degradation (ERAD). The proteasome itself is composed of a cylinder-shaped catalytic core particle, the 20S proteasome, which can be capped at either end by several different 19S regulator complexes (reviewed in (23)). The 26S proteasome is subject to failure as both the endogenous misfolded protein conformers as well as environmental factors, such as cigarette smoke, can directly impair

proteasome activity (without affecting expression), resulting in cytosolic accumulation of mutant and bystander proteins.

iii) Autophagy – While macroautophagy (hereafter autophagy) was originally described as a cellular response to starvation, it is now recognized that, in a cell autonomous fashion, autophagy functions as a second degradation pathway to remove aggregation-prone proteins (e.g., huntingtin, α -synuclein) (24). Extensively discussed elsewhere (25, 26)), in brief, autophagy is a dynamic process involving at least 30 known autophagy-related (Atg) proteins whose assembly and turnover ultimately results in the enclosure of cytosolic substrates and organelles within double membrane vesicles (autophagosomes). Subsequently, fusion of the autophagosome with the lysosome triggers degradation of captured cargo. The highly regulated process receives inputs from a variety of sensing and signaling mechanisms including mTOR1, Class III PI3K, and several mTOR-independent pathways. The selectivity of autophagy depends on the recognition of ubiquitinated aggregates by receptors, such as p62/sequestosome-1 (p62/SQSTM1).

The AT2 cell places a heavy burden on both the UPR and UPS to control mutant or misfolded protein conformers, and maintenance of these QC systems is necessary for lung homeostasis. The loss of regulated protein folding by conditional deletion of the endoplasmic reticulum membrane complex, *Emc3*, in the epithelia during lung development resulted in disruption of surfactant lipids, protein synthesis and packaging, and AT2 cell UPR activation, causing in neonatal respiratory failure (27). Selective disruption of the UPS in AT2 cells by genetic deletion of RPT3 (*Psmc4*), an ATPase subunit of the 19S regulatory particle of the 26S proteasome in mice, augmented AT2 death and lung injury resembling adult respiratory distress syndrome (ARDS) (28). In contrast, autophagy appears to selectively remove polyubiquitinated protein aggregates (aggrephagy) (29) and cellular organelles, such as dysfunctional mitochondria (known as mitophagy and discussed below). Cross-talk between these systems provides functional compensation when either one is challenged (28). However, overall failure of this network can persistently activate the UPR transitioning the affected cell to a state of ER stress, under which UPR signaling becomes maladaptive and promotes cell dysfunction and death (30).

Organellar Quality Control: Mitophagy

In addition to aggrephagy, AT2 cells utilize autophagy to selectively target and remove individual subcellular

components, including invading pathogens (xenophagy), lipids (lipophagy), and dysfunctional cellular organelles, such as ER or lysosomal related organelles. Best known among these is the degradation of dysfunctional mitochondria, termed mitophagy, which is part of a larger cellular system that orchestrates the turnover of mitochondrial mass. Remarkably specific, mitophagy requires the coordination of cytosolic factors and signals assembled at the outer mitochondrial membrane to identify and target dysfunctional mitochondria to the autophagosome (reviewed in (31, 32)). Key steps in the process include: stabilization of PTEN-induced putative kinase 1 (PINK1) in the outer mitochondrial membrane in response to lowered transmembrane potential; recruitment of the E3 ligase Parkin, resulting in K-63-linked polyubiquitination of a variety of mitochondrial protein substrates (e.g. mitofusins (MFN1; MFN2)); and sequestration of ubiquitin-decorated mitochondria in the same autophagic machinery commissioned for protein removal. Knockout of *Pink1* in AT2 cells in mice results in a dysmorphic mitochondrial phenotype and an age-dependent susceptibility to fibrotic lung remodeling after challenge with viral infection (33). Perturbations in PINK1 expression and mitophagy (31) appear in the IPF AT2 cell (discussed below).

DNA Quality Control: Telomere Homeostasis

Telomeres are DNA/protein caps at the ends of chromosomes that are essential to genome stability (reviewed in (34, 35)). Due to what is known as the “end replication problem,” telomeres shorten with each cell division and, when too short, a DNA damage checkpoint response triggers a permanent cell cycle arrest or apoptosis (36). Telomeres are maintained by telomerase, composed of a reverse transcriptase (telomerase reverse transcriptase; TERT) that synthesizes new telomeres from an endogenously encoded RNA template (telomerase RNA, TR). Telomerase expression is tightly controlled such that only a few cell types have telomerase activity. Within the adult mouse lung AT2 cell pool, a telomerase-positive subpopulation after lung injury has been identified, perhaps signifying a progenitor subpopulation (37); and induced AT2 cell telomere dysfunction caused alveolar progenitor senescence (38). Foreshortened telomeres are causally associated with a spectrum of age-related diseases including bone marrow failure, liver fibrosis, and lung fibrosis (39, 40). Spatial interrogation of the fibrotic lung has revealed considerably shorter telomere length in AT2 cells in regions of fibrosis (41).

PROFIBROTIC AT2 ENDOPHENOTYPES AND DISRUPTED CELL QUALITY CONTROL

Sustained disruption of one or more AT2 QC repertoires results in the development of profibrotic epithelial endophenotypes depicted in **Figure 3**.

Disrupted Proteostasis: Surfactant Mutations as Proof of Concept

Interrogation of AT2 cells from IPF explants using immunohistochemistry (17, 42) and transcriptional profiling (43) have identified molecular signals of challenged proteostasis including UPR activation, ER stress, and impaired autophagy. Deconvoluting the precise role of specific epithelial UPR pathways in lung fibrosis has been challenging. Recently, AT2 specific deletion of a key regulator of ER homeostasis, the chaperone Grp78, resulted in impaired AT2 progenitor capacity, activated TGF- β signaling, and spontaneous age dependent fibrotic lung remodeling with features of UIP pathology (44).

Perhaps no better proof-of-concept for the role of AT2 QC dysfunction as a driver of lung fibrosis exists than lessons learned from mutations in surfactant proteins (SPs). The alveolar epithelial surface is coated by surfactant, a complex mixture of lipids, and SPs that in combination reduce surface tension at the alveolar air-liquid interface (45). Of the four major SPs, the hydrophobic SP-B and SP-C that participate in surface tension modulation are secreted exclusively by AT2 cells. Over 60 mutations in the AT2 cell-restricted *SFTPC* gene have been identified in pediatric patients with Childhood Interstitial Lung Disease (ChILD) and adults with Familial Interstitial Pneumonias (FIPs) or IPF (46-48). While *SFTPC* mutations appear in less than 5% percent of sporadic IPF patients, these highly penetrant, rare mutants with high effect sizes have enhanced our understanding of AT2 cell biology in lung fibrosis.

Mutant *SFTPC* expression induces two predominant AT2 QC phenotypes:

- (i) ERAD-UPS challenged with ER stress- The first two disease-associated *SFTPC* mutations identified, and the majority described subsequently, localize to the proSP-C COOH-terminal (BRICHOS) domain and disrupt a key intra-propeptide disulfide bond within the domain (49). When expressed in vitro, *SFTPC*^{BRICHOS} mutants are ER retained, inhibit the proteasome, form cytosolic aggregates, and activate the UPR. Similar markers of UPR/ER stress can be found in AT2 cells of patients carrying such mutations. Murine models of *SFTPC*^{BRICHOS} mutants have collectively defined a toxic gain of function linking a dysfunctional AT2 cell phenotype and

fibrosis (50-52). Conditional expression of the clinical *SFTPC*^{L188Q} mutation produced AT2 ER stress and enhanced sensitivity to bleomycin-induced fibrosis (51). More recently, induced expression of the clinical SP-C mutant (*Sftpc*^{C121G}) knocked into the mouse *Sftpc* locus, led to notable AT2 ER stress and apoptosis with spontaneous fibrotic remodeling (52). In total, these models provide fundamental support for AT2 ER stress and the resultant cytokine elaboration, apoptosis, and disrupted progenitor cell function as upstream drivers of lung fibrosis.

(ii) Autophagy Impaired- The most common *SFTPC* mutation identified in the clinic results in a threonine to isoleucine substitution at the 73rd codon of the *SFTPC* allele (*SFTPC*^{I73T}), corresponding to a region of the SP-C proprotein termed the linker domain. In contrast to BRICHOS isoforms, in vitro expression of linker mutations mistraffick and accumulate in the late endosomal pathway resulting in autophagy blockade and impaired mitophagy (53). Preclinical modeling using a *Sftpc*^{I73T} allelic knock-in phenocopied both the cellular and clinical phenotypes including a mistrafficked proSP-C isoform, impaired AT2 autophagy, spontaneous alveolitis, and UIP-like fibrotic remodeling further validating the role of dysfunctional AT2 QC as an upstream component of IPF (54-56).

Genetic analyses of FIP kindreds have also identified mutations in surfactant protein A genes (*SFTPA1*; *SFTPA2*) (57-59). In vitro, expression of disease-associated *SFTPA2* mutations produced proximally retained SP-A isoforms and UPR activation (60). Recent in vivo expression of a disease-associated *SFTPA1* mutation resulted in AT2 UPR activation, necroptosis, and spontaneous lung fibrosis linked by ER stress induced c-Jun kinase (JNK) signaling (61, 62).

Beyond genetic susceptibility, IPF is a disease of both aging (63) and environment. As proteostatic capacity declines with age in a variety of organs (notably the brain), the assaults of aging and environmental exposures in IPF likely converge at the level of AT2 QC. Supportive of this paradigm, older mice show increased susceptibility to herpesvirus induced lung fibrosis with corresponding increases in ER stress markers and apoptosis (64). Environmental exposures associated with human IPF, including cigarette smoke, inhaled particulates, and asbestos exposure, all of which impair proteasome activity, increase epithelial ER stress, and/or raise the susceptibility to bleomycin-induced fibrosis in mice (65-67).

Disrupted Organelle Homeostasis

Cytoplasmic multi-lamellated organelles, lamellar bodies (LBs), are the storage vesicles from which surfactant is released into the alveolus and are a defining feature of the mature AT2 cell (12). LBs are part of the lysosome-related organelle (LRO) family and are intimately involved in surfactant homeostasis interfacing with surfactant exocytosis, endocytosis, and recycling (68).

Disruption of LB homeostasis promotes AT2 cell dysfunction and fibrotic lung remodeling and is best exemplified by the Hermansky-Pudlak syndrome (HPS), a rare autosomal recessive disorder caused by mutations in cell adapter proteins such as biogenesis of lysosome-related organelles complexes (BLOC-1, -2, -3) and AP3. HPS patients demonstrate marked impairments in biogenesis and function of LROs including platelet granules and melanosomes, with three HPS subtypes (1,2,4) also marked by the presence of giant LBs and early pulmonary fibrosis with UIP features (69). Recent in vitro modeling has helped define the link between loss of organelle homeostasis and HPS-AT2 cell-driven lung fibrosis. Gene edited stable HPS mouse cell lines (70), embryonic stem cells expressing HPS genes (71), and patient-specific induced pluripotent stem cell-derived AT2 cells (72) each exhibit disruption of LB homeostasis and provide proof of concept of their role as hubs for epithelial-immune cell and epithelial-mesenchymal crosstalk. These HPS AT2 models elaborate proinflammatory cytokines (e.g. MCP-1) cytokines complementing HPS mouse models that demonstrate AT2 mediated recruitment of profibrotic monocyte/ macrophages lineages (73). In addition, AT2 cells were recently shown to serve as a source both of the profibrotic cytokine IL-11 (71, 74, 75) as well as matrix metalloproteinases (76).

Clinical mutations in *ABCA3*, an ATP-dependent transporter of phosphatidylcholine and cholesterol into LROs further connects LB dysfunction to fibrotic lung disease. Critical to LB formation, homozygous null *ABCA3* mutations result in absent LB and neonatal respiratory failure in both humans and mice (77-82). Beyond loss of function, a number of clinical *ABCA3* mutations have been associated with pulmonary fibrosis (58). Similar to *SFTPC*, cellular phenotypes from *ABCA3* mutations are based on protein behavior with hypomorphic, ER retained, and mis-trafficked isoforms found (46). However, mechanistic links between *ABCA3* mutants, cell QC alterations, and fibrosis await further study (83).

Altered AT2 Metabolomics and Mitochondrial Dynamics

While much focus on bioenergetics and metabolism in IPF has centered on the fibroblast, recent transcriptomic analysis of IPF epithelia has identified distinct metabolic pathway changes in AT2 cells (43, 84). The functional importance of a potential metabolic shift remains undefined; however, pathways driving these changes could include alterations in mitochondrial biomass or function. The regulation of mitochondrial biogenesis, assembly (fusion), disassembly (fission), and clearance (mitophagy), collectively termed mitochondrial dynamics, adapts ATP production to situational needs such as enhanced biosynthetic requirements or progenitor cell function (reviewed in (85)). Alterations in mitochondrial biogenesis and mitophagy are features of aging (86) and these same molecular footprints are found in AT2 cells of IPF patients (33). Interestingly, in vitro and in vivo models that express the aforementioned *SFTPC* mutation, *Sftpc*^{I73T}, display commensurate loss of mitochondrial transmembrane potential ($\Delta\psi$), increased mitochondrial biomass, and impaired mitophagy (53, 54). Chemically-induced AT2 ER stress produces a decrease in *PINK1*, thus linking a more global dysfunction in the AT2 QC of malformed proteins with impaired mitochondrial homeostasis in IPF (87). Additional consequences of disrupted mitochondrial dynamics beyond energy production include AT2 cell oxidative injury and mitochondrial DNA (mtDNA) release (85, 88). Circulating mtDNA has recently emerged as a promising biomarker in IPF (89).

The bioenergetic consequences of epithelial dysfunction, be it from ER stress or impaired mitochondria homeostasis, are largely unexplored. The first bioenergetic functional assessment in the distal lung epithelia utilized both bleomycin and TGF- β mouse models to demonstrate decreases in AT2 mitochondrial membrane potential and oxygen consumption (90). Mechanistically these changes were traced to alterations in AT2 thyroid hormone metabolism as iodothyronine deiodinase, *DIO2*, which converts prohormone thyroxine (T4) to the active 3,5,3'-triiodothyronine (T3) form, was increased in the IPF lung. As proof of concept, thyroid hormone mimetics, acting through PPAR- γ coactivator 1 α (PGC-1 α), a master regulator of mitochondrial biogenesis, inhibited fibrosis. While the observed AT2 mitochondrial and bioenergetic changes await translation to human IPF, hypothyroidism has been associated with an unfavorable prognosis in IPF (91).

Telomere Attrition and Senescence

Telomere shortening activates a DNA damage response pathway that triggers cellular senescence, a state of permanent cell cycle arrest, and has been implicated in the pathogenesis of many chronic diseases of aging and dysfunctional tissue repair, including IPF (92). In multiple studies, interrogation of telomere length as a risk factor has shown that patients with either IPF or other fibrotic DPLDs are more likely to have shortened telomeres than age-matched controls. Shortened telomere length also portends worse outcomes in IPF (40, 93, 94). While, peripheral blood mononuclear cell telomere length has become the clinical surrogate for telomere length (95), short telomeres in AT2 cells were found in both patients with telomere gene mutations and in sporadic IPF with telomere shortening (40).

While mutations in telomerase components and maintenance genes appear in only a small portion of sporadic IPF patients, mutations in *hTERT*, *RTEL1*, and *hTR* commonly occur in patients with a family history of DPLD (40, 96, 97). In vivo modeling of clinical mutations has produced variable results. Global deletion of *Tert* and the induction of critically shortened telomeres in the epithelium did not result in spontaneous lung fibrosis and yielded variable responses to bleomycin injury (98-100). Conversely, induction of a substantial telomere-DNA damage response due to conditional AT2 deletion of shelterin complex genes (*Trf2* ; *Trf1*) does support a role for AT2 telomere QC in lung fibrosis: Two groups have demonstrated that deletion of *Trf1* in the AT2 cells results in age-dependent spontaneous lung fibrosis (100, 101), and deletion of *Trf2* resulted in AT2 senescence with impaired proliferative capacity, spontaneous lung inflammation, and fatal injury following bleomycin, which were not attributed to increased AT2 apoptosis (38). While the aforementioned AT2 cell telomere shortening can contribute to senescence, perturbation of mitochondrial homeostasis or activation of ER stress pathways may also contribute to this deleterious AT2 endophenotype (102, 103).

Markers of cellular senescence (p21; p53) have been identified in epithelia around fibrotic foci in IPF biopsies (104). Single-cell transcriptional profiling of the IPF lung has identified enrichment of senescence-associated pathways within the distal lung epithelial pool (84, 105). The mechanisms by which AT2 senescence contribute to fibrotic remodeling remain ill-defined but are likely related to both the transition to a senescence-associated secretory phenotype (SASP) and loss of epithelial repair/progenitor capacity. SASP is associated with

production of chemokines and cytokines that are implicated in crosstalk with immune cells as well as factors that are associated with mesenchymal crosstalk and tissue remodeling. In bleomycin-challenged mice, distal lung epithelial cells increase expression of profibrotic SASP factors, including MCP-1, matrix metalloproteinases, and TGF- β (105). Recent modeling of AT2 senescence by conditional deletion of Sin3a, a key component of the Sin3-HDAC complex, resulted in p53-dependent cellular senescence, AT2 cell depletion, and TGF- β -dependent spontaneous pulmonary fibrosis, further linking AT2 SASP signaling to fibrosis (106).

CONTRIBUTIONS OF OTHER DISTAL EPITHELIAL LINEAGES TO IPF

AT1 cell

The majority of the alveolar surface is covered by AT1 cells, whose thin squamous morphology and intimate contact with the adjacent capillary plexus permits efficient gas exchange. While loss of AT1 cells is a cardinal feature of IPF histology (107), how these cells contribute to fibrosis, including the inciting event that promotes their disappearance and how AT2 progenitor dysfunction is involved in AT1 dynamics, remains unclear. Single-cell transcriptomics from the IPF lung have identified cells elaborating markers of both AT2 and AT1 cells, revealing a subset of epithelial cells positioned as transitional cells (43, 108). Recent reports using bleomycin modeling and organoid culture identified and defined a homologous aberrant transitional cell in the mouse lung during fibrosis defined by *Krt8* expression and activation of p53 and senescence pathways (109, 110). Determining the factors that modulate complete AT2 trans-differentiation may provide additional insight into aberrant lung repair.

Distal Airway Epithelial Populations

The conducting airways of the distal human lung are composed of a complex, diverse set of secretory, mucin-producing, and multi-ciliated epithelial cells that transition to a low cuboidal morphology at the respiratory bronchiole ultimately intermixing with gas-exchanging alveoli (reviewed in (111, 112)). While recent focus has emphasized defects in the alveolar compartment as a primary driver, there are key pieces of evidence implicating potential contributions of proximal airway epithelial cells in IPF pathogenesis (113-116). To date, key populations identified include the following:

- i) Mucin-Producing Cells- A defining histological feature of IPF is the honeycomb cyst, a mucin-containing cystic airway structure lined with airway epithelium in the periphery of the fibrotic lung (5). Linkage analysis has identified a minor-allele SNP (rs35705950 “T” allele) in the upstream promoter of *MUC5B* that confers a 4-fold increase in susceptibility to IPF and is associated with increases in both *MUC5B* expression and mucin staining (114, 117). The *MUC5B* allele has also emerged as a risk for development of radiographic interstitial lung abnormalities (118). Recent identification that upregulation of the IRE1 pathway through XBP-1 in the airway epithelia differentially activates the *MUC5B* promoter carrying the rs35705950 T allele suggests a link between airway cell UPR activation and enhanced mucin production (119). However, mice over-expressing *Muc5b* in AT2 cells or conducting airways failed to support its role as a primary driver of spontaneous fibrosis (120). This model did, however, exhibit enhanced sensitivity to bleomycin.
- ii) Basal Cells and Transitional Epithelial Cells- Ectopic populations potentially contributing to honeycomb bronchiolarization include lineage-negative epithelial progenitor (LNEPs) cells, also referred to as distal airway stem cells (DASCs)(116, 121). LNEPs express the canonical basal cell genes *Krt5* and *Trp63*, and lineage tracing experiments in mice show that this rare population of distal airway cells migrate to the alveolar compartment and form pods resembling honeycomb cysts in areas of substantial injury (e.g. post-influenza). LNEPs are responsive to Notch signaling and driven by local lung hypoxia through the hypoxia-inducible factor HIF1 α . The identification of a distal lung epithelial subpopulation with activated Notch signaling in fibrotic human lungs suggests participation of dysplastic LNEPs in an aberrant repair program, perhaps activated to reconstitute a void in the distal lung left by AT2 progenitor failure (122).

Single-cell RNA sequencing of the IPF lung has also identified an aberrant transitional epithelial cell state with a putative origin in the distal airways defined by expression of markers of both basal cells (*KRT17*) and distal epithelial lineages (e.g. *SOX9*; *NAPSA*) and absence of *SOX2* (108, 123). Trajectory analysis suggests that the precursor for this transitional epithelial cell is a population intermediate between the *SCGB3A2*⁺ epithelia in respiratory bronchioles and a transitional AT2 cell state. While its role in IPF has not been established, it localizes to the epithelial layer covering fibroblastic foci and co-expresses markers of

epithelial-mesenchymal transition (*COL1A1*, *FN1*, *TNC*), the biomarker *MMP7*, and $\alpha V\beta 6$ integrin subunits (*ITBAV*; *ITGB6*), suggesting a functional role in niche homeostasis and TGF- β activation.

EPITHELIAL PARTICIPATION IN IPF CROSSTALK NETWORKS

The route from epithelial dysfunction to lung fibrosis requires contributions from multiple cell types (**Figure 1**). Identifying and interrogating the crosstalk between the epithelium and both immune cells and the mesenchyme is paramount to effectively identify targets improve IPF therapeutics.

AT2 Cell/Immune Cell Crosstalk

The role of the AT2 cell in recruiting immune effector cells including diverse macrophage populations and, in particular, the CCR2⁺ monocyte population, is now recognized as a key proximal event in lung fibrogenesis. The ligand for CCR2, MCP-1, was identified in the epithelium of IPF explants a decade prior to the recognition that a bone marrow-derived monocyte population played a critical role in the acceleration of fibrosis in bleomycin-treated mice (124-126). The specific contribution of the AT2 cell to the CCL2/CCR2 epithelial-macrophage axis required the development of preclinical models such as *Sftpc* and HPS mice (52, 54, 73, 127, 128). In both models, disruption of the MCP-1/CCR2 axis attenuated fibrosis. To date, successful targeting of this axis in IPF has been challenging, perhaps owing the redundancy in ligands and receptors responsible for monocyte recruitment (52, 129).

An additional critical reason for understanding epithelial-immune cell axes lies in the realization that, in some patients, the normal course of progressive fibrosis and lung function decline may become punctuated by periods of substantial inflammation often preceded by epithelial injury, be it by aspiration, viral infection, or environmental exposure (130-133). Clinically defined by appearance of new ground-glass opacities on chest radiography, a polycellular alveolitis, and histologically apparent diffuse alveolar damage (134), these events, termed, acute exacerbations (AE) of IPF portend a poor prognosis, with a roughly 50% in-hospital mortality and a rapid acceleration in the underlying fibrosis in survivors (135). Sera of patients experiencing IPF AEs demonstrate markers of epithelial injury and activation (136), as well as a number of putative lung epithelial-derived cytokines associated with recruitment of both monocyte and granulocyte populations (137, 138).

Epithelial-Mesenchymal Signaling

Multiple modes of epithelial-mesenchymal crosstalk exist in the fibrotic niche, including epithelial-derived growth factors, integrins, TGF- β signaling, and alterations in extracellular matrix causing release of matrikines. These signaling pathways are thought to accelerate the recruitment and activation of fibroblasts towards myofibroblast differentiation.

TGF- β signaling, pivotal in tempering tissue inflammation (139) (140), has been identified in the epithelia of patients with IPF (141). The importance of TGF- β for fibrogenesis has been affirmed using transgenic mouse models, where its overexpression in the distal lung epithelia produces aberrant remodeling marked by an amassing of myofibroblasts (20). The recent identification of *Tgfb* enrichment in AT2 cells in fibrosis models induced either by expression of *Sftpc* mutations or by genetic induction of AT2 senescence highlight a role for early AT2 cell production of TGF- β (52, 54, 106). Additionally, a recent study identifying mechanical stretch as an upstream regulator of both autocrine and paracrine TGF- β signaling suggests that the AT2 cell may be a source of alveolar niche TGF- β even in the absence of QC malfunction (142).

Increasingly, AT2 epithelial-mesenchymal signaling is felt to be bidirectional with fibroblast-driven epithelial proliferation. The recent identification of mesenchymal heterogeneity in the airway and alveolar compartment by multiple groups refines our understanding of this crosstalk during injury and repair (143, 144). Although contextualization of recently identified FGF, WNT, BMP, and IL-6/STAT3 signaling pathways between mesenchymal subpopulations and the distal lung epithelium in the setting of fibrotic remodeling has not been rigorously studied, consideration of these dynamics may provide additional upstream targets for IPF treatment.

CONCLUSIONS

While dysfunction in cellular quality control pathways has been well recognized as an important driver of neurodegenerative diseases, cancer, and aging, disruptions of this same network in the distal lung epithelium are only recently emerging as important pathogenic mechanisms and therapeutic targets for pulmonary fibrosis. Improved understanding of how cell quality control defects result in the emergence of aberrant AT2 cell endophenotypes and profibrotic signaling in the alveolar niche will permit both new insights into the proximal events leading to clinical IPF and expectantly open up many new opportunities for novel drug discovery.

AUTHOR CONTRIBUTIONS

MFB and JK developed the concept; JK drafted manuscript; MFB edited the manuscript. Both authors reviewed and approved the final version prior to submission.

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Figure 1

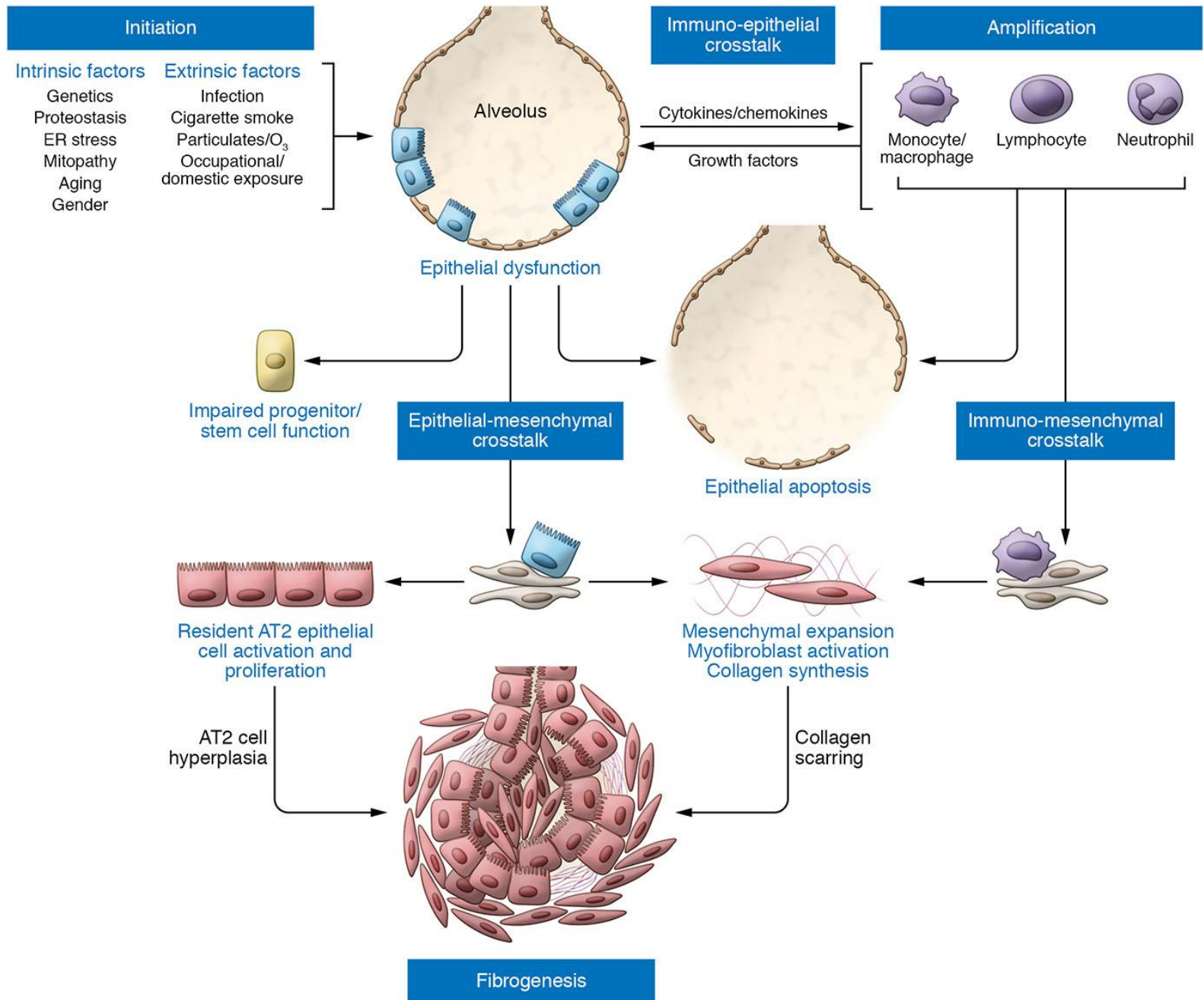


Figure 1. IPF pathogenesis driven by epithelial dysfunction occurs in three phases. Initiation: Intrinsic (e.g. genetic) and extrinsic (e.g. infection, air pollution) factors acting through various pathways converge to produce a vulnerable alveolar Type 2 epithelial cell (AT2) population (blue rectangular cells). Vulnerable AT2s subjected to continued intrinsic proteostatic/cell quality control challenges or additional secondary injurious stimuli (often recurrent) develop profound functional defects marked by aberrant activation of developmental programs, enhanced cell stress responses, impaired progenitor function, and/or apoptosis. **Amplification:** Dysfunctional AT2 cells (red rectangular cells) can initiate crosstalk with immune populations such as Ly6Chi monocytes, alveolar macrophages, neutrophils, or lymphocytes, which can both amplify the initial injury events and promote mesenchymal expansion further complemented by commensurate AT2/mesenchymal crosstalk. **Fibrogenesis:** The dysfunctional alveolar niche exhibits further feed forward mechanisms to promote ongoing AT2 dysfunction marked by increased proliferation (AT2 hyperplasia), impaired transdifferentiation to AT1 cells, and upregulation of senescence programs. Coupled with enhanced myofibroblast activation and matrix deposition, the disrupted injury-repair response leads to scar formation and progressive loss of lung architectural complexity culminating in progressive fibrotic remodeling, physiological derangements in gas exchange, and a clinically evident IPF phenotype.

Figure 2

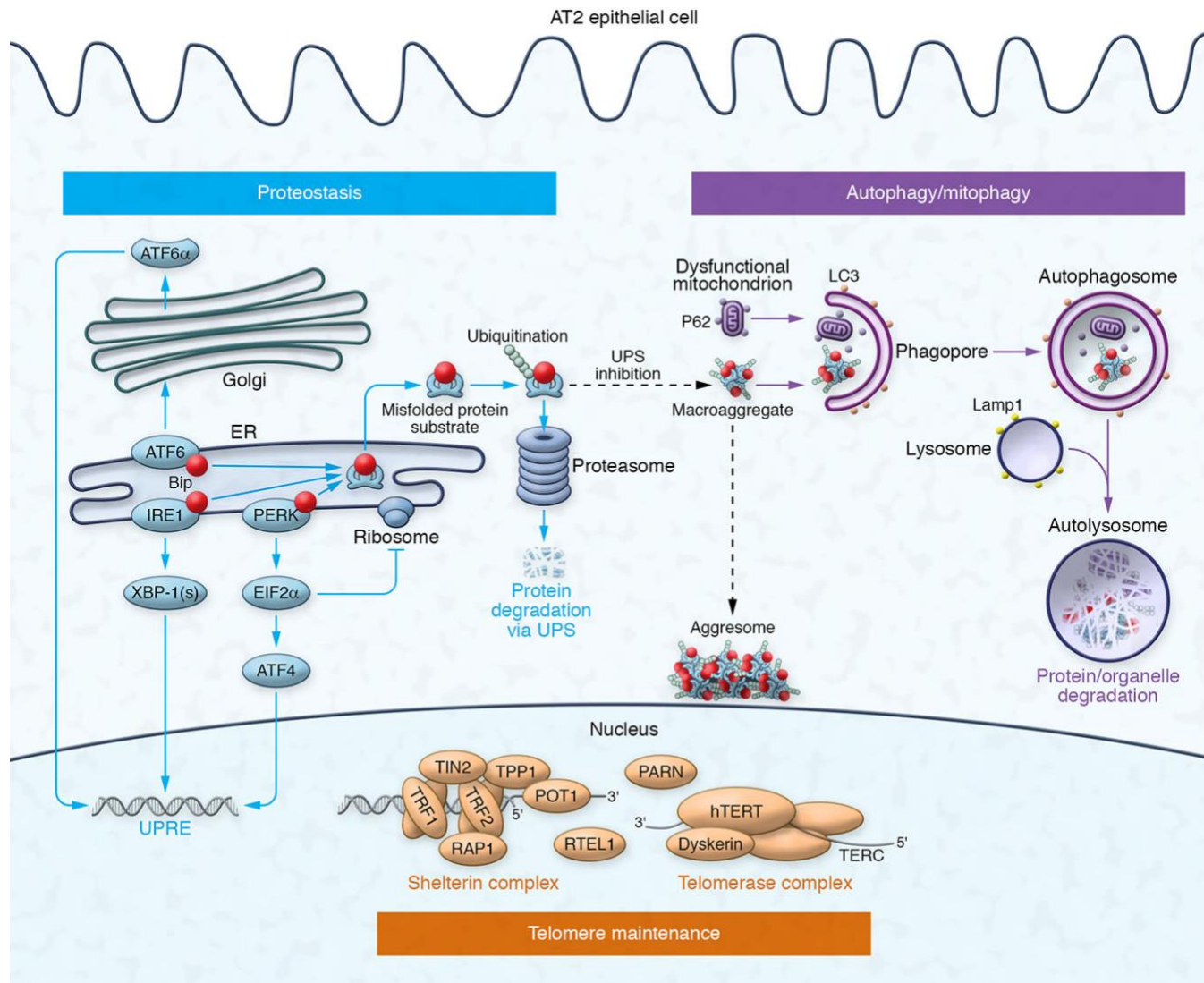


Figure 2. AT2 cell quality control pathways: homeostasis for proteins, organelles, and DNA.

Proteostasis (blue): Competitive binding of misfolded conformers to the molecular chaperone Bip activates one or more ER UPR sensors (ATF6, IRE1, PERK,) initiating signaling to upregulate chaperones via three pathways: (i) ATF6p90 cleavage to ATF6p50 in the Golgi; (ii) IRE1 endoribonuclease activity for splicing *XBP1*; (iii) PERK phosphorylation of eIF2 α repressing translation and upregulating ATF4. Proteins refractory to refolding are retro-translocated from the ER and targeted to the 26S proteasome for degradation by the UPS, via the ERAD process. UPS inhibition can promote cytosolic protein macroaggregates collected in the Aggresome via a microtubule dependent manner.

Autophagy and Mitophagy (purple): The autophagosome-lysosome system targets cytosolic protein aggregates (macroautophagy) and dysfunctional organelles, such as mitochondria (mitophagy), for degradation. Ubiquitin-binding receptors, such as p62/SQSTM1, recognize K-48 linked polyubiquitinated protein aggregates or K-63-linked polyubiquitin tagged mitochondrial outer membrane proteins (initiated by PINK1 recruitment of the E3 ligase parkin). LC3 binding envelopes ubiquitinated cargo and leads to elongation of isolation membranes (phagophores) and maturation into autophagosomes. Fusion with LAMP1(+) lysosomes results in an acidified and functional autophagolysosome (autolysosome) that degrades the internalized content.

Telomere maintenance (orange): Telomere length relies on the interaction between the multi-protein shelterin complex (end protection), the telomerase holoenzyme (elongation), and the DNA helicase RTEL1. Shelterin is composed of telomeric repeat binding factors 1 and 2 (TRF1 and 2), Tin2, TPP1, Rap1, and POT1. Key Telomerase components include a reverse transcriptase subunit (TERT), an RNA template (TERC), and dyskerin. PARN promotes TERC RNA maturation. Not depicted is the CST complex. This figure was adapted with permission from (46).

Figure 3

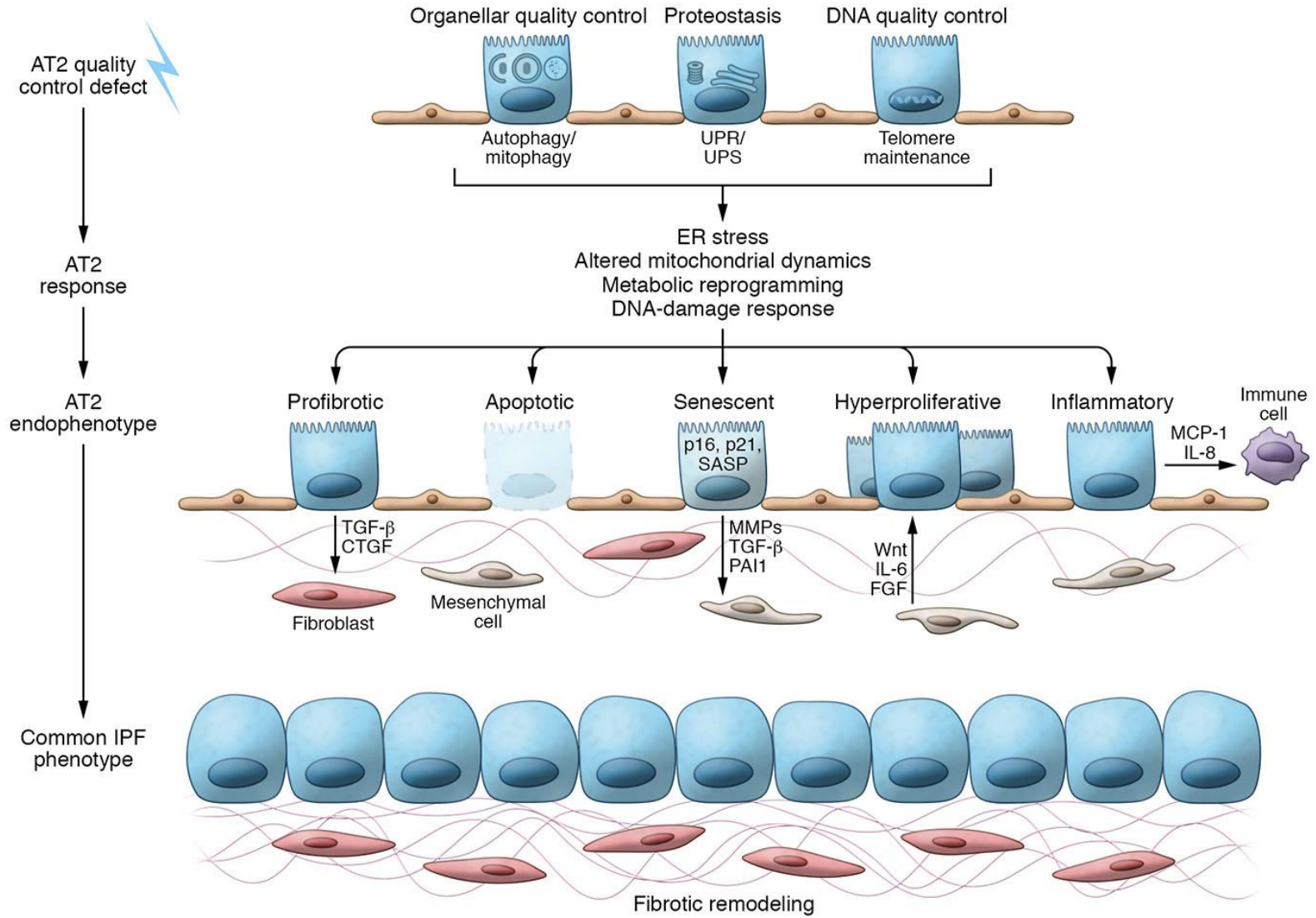


Figure 3. Cell quality control dysfunction and resulting endophenotypes that contribute to fibrotic remodeling. AT2 Cell Quality Control Defects: Effective AT2 Cell quality control relies upon management of malformed or misfolded proteins (proteostasis), degradation of dysfunctional organelles, and maintenance of telomere length. **AT2 Response:** The loss of quality control involves AT2 cell adaptive compensations, such as activation of the UPR/UPS to regain proteostasis. However, sustained quality control defects lead to cellular disruption and injury from ER stress, persistent mitochondrial dysfunction, metabolic reprogramming, and DNA damage responses. **AT2 Endophenotypes:** Modeling AT2 cell QC defects and interrogation of the IPF epithelial has identified a number of AT2 cell endophenotypes in the fibrotic lung. These include the production of profibrotic mediators including TGF- β , loss of AT2 cells through apoptosis, challenges to progenitor cell function resulting in the loss of progenitor capacity from senescence or emergence of hyperproliferative AT2 cells, and recruitment of immune cell populations. **Common IPF Phenotype:** The resultant loss of alveolar architecture in IPF is defined by fibrotic remodeling and myofibroblast expansion into fibroblastic foci and hyperplasia of lung epithelial cells.

Table 1. Dysfunctional Cell Quality Control and Lung Disease

Cell Quality Control Component	Clinical Diagnosis/ Phenotype	Lung Phenotype	Epithelial Based Molecular and Cellular Mechanism(s)	References
Proteostasis				
SFTPC Mutations	ChILD (Children) ILD (Adult)	NSIP +/- PAP UIP	Epithelial cytotoxicity from protein aggregation or mistrafficking including: - UPR/ER stress - Apoptosis - Impaired autophagy Cytokine / TGF- β generation	(47, 50-52, 54, 145)
SFTPA Mutations	ChILD (Children) ILD (Adult) Lung Cancer	NSIP +/- PAP UIP Adenocarcinoma	Epithelial dysfunction: - UPR/ER stress - Necroptosis Cytokine generation	(57, 60, 61, 146)
ABCA3 Mutations	ChILD (Children) ILD (Adult)	NSIP +/- PAP UIP	UPR/ER stress Impaired autophagy	(147-150)
Tobacco-related lung disease	COPD	Centrilobular Emphysema	ER stress Impaired ubiquitin-proteasome system	(151-153)
Organelar Homeostasis				
Hemansky-Pudlak Syndrome	HPS Pulmonary Fibrosis	Early onset UIP	Genetic Variants in <i>HPS1</i> , <i>HPS2</i> , or <i>HPS4</i> Abnormal (giant) lamellar bodies Macrophage dominant alveolitis	(69-72)
Birt-Hogg-Dube Syndrome	Cystic Lung Disease	Bilateral Basolateral Lung Cysts	Variants in <i>FLCN</i> (Folliculin)	(154-156)
Niemann-Pick Disease	Niemann-Pick Disease	ILD PAP	Mutation in <i>NPD-A</i> , <i>SMPD1</i> , <i>NPC1</i> , <i>NPC2</i> Altered LDL cholesterol, sphingomyelin,	(157-159)
DNA and Chromosome Maintenance				
Short Telomere Syndromes	Dyskeratosis congenita ILD	IPF / UIP NSIP	Genetic Variants: <i>RTEL1</i> , <i>TERT</i> , <i>PARN</i> , <i>TERC</i> <i>DKC1</i> , <i>NAF1</i> , <i>TINF2</i> Short telomeres	(40, 96, 160)

Abbreviations: ILD Interstitial Lung Disease; NSIP Non-specific Interstitial Pneumonitis; PAP Pulmonary Alveolar Proteinosis; UIP Usual Interstitial Pneumonitis; IPF Idiopathic Pulmonary Fibrosis; chILD Interstitial Lung Disease of Childhood; COPD Chronic Obstructive Pulmonary Disease