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**Methods:** Women, ages 18 to 55, with a body mass index  $\geq 35$  kg/m<sup>2</sup> and approved for bariatric surgery at the Johns Hopkins Center for Bariatric Surgery were recruited for participation. Demographics, anthropometrics, liking ratings, and neural responses to varying concentrations of sucrose+fat mixtures were assessed pre- and post-surgery via visual analogue scales and functional magnetic resonance imaging.

**Results:** Bariatric surgery produced decreases in liking for sucrose-sweetened mixtures. Greater preference for sucrose-sweetened mixtures prior to surgery was associated with greater weight loss in RYGB but not VSG. In the RYGB group only, individuals who showed lower taste-induced activation in the ventral tegmental area (VTA) prior to surgery and greater changes in taste-induced VTA activation [...]

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## **Taste-Related Reward is Associated with Weight Loss following Bariatric Surgery.**

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**Keywords:** taste, reward, ventral tegmental area, mesolimbic pathway, gut-brain axis, bariatric surgery, weight loss

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## **Abstract**

**Background:** Bariatric surgeries are the most effective treatments for successful and sustained weight loss but individuals vary in treatment response. Understanding the neurobiological and behavioral mechanisms accounting for this variation could lead to the development of personalized therapeutic approaches and improve treatment outcomes. The primary objectives were to investigate changes in taste preferences and taste-induced brain responses after Roux-en-Y gastric bypass (RYGB) and vertical sleeve gastrectomy (VSG) and to identify potential taste-related predictors of weight loss.

**Methods:** Women, ages 18 to 55, with a body mass index  $\geq 35$  kg/m<sup>2</sup> and approved for bariatric surgery at the Johns Hopkins Center for Bariatric Surgery were recruited for participation. Demographics, anthropometrics, liking ratings, and neural responses to varying concentrations of sucrose+fat mixtures were assessed pre- and post-surgery via visual analogue scales and functional magnetic resonance imaging.

**Results:** Bariatric surgery produced decreases in liking for sucrose-sweetened mixtures. Greater preference for sucrose-sweetened mixtures prior to surgery was associated with greater weight loss in RYGB but not VSG. In the RYGB group only, individuals who showed lower taste-induced activation in the ventral tegmental area (VTA) prior to surgery and greater changes in taste-induced VTA activation two weeks following surgery experienced better weight loss.

**Conclusions:** The anatomical and/or metabolic changes associated with RYGB may more effectively “reset” the neural processing of reward stimuli, thereby rescuing the blunted activation in the mesolimbic pathway found in patients with obesity. Further, these findings suggest that RYGB may be particularly effective in patients with a preference for sweet foods.

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## Introduction

Bariatric surgery results in significant and sustained weight loss, making it the most successful treatment to date for obesity (1-3). The two most common bariatric interventions are the Roux-en-Y gastric bypass (RYGB) and the vertical sleeve gastrectomy (VSG). In both surgeries, the surgeon physically alters the normal integrity of the gastrointestinal tract, reducing the stomach to a fraction of its original size, ~80 to 120 ml in VSG and ~20 to 30 ml in RYGB. Additionally, RYGB involves transection of the proximal jejunum, attachment of the Roux limb to the gastric pouch, and reattachment of the stomach, duodenum, and proximal jejunum to the distal jejunum. Changes in various mechanisms involved in the homeostatic and hedonic control of food intake have been suggested to contribute to the effectiveness of these bariatric interventions (see (4) for review). Potential gastrointestinal mechanisms include reduced gastric capacity and removal or reduction of the ghrelin-producing cells of the stomach, increased postprandial anorexigenic gut peptide release, and alteration of the gut microbiome (e.g. 5-12). However, our understanding of the impact of bariatric surgery on the central nervous system, more specifically on brain reward pathways is limited (13).

The hedonic control of food intake is putatively mediated by the brain's opioid and dopamine systems, including the ventral tegmental area (VTA), striatum, and hypothalamus (see (14) for review). Bariatric surgery appears to improve obesity-associated functional changes in central reward circuits (15). Previously, we reported that individuals with obesity who underwent RYGB had increases in striatal dopamine D2 receptor availability by positron emission tomography (PET; 16). However, the directionality of these changes as assessed by PET is not universally observed (17). Other investigators have reported that reward circuit responses to food cues as assessed by functional magnetic resonance imaging (fMRI) are reduced (18-20). Psychophysical methods ranging in rigor have shown increases in the sensitivity to (21-23, but see 24) and decreases in the palatability for (21,24; but see 22,25) sweet-tasting foods and liquids in patients who received bariatric surgery. This is further supported by dietary recall measures and patient interviews that suggest a decrease in the selection and consumption of sugary foods following surgery (26-30) although such reports are variable (24,31,32). Direct measurement of food intake via an ad libitum buffet meal showed no change in food preference 6 months following surgery (33). Whether RYGB and VSG yield similar behavioral and neural changes in hedonic

processing is unknown. Furthermore, the time course for the development of surgery-induced neural and behavioral alterations is yet to be elucidated.

Our overarching hypothesis is that the robust weight loss observed following bariatric surgery results, in part, from neurobiologic changes in reward regions of the brain, specifically involvement of the mesolimbic dopaminergic pathway. Motivated by clinical observation and personal accounts from our bariatric surgical cohort, we hypothesized that bariatric surgery may alter taste preferences and thereby induce weight loss. Our primary aims were to 1) investigate bariatric surgery-induced changes in taste preference for sucrose- and fat-containing mixtures as measured by taste preference ratings using visual analogue scales and neural activation via blood-oxygen-level-dependent (BOLD) signaling before and after bariatric surgery, and 2) evaluate associations of these measures with the degree of weight loss 6 months following surgery. We hypothesized that bariatric surgery would decrease liking for mixtures with high sucrose or high fat content and enhance neural responses to 'sweet' and 'fat' in regions implicated in taste and reward. Further, based on published patient reports (34), clinical observation, and direct personal accounts from the bariatric patients themselves, we expected these changes in taste preference to occur immediately following surgery and diminish over time, with more robust changes observed in patients who received RYGB relative to those receiving VSG. We also tested whether baseline and short-term changes in these behavioral (taste preference) and neural (BOLD signaling) measures would be associated with 6-month weight loss outcomes.

## RESULTS

### Participant Demographics

Among the 48 study participants (VSG: N=25, RYGB: N=23), all were female and the baseline characteristics similar between surgery groups, including age (VSG:  $38.92 \pm 1.51$  vs. RYGB:  $40.0 \pm 1.92$ ), race (VSG: 40% white vs. RYGB: 70% white), and baseline weight measures [body mass index (BMI; VSG:  $43.41 \pm 0.99$  vs. RYGB:  $44.56 \pm 1.06$ ) and body weight (VSG:  $269.26 \pm 6.14$  vs. RYGB:  $270.15 \pm 7.25$ )]. Groups were similar across obesity-related comorbidities with the exception of gastroesophageal reflux disease (GERD) at baseline (VSG: 64% vs. RYGB: 78.3%,  $p=0.003$ ). Complete participant demographics and statistical comparisons are listed in Table 1.

### Effectiveness of Bariatric Surgery on Weight Loss

Bariatric surgery significantly reduced body weight in patients as early as 2 weeks, which was sustained to 6 months following surgery ( $p<0.001$ ). RYGB resulted in greater percent total weight loss (%TWL; Figure 2A) relative to VSG at 3 months (%TWL:  $t_{(46)}=2.421$ ,  $p=0.019$ ) and 6 months (%TWL:  $t_{(46)}=2.988$ ,  $p=0.004$ ) following surgery, although %TWL at 3 months did not withstand correction for multiple comparisons (corrected  $p=0.057$ ). Supplementary Figure 1 presents data as changes in BMI and percent excess weight loss (%EWL) and Supplementary Table 1 presents data expressed as changes in body weight, BMI, %TWL, and %EWL. For the remainder of the manuscript, %TWL will be used to describe the associations of weight loss with behavioral and neuroimaging measures.

### Fasting Hunger Ratings

There was no difference in preoperative fasting hunger ratings between the surgical groups ( $t_{(38)}=-0.570$ ,  $p=0.572$ ). Bariatric surgery reduced hunger at 2 weeks post-surgery (RYGB:  $t_{(19)}=4.003$ ,  $p=0.001$ ; VSG:  $t_{(19)}=2.991$ ,  $p=0.008$ ), but this change in hunger returned to baseline levels by 3 months (RYGB:  $p=0.371$ , VSG:  $p=0.345$ ; see Supplementary Figure 2).

## **Preoperative Behavioral (Taste Preference) and Associations with Weight Loss**

### **Liking for Sucrose-Sweetened Mixtures Prior to Surgery is Associated with Greater Weight Loss Following RYGB but not VSG**

Preoperative liking ratings for mixtures with added sucrose were positively associated with 6-month %TWL following RYGB, but not VSG (Figure 2B). Individuals who gave higher ratings to sugar-sweetened mixtures [skim milk with 20% added sucrose ( $p=0.041$ ), half-&-half with 10% added sucrose ( $p=0.014$ ), and whole milk with 20% added sucrose ( $p=0.004$ )] prior to RYGB experienced greater %TWL than those who gave lower ratings to the same mixtures. There was no relationship between preoperative ratings for sucrose-sweetened mixtures and %TWL in the VSG group.

We further explored preoperative taste preference as a potential surgery-specific predictor of optimal weight loss. Bariatric patients were subdivided into 4 groups based on surgery type and preoperative ratings of each taste mixture (median split of scale 0-50 and 51-100 on VAS from 0-100). Patients with a higher liking for half & half with 10% added sucrose prior to surgery (preferrers) experienced significantly greater 6-month %TWL following RYGB compared with patients who underwent RYGB and reported lower liking (non-preferrers;  $p=0.003$ ) or VSG patients reporting higher (preferrers;  $p<0.001$ ) or lower liking (non-preferrers;  $p=0.004$ ) (Figure 2C).

## **Postoperative Behavioral (Taste Preference) and Associations with Weight Loss**

### **Changes in Liking Ratings for Sucrose- Containing Mixtures**

Prior to surgery, both groups demonstrated concentration-dependent liking for sucrose, with higher liking for the mixtures with added sucrose compared with no added sucrose (Supplementary Figure 3A). The intraclass correlation (ICC) value for pre-operative liking ratings of the eight sucrose-sweetened stimuli was  $r=0.822$ , indicating a high degree of reliability across ratings for the sucrose-sweetened mixtures. Supplementary Table 2 details the ICC for ratings for mixtures of each sucrose content. After surgery, liking ratings for mixtures with added sugar decreased significantly from baseline across both groups (Figure 3A). Two weeks following surgery, concentration-dependent liking for sucrose was still apparent in both groups. However, by 3 months and at 6 months post-RYGB, no differences in ratings for the 0-20% added sugar mixtures were observed

(Supplementary Figure 3A) due to decreased ratings for the mixtures with 10% and 20% added sucrose (Figure 3A). VSG had no effect on concentration-dependent sucrose liking (Supplementary Figure 3A).

### **Changes in Liking Ratings for Fat-Containing Mixtures**

No concentration-dependent liking for fat was observed for either surgical group prior to surgery (Supplementary Figure 3B). The ICC value for pre-operative liking ratings of the nine fat-containing stimuli was  $r=0.598$ , indicating moderate reliability across ratings for the mixtures with various fat content. Supplementary Table 2 details the ICC for ratings for mixtures of each fat content. At 2 weeks following surgery, both groups showed concentration-dependent liking for fat (RYGB:  $p=0.030$ , VSG:  $p=0.002$ ; Supplementary Figure 3B), but this persisted only in the VSG group out to 3 months post-surgery (RYGB:  $p=0.731$ , VSG:  $p<0.001$ ; Supplementary Figure 3B). By 6 months, as observed preoperatively, concentration-dependent liking for fat was no longer present in either group ( $p=0.115$ ; Supplementary 3B). Significant decreases in liking for whole milk were observed following RYGB (with the rating difference at 3 months from baseline surviving correction ( $t_{(20)}=2.785$ ,  $p=0.011$ , corrected  $p=0.033$ ; Figure 3B). Liking for half-&-half was lower at baseline and 2 weeks and 3 months post-surgery in RYGB compared with VSG recipients, with the group difference at 2 weeks surviving correction ( $t_{(41)}=-3.041$ ,  $p=0.004$ , corrected  $p=0.016$ ; Figure 3B).

### **Changes in Liking Ratings Two Weeks Following RYGB but not VSG are Associated with 6-Month Weight Loss**

Difference scores representing post-operative changes in liking that occurred 2-weeks following surgery prior to sustained weight loss were calculated to identify any association of short-term taste preference changes on %TWL. Following RYGB, decreases in liking for “high fat” (cream, 0% added sucrose) correlated with greater %TWL at 6 months ( $p=0.022$ ; Figure 3C). No association between post-operative changes in taste ratings and %TWL was observed following VSG.

### **Preoperative Neural (fMRI) Associations with Weight Loss**

#### **Lower Preoperative Taste-Induced BOLD Signaling in the VTA is Associated with Greater 6-Month Weight Loss Following RYGB but not VSG**

Preoperative activation in the following *a priori* reward regions of interest (ROI) to the high fat (cream, 0% added sucrose), high sugar (skim milk, 20% added sucrose) and preoperative preferred mixtures did not correlate with

6-month %TWL: amygdala, insular cortex + Rolandic operculum, caudate, and putamen. Preoperative responses in the VTA ROI to the high fat (cream, 0% added sucrose), high sugar (skim milk, 20% added sucrose), and preoperative preferred mixtures were negatively correlated with %TWL at 6 months following RYGB (high fat:  $p=0.006$ , high sugar:  $p=0.006$ , preoperative preferred mixtures:  $p=0.019$ ; Figure 4; Supplementary Table 3). Participants who exhibited reduced VTA responses prior to RYGB experienced more weight loss after surgery than those who exhibited greater VTA responses prior to RYGB. In contrast, there was no correlation between preoperative VTA responses and changes in body weight at 6 months following VSG (Figure 4).

### **Postoperative Neural (fMRI) Associations with Weight Loss**

#### **Greater Changes in Taste-Induced BOLD Signaling in the VTA Two Weeks Following RYGB but not VSG is Associated with Better 6-Month Weight Loss**

Short-term changes in VTA activation in response to the high fat ( $p=0.012$ ) and high sucrose ( $p=0.035$ ) mixtures, but not the preoperative preferred mixture ( $p=0.288$ ), were positively associated with %TWL at 6 months following RYGB (Figure 5; Supplementary Table 4). Participants who showed greater taste-induced changes in BOLD signaling in the VTA at 2 weeks relative to baseline experienced more weight loss following RYGB than those who exhibited smaller changes. No such relationship was identified in the VSG group (Figure 5).

## Discussion

Our data support the effectiveness of both VSG and RYGB for the treatment of obesity. Currently VSG is the most common bariatric procedure performed due to technical ease, reduced early postoperative complications (35), and assumed similar efficacy to RYGB. We found that RYGB resulted in greater weight loss than VSG as early as 6 months (Figure 2). Early postoperative weight loss with both RYGB and VSG is likely driven by a profoundly negative energy balance and catabolic state. Decreased hunger may also influence initial weight loss. We found reductions in subjective fasting hunger ratings at 2 weeks following bariatric surgery, which did not persist at later measurements (Supplementary Figure 2). Changes in hunger may therefore be partially responsible for initial reductions in food intake and subsequent weight loss but are unlikely to drive the neural and behavioral alterations and weight loss success observed at later time-points here.

Preoperative liking ratings of mixtures with added sucrose were positively associated with greater %TWL in RYGB but not VSG. Our results suggest that preoperative taste preference could function as a clinical predictor of weight loss success. Specifically, bariatric surgical patients undergoing RYGB who expressed a strong liking (51-100 on a VAS from 0-100) for a sweet-tasting mixture of half-&-half with 10% added sucrose experienced greater %TWL than those who rated this sweet mixture less highly (0-50 on the scale). This predictive effect of taste preference did not extend to patients undergoing VSG. VSG recipients, regardless of liking rating, lost an amount of weight equivalent to that of RYGB patients who did not rate the sweet mixture highly (Figure 2). Our results support investigation of a potential tool for precision medicine whereby a clinician could elicit a patient's sweet mixture ratings during preoperative evaluation and recommend RYGB over VSG for those providing a high rating.

Bariatric surgery, particularly RYGB, resulted in acute decreases in liking for taste mixtures with added sugar and fat, consistent with previous literature (36). Indeed, RYGB eliminated concentration-dependent responses to mixtures with added sucrose and RYGB patients who demonstrated a decreased preference for fat 2 weeks postoperatively lost significantly more %TWL at 6 months than those who showed no change or increased taste preference for fat (Figure 3). No such effects were apparent for the VSG group. Together the above findings suggest that the anatomical and/or metabolic changes associated with RYGB may more effectively “reset” the

neural processing of reward stimuli, thereby rescuing the blunted activation of the mesolimbic pathway found in patients with obesity. Further, they suggest that RYGB may be particularly effective in patients with a preference for sweet foods. This is consistent with the hypothesis that RYGB facilitates weight loss by decreasing an initial high liking for energy-dense foods, which in turn may reduce selection and consumption of such foods after surgery (26-30).

Foods that are high in sugar and fat stimulate reward circuits that promote their future consumption, and chronic exposure to such foods may result in blunted responses to those foods in brain reward circuits (18,19). BOLD signaling, while a proxy of neural activation, has been shown to reflect dopaminergic activity in the VTA (37). We used BOLD-fMRI to show for the first time that responsiveness at this central reward site to palatable, high-energy dense mixtures is associated with weight loss following bariatric surgery. We found that patients who exhibited lower BOLD responses in the VTA to palatable mixtures prior to RYGB lost more weight 6 months post-surgery than RYGB recipients who exhibited higher VTA responses (Figure 4). Preoperative BOLD responses in the VTA to palatable mixtures were not correlated with changes in body weight at 6 months following surgery in patients who underwent VSG. Further, following RYGB only, greater 2-week changes in VTA responses to high fat and high sucrose mixtures were associated with greater %TWL at 6 months (Figure 5). The short term changes in VTA responsivity within 2 weeks of surgery may reflect a restoration of formerly blunted neural responses that could be partly driven by improved dopaminergic function in individuals with obesity following bariatric surgery, specifically RYGB, and may be responsible for postoperative shifts in dietary choices toward less energy-dense foods (26-30). These results are also consistent with our previous work showing that increases in dopamine receptor availability following bariatric surgery are approximately proportional to the amount of weight loss (16). Similar RYGB-specific BOLD changes in the VTA in response to high calorie food cues relative to low calorie food cues despite equivalent weight loss between RYGB and VSG patients have been observed (38). Falconbridge et al. also found changes in VTA BOLD responses to be positively associated with changes in fasting ghrelin following bariatric surgery, pointing to a possible mechanism of action. However, this association was not specific to RYGB; changes in fasting ghrelin after both VSG and RYGB correlated with changes in VTA BOLD responses.

Baseline behavioral or biological predictors of variability in surgery-induced weight loss hold the most clinical value in their potential to guide treatment choice. However, we also explored whether early changes in taste preference could predict treatment response, since early identification of individuals at higher risk for poor weight loss could guide delivery of postoperative interventions. While these findings require replication in a larger sample and longer-term follow-up, they suggest that surgery-specific effects on reward function occur early following surgery and may ultimately contribute to procedure-specific differences in weight loss.

**Limitations.** Patients were not randomly assigned to a surgical intervention. Other than the higher prevalence of GERD, our patient population was well matched between surgical groups (see Table 1). The higher prevalence of GERD in the RYGB group is not surprising given the increasing evidence of post-operative GERD-related issues following VSG. Patients with significant pre-operative GERD symptoms are generally encouraged to consider RYGB. We did not measure adherence to postoperative lifestyle recommendations such as dietary modification. Future studies should include explicit assessments of dietary intake to ascertain bidirectional relationships between taste preference changes and eating behaviors following surgery. While our sample size was sufficiently large to detect surgery-induced changes in taste preferences, larger studies in a separate sample are necessary to validate the ability of the behavioral and neural markers we identified here to predict weight loss from RYGB and VSG. We only administered the high fat with 0% added sucrose and the skim milk with 20% added sucrose solutions to all subjects in the scanner. Recent work has demonstrated that combinations of fat and sugar produce supra-additive effects on neural activation (39). The addition of a high sucrose, high fat combination may produce more robust neuroimaging results, adding strength to predictions.

**Conclusions.** This study is the first to directly measure reductions in liking for and changes in BOLD signaling in response to sucrose- and fat-containing mixtures and associate these with weight loss outcomes. Our findings suggest that bariatric surgery may correct brain responses to high-sucrose and high-fat food stimuli within circuits mediating reward function. These results constitute the first evidence for a specific neurobiologic mechanism for postoperative changes in taste preference. Such changes in taste preference may affect food intake and weight reduction. We also demonstrate potential predictors of weight loss specific to surgery type. Greater preoperative liking of sugar-sweetened mixtures is associated with greater 6-month weight loss among RYGB patients. Lower

preoperative VTA activation and greater 2-week changes in VTA response to high-sugar and high-fat mixtures correlated with improved weight loss with RYGB but not VSG. Our findings further illuminate the neurobiological correlates of reward and its connection to obesity and weight loss, with practical implications for clinicians involved in the care of preoperative bariatric patients.

## Methods

**Participants.** Male and female adults, 18 to 55 years of age with a body mass index (BMI)  $\geq 35$  and approved for bariatric surgery at the Johns Hopkins Center for Bariatric Surgery were recruited for this cohort study (see Figure 1). Participants were ineligible if they met any of the following criteria:

1. Had an active DSM-IV Axis 1 diagnosis within the past 3 months with the exception of binge eating disorder
2. Had a DSM-IV diagnosed substance dependent disorder
3. Reported or tested positive for drug use within the past 6 months
4. History of heavy smoking (>2 packs of cigarettes/day)
5. Consumed >14 alcoholic beverages/week or 5+ alcoholic beverages/day
6. Actively taking psychoactive medications
7. Pregnant or lactating
8. Reading below a 5<sup>th</sup> grade reading level
9. Had a history of head injury, central nervous system disorders, neurosurgical procedures, syncope
10. Lactose intolerance
11. Received recent (within 3 months) treatment for 2+ weeks with antidepressants, neuroleptics, sedatives, hypnotic medications, isoniazids, glucocorticoids, psychostimulants, appetite suppressants, opiates and opiate antagonists
12. Had an inability to undergo MRI (ex. claustrophobia, metal implant, pacemaker, foreign body)
13. Exposed to an investigational drug within 30 days of the study
14. History of altered taste acuity.

Initial participant sample size was N=53. Originally, 3 of the 5 male participants enrolled in this study were approved and scheduled for RYGB. However, due to intra-operative findings and safety considerations, the surgeon opted for VSG rather than the planned RYGB. To eliminate 'sex' as a confounding variable, the 5 males were not included in the analyses and therefore only data from females within the VSG group were included here. Final participant sample size for each group was RYGB: N=23, VSG: N=25 (total N=48) and all female.

Study measures were collected across 4 time-points: 2 weeks  $\pm$  14 days *prior* to surgery, 2 weeks  $\pm$  14 days after surgery, 3 months  $\pm$  30 days after surgery, and 6 months  $\pm$  30 days after surgery. All participants were asked to refrain from eating or drinking, with the exception of water, at least 4 hours prior to each study assessment. Participant weight and height were measured using a high-capacity digital scale and stadiometer, respectively. If a participant was lost to follow-up, her body weight was gleaned from the Johns Hopkins Hospital electronic medical record system (EPIC) if available. Participants then underwent a modified version of the taste preference test employed by Drewnowski et al. (40).

**Taste Preference Test.** Twelve taste mixtures of varying fat (milk) and sugar (sucrose) content were presented in randomized order to each participant in clear 30cc disposable plastic cups and given an arbitrary number-letter label for patient scoring purposes. These mixtures were skim milk, whole milk, half-and-half, and cream prepared with either 0%, 10%, or 20% added sugar. Participants sampled each taste mixture, swished it in her mouth, and rated her liking of the stimulus on a 100-mm hedonic VAS anchored by “Not at All” to “Very Much” on the left and right respectively prior to expectoration. A water rinse was presented after expectoration of each taste sample. Ratings on the VAS scale were recorded using Qualtrics survey software. At the conclusion of each taste test, patients were asked to confirm the mixture that they most preferred. Each participant also selected one of four solutions composed of varying concentrations of sodium bicarbonate and potassium chloride that were designed to mimic the tasteless nature of saliva (41) (for application in the fMRI paradigm). Prior to the taste assessments, participants rated their level of hunger on a similar 100-mm VAS anchored by “Not at All” to “Very Much”.

**fMRI paradigm.** The taste preference test always preceded the fMRI paradigm. All participants underwent standard MRI screening procedures prior to MRI scanning. An MRI-compatible gustometer delivered taste mixtures in a block design and in pseudo-randomized order to the participant’s mouth during scanning for measurement of real-time, taste-induced BOLD responses (paradigm modeled after Veldhuizen et al. (42)). Patients were instructed to focus on how much they liked or disliked the taste of the solution during oral infusion. BOLD responses to the high fat (cream, 0% added sugar) and high sugar (skim milk, 20% added sugar) mixtures presented in the taste preference array described above were assessed at 2 time-points: 2 weeks  $\pm$  14 days

*prior* to surgery and 2 weeks  $\pm$  14 days *after* surgery. For the preoperative/baseline scan, the following taste mixtures were delivered at a rate of 0.4 ml/4s delivered across 66 trials in 4 runs: a high fat mixture (cream, 0% added sugar), a high sugar mixture (skim, 20% added sugar), and the participant's most preferred mixture and the "tasteless" solution selected during the preoperative/baseline taste test. A 4 s water rinse was delivered following each taste solution presentation. Postsurgical/follow-up scans were conducted identically to the preoperative/baseline scans with the following adjustments to stimulus delivery: 1) the participant's most preferred stimulus at the postoperative/follow-up taste test was added to the fMRI stimulus delivery array thus increasing the trial number to 77 trials to facilitate the addition of the participant's most preferred stimulus assessed at the respective postsurgical/follow-up taste test, and 2) the "tasteless" solution selected at the respective postsurgical/follow-up taste test was substituted for the previously delivered preoperative "tasteless" solution. Six programmable syringe pumps (NE-1000, New Era Pump Systems Inc.) delivered the mixtures to the participant from 60-ml syringes via Tygon tubing (McMaster #5103K32; 1/8" inside diameter) to a manifold affixed to the sliding table of the fMRI scanner (3.0 Telsa Phillips HealthCare, Best, the Netherlands). Stimulus delivery was controlled using Matlab (R2017b; MathWorks Inc., Sherborn, MA). A multi-element 32-channel receiver head coil was used to acquire BOLD-weighted MRI data using single-shot SENSE-EPI (TR/TE = 2000/30 ms; flip angle = 70°; 3x3x3 mm<sup>3</sup> resolution; acquisition matrix, 64x52; 3-mm thick slices; field of view (FOV), 192x156x117 mm; acquisition of 39 contiguous slices). High-resolution anatomical images were acquired using a T1-weighted 3D magnetization-prepared rapid acquisition gradient-echo (MPRAGE) sequence (TR/TE = 8.0/3.70 ms; flip angle = 8°; 1x1x1 mm<sup>3</sup> resolution; acquisition matrix, 212x172; 1-mm thick slices; FOV, 212x172x150; 150 slices). Slices were acquired in ascending mode. A clinical radiologist reviewed all scans for identification of abnormalities in brain structure.

**Statistics.** Behavioral and anthropometric data were analyzed using SPSS Statistic Software and fMRI data were analyzed using SPM12 (Wellcome Department of Cognitive Neurology) with Matlab Software. The alpha level was established at 0.05 and analyses were controlled for multiple comparisons when applicable. The Greenhouse-Geisser correction was used to produce a more valid critical F-value if sphericity was violated. A one-way Analysis of Variance (ANOVA) was conducted on age, preoperative/baseline BMI, and preoperative/baseline body weight and chi-square analyses were conducted on race and obesity comorbidities

(i.e. Type 2 diabetes, hypertension, hyperlipidemia, sleep apnea, GERD) with surgery group as the between-subjects factor to assess differences between groups in patient demographics. A repeated-measures (surgery group x time) ANOVA and follow-up paired-samples t-tests were performed on hunger ratings to assess changes between and within surgical groups across time (RYGB: N=20, VSG: N=20).

Effectiveness of bariatric procedures was assessed by repeated measures ANOVAs (surgery group x time) on  $\Delta$ BMI, %TWL, and %EWL. The following formulas were used to calculate %TWL and %EWL:  $\%TWL = [(Preoperative\ or\ Baseline\ Weight) - (Postoperative\ Weight)] / [(Preoperative\ or\ Baseline\ Weight)] \times 100$  and  $\%EWL = [(Preoperative\ or\ Baseline\ Weight) - (Postoperative\ Weight)] / [(Preoperative\ or\ Baseline\ Weight) - (Ideal\ Weight)] \times 100$  with ideal weight being defined as the weight equivalent to a BMI of 25 kg/m<sup>2</sup>. Linear interpolation was used to calculate body weight values for two VSG patients--one who missed a 2-week and another who missed a 3-month visit--because body weight data were not available in EPIC. T-tests were run to compare changes in body weight across time between surgical groups when a significant main effect or interaction was identified. Sample size for these analyses were RYGB: N=23, VSG: N=25. Bivariate correlations were also conducted on %TWL,  $\Delta$ BMI, and %EWL at 6 months following surgery with preoperative liking ratings of each taste mixture. Patients who completed the baseline taste visit and whose 6-month weight was available were included in these analyses (RYGB: N=23, VSG: N=25).

Intraclass correlations were conducted on preoperative taste ratings to determine the reliability of ratings across each sugar and fat group. To assess changes in taste preferences between surgical groups across time, repeated-measures ANOVAs (surgery group x time) were conducted on ratings of the mixtures collapsed across each sucrose (0, 10, 20%) and fat (skim, whole, half-&-half, cream) concentration. Repeated measures ANOVAs (surgery group x concentration) were run on ratings of the mixtures collapsed across each sucrose (0, 10, 20%) and fat (skim, whole, half-&-half, cream) at each time-point to determine the effect of bariatric surgery on concentration-dependent responding. One-way repeated measures ANOVAs were then conducted to determine the effect of bariatric surgery on concentration-dependent responding within each group. One-way repeated measures ANOVAs and t-tests were run to compare changes in VAS ratings when a significant main effect or

interaction was identified. Only patients who completed all 4 taste visits were included in these analyses (RYGB: N=21, VSG: N=22).

Difference scores were then used to determine the extent to which taste changes induced by bariatric surgery predicted 6-month weight loss success (%TWL). Difference scores were calculated by subtracting the 2-week postsurgical rating of each taste mixture from the preoperative/baseline rating, where positive values represent increases in preference and negative values represent decreases in preference for a mixture following surgery. Bivariate correlations were conducted on %TWL from surgery with difference scores at 2 weeks for each taste mixture. Patients who completed the baseline and 2-week postoperative taste visits and whose 6-month weight was available were included in these analyses (RYGB: N=22, VSG: N=24).

Preoperative/baseline hedonic ratings were explored as potential preoperative predictors of optimal weight loss success. Bariatric patients were subdivided into 4 groups (surgery group x liking group) based on their preoperative liking ratings of each taste mixture. The two "liking" groups were patients who rated the respective mixture on the visual analog scale with a 51-100 prior to surgery (preferrers) and those who rated the mixture with a 0-50 prior to surgery (non-preferrers). Repeated measures ANOVAs (surgery/taste group x time) were then run on %TWL. Post-hoc t-tests were run to compare changes in body weight across time between surgical/taste groups when a significant main effect or interaction was identified.

Functional images were slice time corrected using the median slice as the reference slice and realigned to the mean of the images after the initial realignment. Functional images were then co-registered to the individual's anatomical T1 image. For one participant, the preoperative functional scans but not structural scan were acquired. In this case, the patient's 2-week postoperative structural scan was used for co-registration. Images were segmented and normalized to the Montreal Neurological Institute template applying forward deformation fields, resulting in a voxel size of 3 mm<sup>3</sup> for functional images and a voxel size of 1 mm<sup>3</sup> for structural images. The functional time-series data were detrended, removing any linear global signal component from each voxel's time course. An 8-mm full-width, half-maximum isotropic Gaussian kernel was then used to smooth the images. The Artifact Detection Tools (ART) toolbox for MATLAB was used to detect global mean and motion outliers in

the functional data (Gabrieli Laboratory, MIT, Cambridge, MA). For first-level analyses, motion parameters were included as regressors in the design matrix and a high-pass filter (256) was included in the fMRI model specifications to remove low-frequency noise and signal drifts. Additionally, functional image volumes in which the z-normalized global brain activation exceeded 3 standard deviations from the mean of the run or showed more than 1 mm of composite scan-to-scan movement were flagged as outliers and de-weighted during SPM estimation. Tasty-specific effects (e.g. high fat solution, high sucrose solution) were estimated using the general linear model. This model was then applied to the canonical hemodynamic response function and its temporal and dispersion derivatives. Events of interest in the preoperative/baseline and postoperative/follow-up scans were defined as blocks of 10m 20s duration and 12m 50s duration, respectively, from taste onset. “High fat – tasteless”, “high sugar – tasteless”, and “preoperative preference– tasteless” were entered as contrasts of interest into second-level analyses. Contrasts were equally weighted. For second-level analyses, within- and between-group comparisons were performed using random effects models and 2-sample t-tests. For within-subject analyses, the measurements were assumed to be dependent and for between-subject analyses, the measurements were assumed to be independent.

Anatomical *a priori* regions-of-interest (ROI) implicated in the neural processing of taste (see (43-46) for review) and reward consisted of the bilateral amygdala, insular cortex, Rolandic operculum, caudate, putamen, VTA. ROI analyses were performed using the WFU PickAtlas toolbox (WFU\_PickAtlas\_3.0.5b; 32-34). Masks for the bilateral amygdala, insular cortex and Rolandic operculum (combined), caudate and putamen were defined using the Automated Anatomical Labeling from the WFU PickAtlas. The mask for the VTA was adapted from the high-resolution in vivo probabilistic atlas from Pauli et al. (47). Supplementary Figure 5 depicts masks used for ROI analyses. Small volume correction through SPM was implemented and centered on each of the ROIs. For displaying the results, we used t-contrast maps thresholded at peak-level  $p < 0.005$ . For reporting the results, activation within each ROI was considered significant at a peak-level of  $p < 0.05$ , Family Wise Error (FWE) corrected. Correlations were conducted using multiple regression in SPM to test associations between baseline BOLD activation and changes in weight loss metrics, for each surgery group separately (RYGB: N=19; VSG: N=20). When a significant ROI x weight loss correlation was observed, associations between changes in BOLD activation within the ROI at 2 weeks following surgery from preoperative/baseline was assessed for each surgery

group separately (RYGB: N=15; VSG: N=17). Results were considered significant at a cluster-level of  $p < 0.05$ , FWE corrected for multiple comparisons. For the significant results, parameter estimates from the baseline BOLD activation within the ROI were extracted and plotted against %TWL.

### **Study Approval**

Written and oral informed consent was obtained from each participant at the initial visit. The protocol was approved by the Johns Hopkins Institutional Review Board.

## **Author Contributions**

Principal Investigator: Kimberley E. Steele, MD, Ph.D., FACS, FASMBS, DABOM

In 2014, Dr. Steele was awarded a K23DK100559 NIDDK which supported the success of this manuscript. Under the primary mentorship of Dr. Timothy Moran and motivated by her clinical observations and bariatric patient reports, Dr. Steele was responsible for the principal concept and design of this study. All IRB regulatory preparation and approval, documentation including preparing all SOPs and maintenance of subject charts, IRB updates/Change in Research, and Continuing Reviews - were the sole responsibility of Dr. Steele. As PI, Dr. Steele also made it her responsibility to identify and contact subjects, engage in recruitment and successful retention of our participants, the full consent process, scheduling of study visits, documentation and compensation of participants, conducting subject visits and running the experiments including set-up and breaking down of the equipment (cleaning and maintenance of the gustometer), collection and cleaning of the data, participating in data analysis and contributing in providing bariatric surgical clinical expertise, manuscript preparation, including writing, reviewing and editing.

All authors met for research meetings on a regular weekly basis throughout the study period. The following were specific **author contributions of our team**:

Conceived and designed the experiments: KES, THM, SC, VK

Performed the experiments: KES, CH, KRS, AP

Analyzed the data: KRS, MGH, AP

IRB Regulatory Work and Enrollment of Participants: KES, CH, KRS

Wrote and Revised the Manuscript: KRS, AP, MGH, VK, CH, THM, SC, KES

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### **Competing Financial Interests**

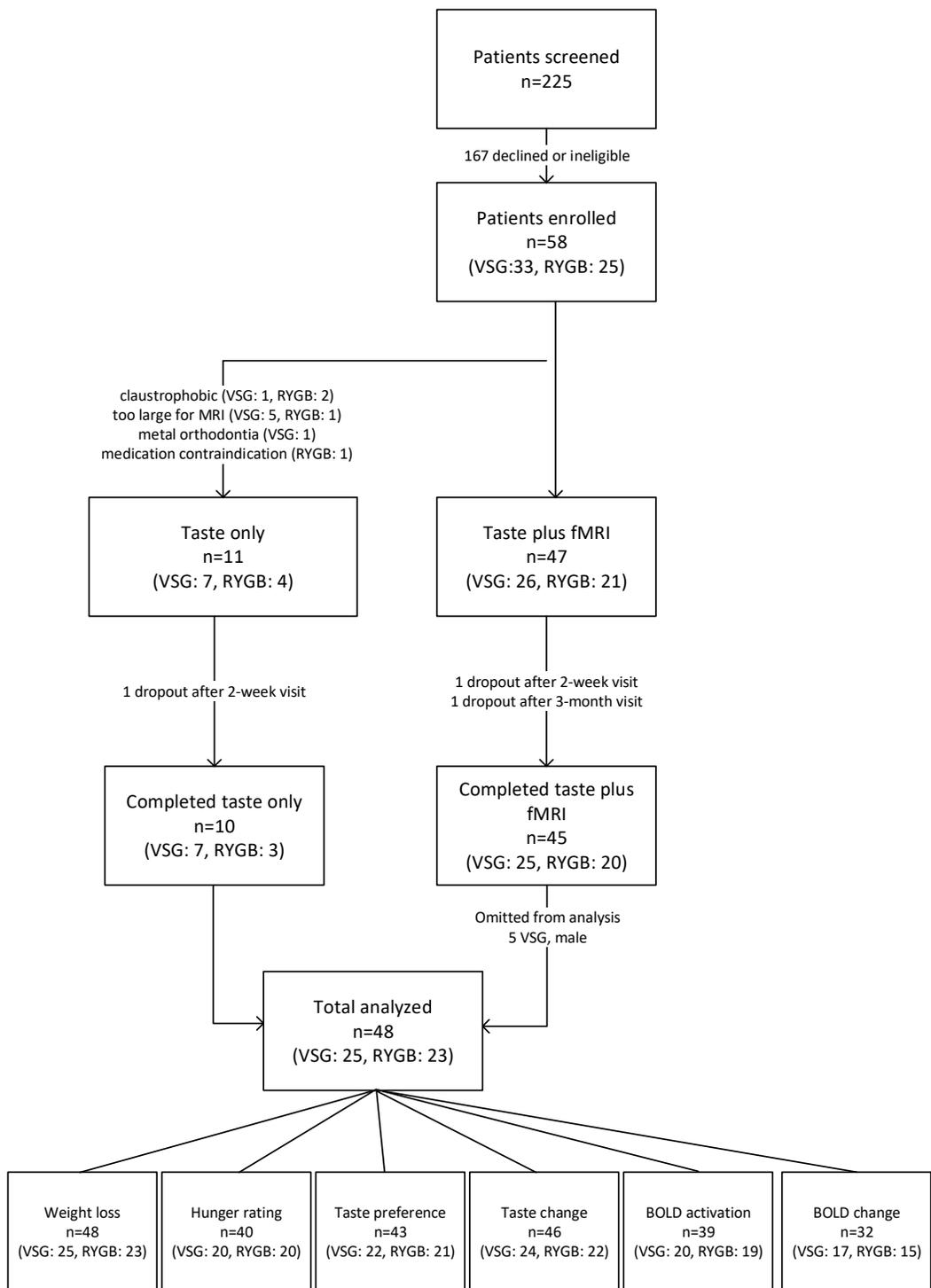
The authors declare no conflicts of interest.

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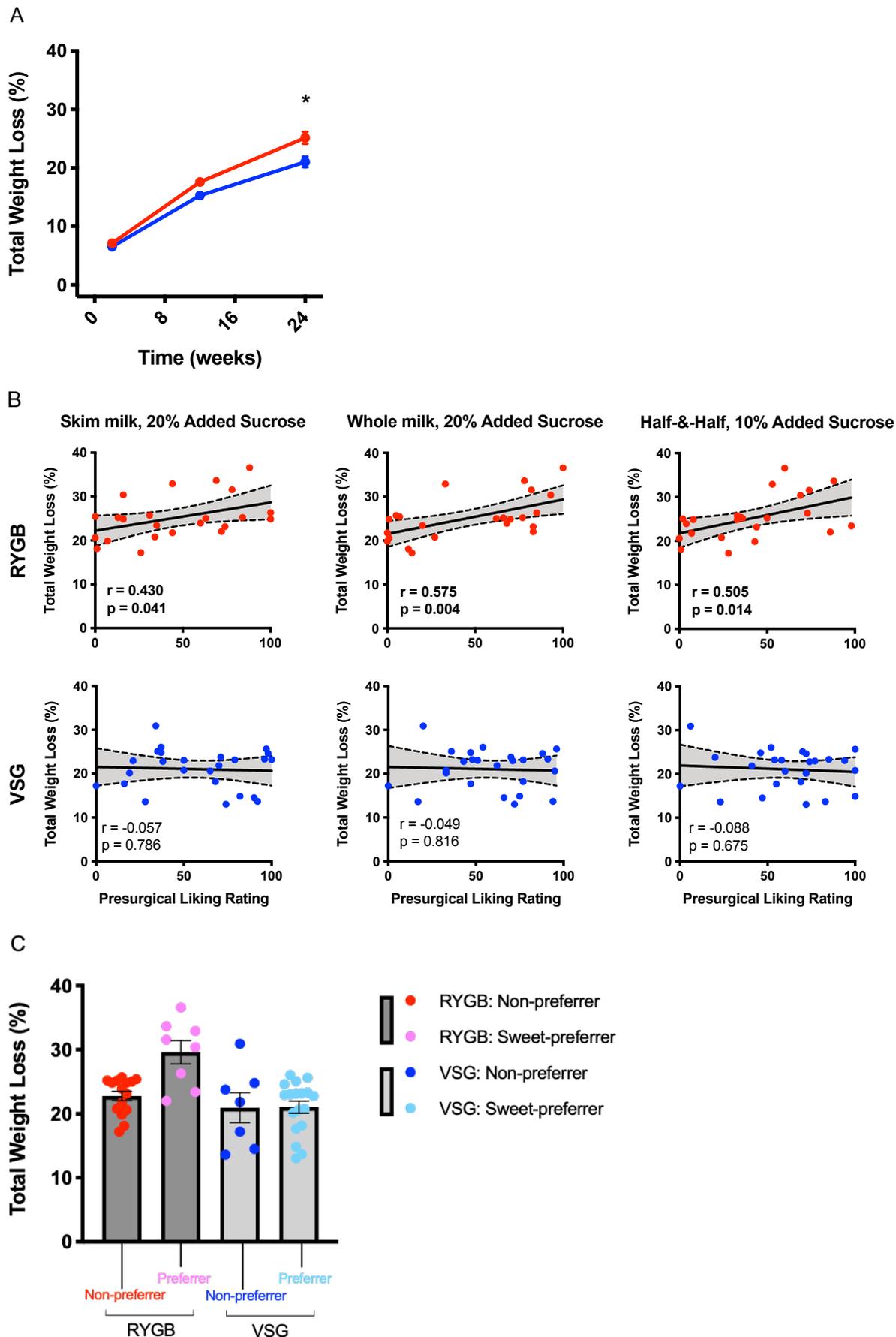
**Figure 1. Flow Diagram of Patient Recruitment, Enrollment, and Participation**

Diagram depicts participant flow through the study process from patient screening to data analysis.

The bottom panel represents number of subjects analyzed for each of six outcomes.

1. **Weight loss** refers to %TWL, %EWL and  $\Delta$ BMI and included all participants.
2. **Hunger ratings** included subjects that had hunger ratings documented at all 4 visits
3. **Taste preference** refers to changes in taste preferences between surgical groups across time and included subjects completing all 4 taste preference visits.
4. **Taste change** refers to associations between changes in taste at 2-weeks following surgery and 6-month weight loss and included subjects completing preoperative and two-week postoperative visits with preoperative and 6-month weights.
5. **Bold activation** refers to associations between preoperative BOLD activation and 6-month weight loss and included subjects with baseline/preoperative fMRI data and preoperative and 6-month weights.
6. **Bold change** refers to associations between two-week postoperative BOLD activation from baseline/preoperative and 6-month weight loss and included subjects with fMRI data at baseline/preoperative and two weeks following surgery and preoperative and 6-month weights.

RYGB = Roux-en Y gastric bypass, VSG=vertical sleeve gastrectomy, fMRI=functional magnetic resonance imaging, BOLD=blood oxygen level dependent, %TWL=percent total weight loss, %EWL=percent excess weight loss,  $\Delta$ BMI=change in BMI



**Figure 2. Preoperative Liking of Sucrose-Sweetened Taste Mixtures Predicts 6-Month Weight Loss following RYGB**

Weight loss and the relationship between preoperative liking ratings for sucrose+fat-containing mixtures and changes in weight at 6 months following RYGB and VSG.

(A) Mean  $\pm$  SEM %TWL from preoperative/baseline (0 weeks) and 2 weeks, 3 months, and 6 months post-surgery in RYGB (red; n=23) and VSG (blue; N=25) patients. A repeated measures ANOVA revealed a main effect of time ( $F_{(1.4,63.2)}=513.882$ ,  $p<0.001$ ), group ( $F_{(1,46)}=7.956$ ,  $p=0.007$ ), and time x group interaction ( $F_{(1.4,63.2)}=6.024$ ,  $p=0.010$ ) for %TWL.

(B) Preoperative ratings for mixtures with added sucrose correlated with %TWL at 6 months following RYGB (red), but not following VSG (blue). Statistics in bold indicate significant bivariate correlations.

(C) Mean  $\pm$  SEM %TWL at 6 months following bariatric surgery in patients who gave a "liking" rating from 0 to 100 to half & half with 10% added sucrose. A one-way ANOVA ( $F_{(3,44)}=8.093$ ,  $p<0.001$ ) with bonferroni-correction revealed greater %TWL in RYGB:Preferrers relative to RYGB: Non-preferrers ( $p=0.004$ ), VSG:Preferrers ( $p<0.001$ ), and VSG: Non-preferrers ( $p=0.002$ ). %TWL did not differ between RYGB: Non-preferrers, VSG:Preferrers, and VSG: Non-preferrers ( $p=1.00$ ).

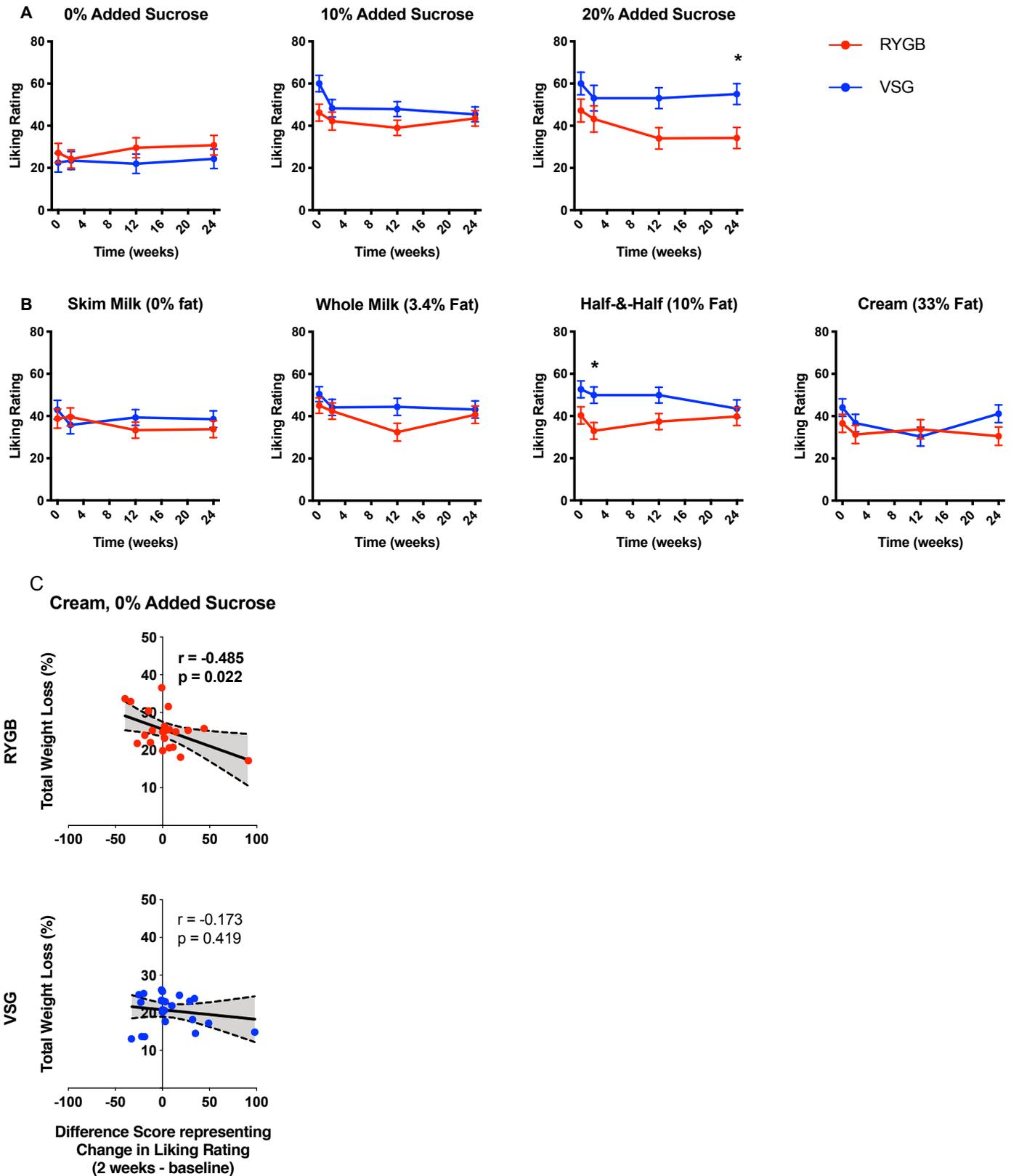
Red= 0 to 50 VAS liking rating, "non-preferer", RYGB (N=15)

Pink = 51 to 100 VAS liking rating, "preferer", RYGB (N=8)

Blue = 0 to 50 VAS liking rating, "non-preferer", VSG (N=7)

Cyan = 51 to 100 VAS liking rating, "preferer", VSG (N=18)

RYGB = Roux-en Y gastric bypass, VSG=vertical sleeve gastrectomy, SEM=standard error of the mean, %TWL=percent total weight loss, ANOVA=analysis of variance, VAS=visual analog scale

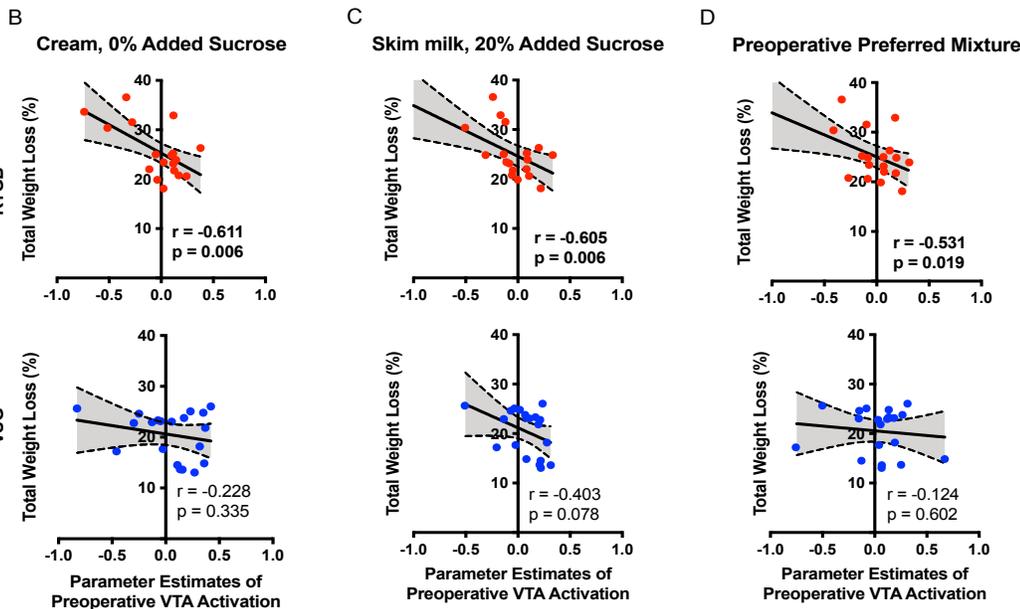
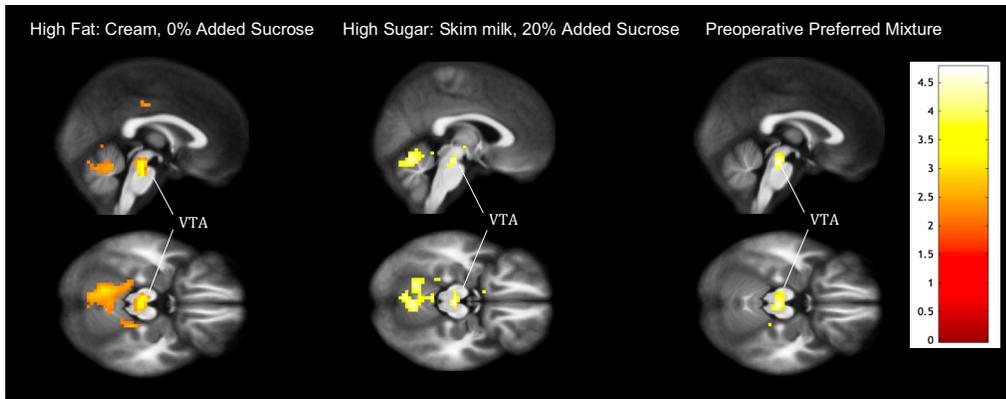


**Figure 3. Changes in Taste Preference following Bariatric Surgery**

(A,B) Mean  $\pm$  SEM liking ratings from bariatric patients using the VAS for the 12 mixtures of varying concentrations of sucrose and fat presented in the taste preference test collapsed across A) fat and B) sucrose content prior to (0 weeks) and 2 weeks, 3 months, and 6 months following RYGB (red; N=21) or VSG (blue; N=22). Repeated measures ANOVAs identified a main effect of time for 10% ( $F_{(3,123)}=5.430$ ,  $p=0.002$ ) and 20% ( $F_{(3,123)}=3.244$ ,  $p=0.024$ ) added sugar and 3.4 grams of fat ( $F_{(3,123)}=3.796$ ,  $p=0.012$ ). A main group effect was found for 20% added sucrose ( $F_{(1,41)}=6.184$ ,  $p=0.017$ ) and 10g fat ( $F_{(1,41)}=6.992$ ,  $p=0.012$ ). No other main effects or significant interactions were observed ( $p \geq 0.071$ ).

(C) Bivariate correlations of difference scores demonstrating changes in taste preference ratings for cream with no added sucrose (2 weeks post-surgery - baseline) in patients who received RYGB (red; N=22) or VSG (blue; N=24) and weight loss metrics at 6 months post-surgery. Statistics in bold indicate significant bivariate correlations. SEM=standard error of the mean, VAS=visual analogue scale, RYGB = Roux-en Y gastric bypass, VSG=vertical sleeve gastrectomy, ANOVA=analysis of variance,

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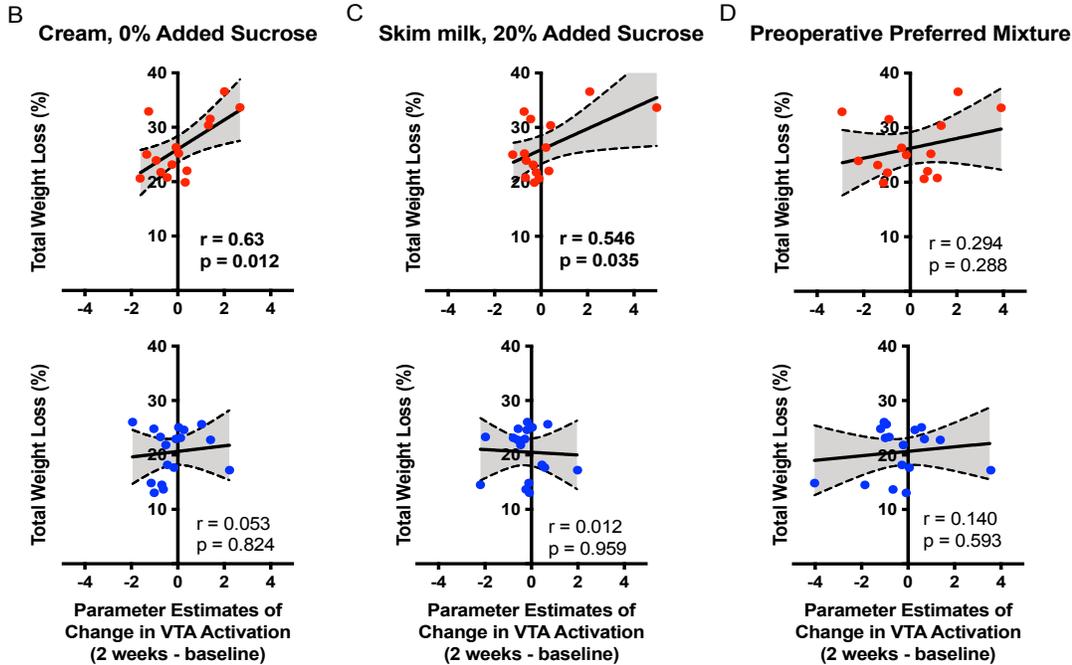
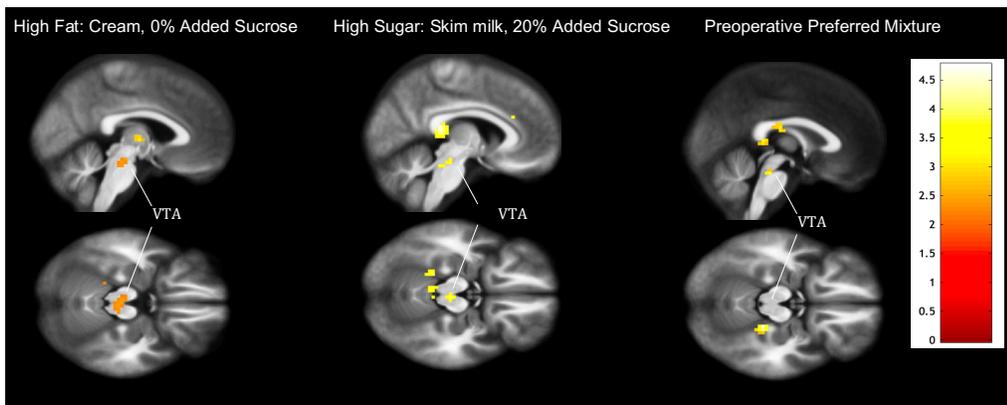


**Figure 4. Preoperative Activation in the VTA to Taste Mixtures Predicts 6-Month Weight Loss following RYGB**

(A) Maps depicting areas within VTA ROI showing negative correlation between baseline activation to the high fat (cream, 0% added sucrose), high sucrose (skim milk, 20% added sucrose), and preoperative preferred mixtures and weight loss at 6 months in RYGB patients.

(B,C,D) Bivariate correlations demonstrating the relationship between preoperative VTA activation in response to the (B) high fat, (C) high sucrose, and (D) most preferred preoperative mixtures and 6 month weight loss following bariatric surgery. Activation in the VTA at baseline to these mixtures presented in the scanner correlated with %TWL at 6 months following RYGB (red, N=19) but not VSG (blue, N=20). Statistics in bold indicate significant bivariate correlations. VTA=ventral tegmental area, RYGB = Roux-en Y gastric bypass, VSG=vertical sleeve gastrectomy, ROI=region of interest, %TWL=percent total weight loss

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### Figure 5. Changes in VTA Activation to High Fat and High Sucrose Taste Mixtures Predicts 6-Month Weight Loss following RYGB

(A) Maps depicting areas within VTA ROI showing negative correlation between change in activation to the high fat (cream, 0% added sucrose), high sucrose (skim milk, 20% added sucrose), and preoperative preferred mixtures from baseline to 2 weeks following surgery and weight loss at 6 months in RYGB patients.

(B,C,D) Bivariate correlations demonstrating the relationship between changes in activation in the VTA to taste mixtures with weight loss at 6 months following surgery. Changes in BOLD signaling in the VTA from baseline to 2 weeks following surgery in response to the (B) high fat and (C) high sucrose mixtures correlated with %TWL at 6 months following RYGB (red, N=15) but not VSG (blue, N=17). Changes in VTA activation in response to the most preferred mixture (D) did not correlate with 6-month weight loss. Statistics in bold indicate significant bivariate correlations.

VTA=ventral tegmental area, ROI=region of interest, BOLD=blood oxygen level dependent, RYGB = Roux-en Y gastric bypass, VSG=vertical sleeve gastrectomy, %TWL=percent total weight loss

**Table 1. Description and Statistical Outcomes of Baseline Participant Demographics**

| Demographics                      | VSG (N=25)               | RYGB (N=23)              | Statistical Result   |
|-----------------------------------|--------------------------|--------------------------|--|
| Age                               | 38.92±1.51               | 40.0±1.92                | $t_{(46)}=0.445$ , $p=0.659$                                 |
| Race                              | W(9), AA(15), A(1), H(0) | W(16), AA(6), A(0), H(1) | $\chi^2_{(3)}=7.747$ , $p=0.052$                             |
| Weight                            | 269.26±6.14              | 270.15±7.25              | $t_{(46)}=0.094$ , $p=0.926$                                 |
| Body Mass Index (BMI)             | 43.41±0.99               | 44.56±1.06               | $t_{(46)}=0.791$ , $p=0.433$                                 |
| <b>Preoperative Comorbidities</b> |                          |                          |  |
| Type II Diabetes Mellitus         | 5 (20.0%)                | 5 (21.7%)                | $\chi^2_{(1)}=0.022$ , $p=0.882$                             |
| Hypertension                      | 11 (44%)                 | 13 (56.5%)               | $\chi^2_{(1)}=0.751$ , $p=0.386$                             |
| GERD                              | 9 (64%)                  | 18 (78.3%)               | <b><math>\chi^2_{(1)}=8.694</math>, <math>p=0.003</math></b> |
| Sleep Apnea                       | 12 (48%)                 | 12 (52.2%)               | $\chi^2_{(1)}=0.083$ , $p=0.773$                             |
| Hyperlipidemia                    | 5 (20%)                  | 4 (17.4%)                | $\chi^2_{(1)}=0.054$ , $p=0.817$                             |

Mean±SE of participant age and preoperative/baseline body weight and BMI. Sample size (N) of race in each group, where W=White, AA=African American, A=Asian, H=Hispanic. Sample size and percentage (%) of participants with Type II Diabetes Mellitus, Hypertension, GERD, Sleep Apnea, and Hyperlipidemia at baseline assessment.