

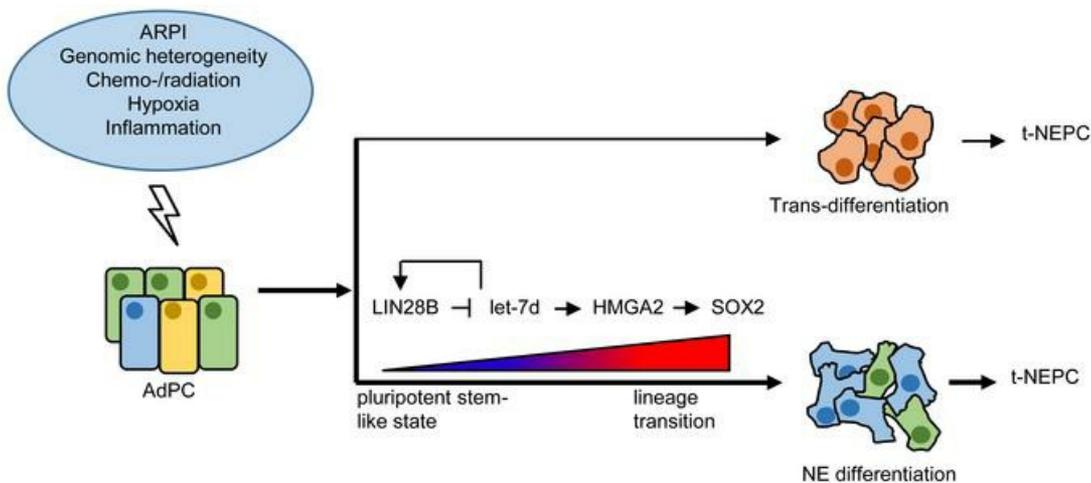
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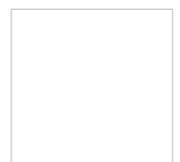
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LIN28B promotes the development of neuroendocrine prostate cancer

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Abstract

Therapy-induced neuroendocrine prostate cancer (t-NEPC) is a highly aggressive subtype of prostate cancer with poor patient survival. Emerging evidence indicates that t-NEPC can develop when prostate adenocarcinoma cells acquire cancer stem-like cell signaling in the presence of androgen receptor inhibition, followed by re-differentiation toward neuroendocrine lineage and subsequent t-NEPC progression. Whether the stem-like signaling is controlled by the core pluripotency stem cell genes (e.g., *LIN28* and *SOX2*) remains unknown. Here, we report that the transcription of LIN28B isoform and SOX2 are co-upregulated in t-NEPC patient tumors, patient-derived xenografts, transgenic mice, and cell models. Immunohistochemistry validated that LIN28B and SOX2 protein expression are elevated in t-NEPC patient biopsies. Using prostate adenocarcinoma and t-NEPC cell models, we demonstrated that LIN28B induces a stem-like gene network, neuroendocrine biomarkers, and neuroendocrine cell morphology. *LIN28B* depletion by CRISPR inhibited t-NEPC tumorigenesis and xenograft growth. These LIN28B functions were mediated mainly through the suppression of let-7 miRNA expression, resulting in de-repression of the transcription factors HMGA2 and HMGA2-mediated SOX2 expression. This study reveals a mechanism by which t-NEPC can develop through the LIN28B/let-7/SOX2 axis that regulates a cancer cell stem-like gene network, highlighting LIN28B as a potential therapeutic target in t-NEPC.

Introduction

New potent androgen receptor (AR) pathway inhibitors (ARPI) have improved overall survival in men with metastatic prostate cancer (PCa) (1, 2). However, more aggressive subtypes of castrate-resistant prostate cancer (CRPC) with low or no canonical AR signaling have become increasingly prevalent, and account for ~20% of CRPC tumors (3, 4). Many of these tumors gain neuroendocrine (NE) and neural lineages after ARPI and/or chemotherapies, and progress to therapy-induced neuroendocrine prostate cancers (t-NEPC) (5). Patients with t-NEPC have limited therapeutic options, and the median overall survival is less than one year (6). The molecular underpinnings of t-NEPC development are not fully understood, hindering the development of effective therapies to manage this disease.

The development of t-NEPC is regulated by PCa cell lineage plasticity, whereby prostate adenocarcinoma (AdPC) cells either acquire a pluripotent stem-like phenotype followed by re-differentiate to NE lineage or undergo a trans-differentiation process to emerge as a NE lineage for t-NEPC tumorigenesis (5). These processes involve complex context-dependent mechanisms including genomic alterations (7-10), epigenetic reprogramming (11-13), alternative RNA splicing (12, 14), and aberrant activation of transcriptional factors (7, 13-15). The diversity of genomic backgrounds and variability of molecular pathways help explain the heterogeneity of t-NEPC (16) and multiple driver genes discovered to date (7-15). These findings suggest that AdPC cells can exploit multiple possible signaling pathways to drive t-NEPC progression. Depending on their genomic backgrounds and tumor microenvironments, an individual's tumor may utilize a variety of mechanisms that confer survival and growth advantages to bypass pressures of ARPI and support treatment resistance. These findings underscore that using therapies in combination will

be most beneficial to t-NEPC patients. Since the pluripotent stem-like phenotype gives PCa cells the flexibility to adapt various survival pathways for the development of therapy-resistance, understanding the regulatory mechanisms by which stem-like gene networks promote t-NEPC is imperative for designing therapies and optimizing the control of inter- and intra-tumor heterogeneity.

The most well-defined core “stemness” genes in human cells are the *SOX2*, *LIN28*, *POU5F1*, and *NANOG* genes, which are powerful enough to reprogram terminally differentiated human fibroblasts into induced pluripotent stem cells (17). They have been shown to be biomarkers of cancer stem cells (CSCs) and important for developing therapy-resistance in tumors (18-22). These findings imply that these stemness genes may play a key role in t-NEPC progression. It has been reported that when AdPC cells with genomic disruptions of the *PTEN*, *TP53*, and *RBI* genes were treated with ARPI, they developed stem-like, neural, and epithelial to mesenchymal transition (EMT) phenotypes and progressed to t-NEPC in part mediated by SOX2 (7-10). Mutual regulation between SOX2 and LIN28 was reported in a cell-context dependent manner (23, 24). In squamous carcinoma cells, LIN28B enhances SOX2 expression through increased transcriptional factors of HMGA2 and ARID3B that are de-repressed by let-7 miRNA (23). Conversely, in neural precursor cells, SOX2 binds to the LIN28 promoter and induces LIN28 transcription (24). Together, these findings suggest that LIN28 and SOX2 may regulate a stem-like gene network to confer PCa cells lineage plasticity for t-NEPC development.

Human cells have two LIN28 homologs (*LIN28A* and *LIN28B*) that are usually not co-expressed in the same cell lineage (25). They are both RNA binding proteins that share a high degree of

homology in their functional domains. Their best characterized functions are to prevent Dicer and Drosha from processing pri- and pre-let-7 miRNAs to generate mature let-7 miRNA (26). LIN28 was also reported to bind mRNAs and further recruit RNA helicase A to facilitate translation (27-29). In this study, we report that LIN28B and SOX2 are co-upregulated in a subgroup of t-NEPC patient tumors, t-NEPC xenografts, genetic engineered mouse models (GEMMs), and t-NEPC cell models. LIN28B regulates a stem-like gene network to promote t-NEPC development, which function can be mediated by inhibition of let-7 miRNA and de-repression of HMGA2 followed by HMGA2-mediated SOX2 transcription. These findings revealed a molecular mechanism by which t-NEPC development can be developed through the LIN28B/let-7/SOX2 axis, and identified LIN28B as a potential therapeutic target for t-NEPC.

Results

LIN28B expression is upregulated in t-NEPC.

The role of SOX2 in mediating a neural stem cell phenotype to promote t-NEPC development highlights the clinical significance of the stem-like gene network (7, 8). Because SOX2 is one of the four core “stemness” genes that can reprogram fully differentiated fibroblast cells into induced pluripotent stem cells, we analyzed the RNA-seq data of these genes in CRPC patient samples in association with t-NEPC from the Beltran 2016 cohort (30). While *NANOG*, *POU5F1*, and *LIN28A* were expressed at low levels in these tumors, *LIN28B* was distinctly expressed in the t-NEPC group (Figure 1A & S1A). Seven t-NEPC samples expressed low levels of LIN28B (FPRM read <0.3), similar to that of the AdPC samples, while the remaining eight t-NEPC samples expressed a ~98.6-fold increase in LIN28B levels. This *LIN28B* expression pattern overlapped with that of *SOX2*, signifying a potential positive correlation between LIN28B and SOX2, where they may act as key regulators in a pluripotency network to promote a neural lineage fate.

We also found a significant increase in both LIN28B and SOX2 expression in the DuNE, but not the LnNE, t-NEPC cell model (Figure 1A). DuNE and LnNE cells are both transformed in t-NEPC cells by the neural RNA splicing factor SRRM4 from DU145 and LnCaP cell lines, respectively (31, 32). Although both t-NEPC models have similar NE gene signatures to t-NEPC patient samples (31, 32), the differential expression of LIN28B and SOX2 in these two models mimics the LIN28B high and LIN28B low t-NEPC patient tumors. These findings suggest that the DuNE and LnNE cell models are developed through different mechanisms of either a pluripotency stem-like signaling or a trans-differentiation process (32). Together, these results indicate that there exist

different subgroups of t-NEPC, where the division of the two subgroups can be based on the distinct expression of *LIN28B* and *SOX2*.

Induction of *LIN28B* expression was also observed in other t-NEPC models. There were positive associations of *LIN28B* with t-NEPC among the LTL PDX model (33) (Figure 1B). Particularly, the pre-castrated LTL331 xenografts showed a classic AdPC phenotype but were transformed into castration-resistant t-NEPC LTL331R tumors, during which process *LIN28B* was significantly upregulated and positively associated with *SOX2* and *SYP*, and negatively with *AR* expression (Figure 1C). Consistently, the negative association of *LIN28B* expression with *AR* was observed in Beltran 2016 and SU2C 2015 cohorts (Figure S1B-C). RNA-seq results from the GEMMs under ARPI treatment showed that both wild-type (WT) and *PTEN* knockout (SKO) mice (8) expressed extremely low *LIN28B*, which was in contrast to the tumors from the *PTEN/TP53* (DKO) and *PTEN/TP53/RB1* (TKO) knockout mice that expressed high *LIN28B* (Figure 1D). After treatment of *PTEN* and *TP53* knockout mice with abiraterone (9), *LIN28B* expression was significantly increased in the overt NE, but not in the focal NE mouse tumor samples (Figure 1E). Collectively, these results indicated that *LIN28B* upregulation in t-NEPC cells is associated with *AR* inhibition in a genomic context-dependent manner.

To conduct functional analyses of *LIN28B* in PCa cell models, we measured *LIN28B* expression in various cell lines and found that *LIN28B* is highly expressed in the DuNE, NCI-H660, LASCPC1 NEPC lines, and NCI-H69 and NCI-H82 small cell lung cancer lines by qPCR and immunoblotting (Figure 1F-G). These results were consistent with the data reported by the Cancer Cell Line Encyclopedia (34) (Figure S1D). When a luciferase reporter vector containing a *LIN28B*

gene promoter (35) was introduced to these cell lines, we found that it was active in the DuNE and NCI-H69, but not the DU145 and LnCaP and LnNE cell lines (Figure 1H), suggesting that the induction of LIN28B is regulated at the transcription level. Collectively, these results link the upregulation of LIN28B expression with t-NEPC.

LIN28B and SOX2 expression are co-upregulated in a subgroup of t-NEPC

To confirm LIN28B and SOX2 protein expression in t-NEPC using tumor samples from an independent patient cohort, we applied immunohistochemistry (IHC) on tissue microarrays (TMAs) from the Vancouver Prostate Centre (VPC) tissue bank containing 16 primary AdPC, 54 CRPC-AdPC, and 30 CRPC-NEPC tissue cores that were previously characterized by histology, NE, and AdPC marker status (12, 36, 37). The specificity of the LIN28B antibody for IHC was confirmed by using tissue slides with known LIN28B mRNA and protein status (Figure 1I). Cell lysis fragmentation combined with immunoblotting assays indicated that LIN28B is localized in the cytoplasm of PCa cells (Figure S2A), supporting the scoring of cytoplasmic staining by pathologists. IHC optimization of the SOX2 antibody was reported previously (32).

IHC scores of both LIN28B and SOX2 were significantly higher in a subgroup of t-NEPC ($P < 0.001$) (Figure 2A-B). We found that LIN28B and SOX2 were highly expressed in 14 out of 30 and 15 out of 30 t-NEPC tissue cores, respectively. When the t-NEPC cores were subdivided into t-NEPC(LIN28B^{low}) (IHC score <0.4) and t-NEPC(LIN28B^{high}) (IHC score ≥ 0.4) groups, we found that SOX2 expression was highly correlated with LIN28B with a Pearson's r correlation coefficient of 0.6 ($P < 0.001$) (Figure 2B). This finding was consistent with the RNA-seq results from both the Beltran 2016 and SU2C 2015 cohorts (Figure S2B-C). To further elucidate the causal

relationship between LIN28B and SOX2 in t-NEPC, we designed three shRNA sequences against LIN28B that efficiently knocked down LIN28B mRNA levels >50% and significantly reduced SOX2 mRNA and protein levels in DuNE cells (Figure 2C). However, RNA silencing of SOX2 did not reduce LIN28B expression. Furthermore, SOX2 overexpression in DU145 cells did not increase LIN28B expression, indicating that LIN28B is an upstream regulator of SOX2 (Figure S2D). Together, these results demonstrate that LIN28B and SOX2 are co-upregulated in a subgroup of t-NEPC, suggesting that these two genes may induce a pluripotency stem-like signaling during t-NEPC development.

LIN28B regulates a stem-like pluripotency gene network in t-NEPC cells

To study the function of *LIN28B* in t-NEPC cells, we constructed cell models by applying CRISPR/Cas9 to knock out the *LIN28B* gene in DuNE cells. Since DuNE cells have multiple copies of the *LIN28B* gene, three monoclonal cell lines were selected that had a significant but not complete deletion of the *LIN28B* gene as confirmed by immunoblotting and Sanger sequencing (Figure S3A-B). Clone #3, designated as DuNE(gLIN28B), was used in the Ion AmpliSeq Transcriptome analyses where we profiled the differential transcriptome between DuNE(gCTL) and DuNE(gLIN28B) containing 3302 genes. When analyzed by DAVID 6.8 (<https://david.ncifcrf.gov>) for unbiased functional annotation, we found that these genes are associated with cellular processes, such as nucleic acid binding, transcription, and ubiquitin-dependent protein catabolic process, and development processes, such as embryonic morphogenesis, neural differentiation, regulation of cell proliferation, and axon guidance. Further gene set enrichment analysis (GSEA) showed that *LIN28B* regulated genes are associated with cell lineage plasticity and embryogenesis (Figure 3A). For example, *LIN28B* depletion was negatively associated with “Oishi Cholangioma Stem Cell

Like Up”, “Ramalho Stemness Up”, and “Benporath ES 2” gene signatures (Figure S4). To stratify the t-NEPC relevant LIN28B regulated genes, we further overlapped the 3302 genes with the differential transcriptome between t-NEPC(LIN28B^{Low}) and t-NEPC(LIN28B^{high}) tumors from the Beltran 2016 patient cohort and found 550 gene alterations were in common (Figure 3B). The top-ten ranked genes suppressed in the DuNE(gLIN28B) cells were listed with six genes from the Beltran t-NEPC(LIN28B^{Low}) subgroup (Figure 3A-B). To validate these gene annotation analyses, we performed qPCR to show that DuNE cells express high levels of stem-like and NE markers that can be strongly inhibited by LIN28B depletion (Figure 3C); these results were replicable in LIN28B positive LASCPC1 cells (Figure 3D). Additionally, LIN28B overexpression in both LIN28B negative LNCaP, PC3, and DU145 cells, as well as LNCaP derived enzalutamide-resistant MR42D and MR42F cells significantly upregulated stem-like and NE markers (Figure 3E-F & S5A-C). Collectively, these results confirmed that LIN28B regulates a stem-like gene signaling that can reprogram the AdPC phenotype towards stem-like and NE phenotypes.

Based on the gene signature regulated by LIN28B, we further validated whether LIN28B confers a stem-like phenotype in PCa cells. Flow cytometry assays using antibodies against two stem cell biomarkers, CD44 and CD133, showed that LIN28B depletion in DuNE cells significantly reduced CD44⁺ and CD133⁺ cell populations in comparison to the DuNE control cells (Figure 4A). While the DuNE cells grew as 3D spheroids on Matrigel, LIN28B depletion resulted in monolayer adherent epithelial 2D morphology (Figure 4B). LIN28B overexpression in DU145 cells, PC3, LNCaP, MR42F, and MR42D cells increased, while LIN28B depletion in DuNE cells reduced cell proliferation (Figure. 4C-D & S6). We also found that the DuNE cells possessed an EMT phenotype, consistent with the high metastatic property of t-NEPC cells. However, LIN28B

depletion reduced EMT markers N-Cadherin and SNAI2, but increased the E-cadherin expression (Figure 4E) and decreased cell migration (Figure S7). Together, these results indicate that LIN28B regulates stem-like pluripotency and EMT phenotypes to promote t-NEPC development.

LIN28B promotes t-NEPC tumorigenesis and tumor growth.

The gain of a stem-like phenotype has been demonstrated to associate with PCa tumorigenesis and progression (38, 39). To study the impact of LIN28B on xenograft growth, we inoculated DuNE(gCTL) and DuNE(gLIN28B) cells subcutaneously into immunocompromised mice (n=16/group). There were six DuNE(gLIN28B) cell inoculations that never generated a xenograft during the three months of the animal study. The remaining ten DuNE(gLIN28B) cell inoculations gave rise to tumors, but the tumor-take was significantly longer with a mean uptake time of 29 days compared to 20 days in the DuNE(gCTL) tumor group (Figure 4F). Even after the ten tumors formed from the DuNE(gLIN28B) cells, their growth rate was much slower with an average doubling time of 7.55 days (Figure 4G), compared to DuNE(gCTL) tumors with an average doubling time of 5.13 days. Immunoblotting and IHC assays confirmed that DuNE(gLIN28B) tumors expressed residual LIN28B protein, and reduced levels of N-Cadherin, CD44, SYP, and SOX2 (Figure 4H-I). Based on these results, we reasoned that LIN28B is important for t-NEPC initiation and progression. The residual LIN28B expression in the DuNE(gLIN28B) cells may be at a threshold that accounts for either no tumor development or a slower tumor progression rate.

LIN28B regulates let-7 signaling in PCa cells.

The oncogenic role of the LIN28B/let-7 pathway has been established in various cancers, where the upregulation of LIN28B and the resultant downregulation of let-7 were correlated with poor

prognosis and advanced malignancies (40). LIN28B-mediated inhibition of let-7 regulates tumor-initiating and self-renewal properties of CSCs (41). While we recognize that there are other signal pathways (e.g., NFkB, REST, and RAS) through which LIN28B can act independently of let-7 (41), our results did not support these mechanisms (data not shown); rather, LIN28B-mediated suppression of let-7 was strongly implicated with promoting t-NEPC development (Figure 5). First, GSEA showed that DuNE cells were negatively associated with the “putative let-7 family” signature when comparing with DU145 cells (Figure 5A). DuNE cells expressed significantly lower levels of several let-7 family members with let-7d being the most strongly suppressed in the DuNE cells (Figure 5B). Second, RNA-seq data from the Beltran patient cohort showed that a panel of let-7 suppressed genes from the leading edge gene list predicted by GSEA (Figure 5A) were upregulated in the t-NEPC(LIN28B^{high}) in comparison to t-NEPC(LIN28B^{low}) and AdPC patient tumors (Figure 5C). These expression patterns were replicable in the DuNE models and several t-NEPC PDXs, including the LTL331R model that progressed from the LTL331 tumors (Figure 5C-E). Let-7 suppressed genes, including *IGDCC3*, *CCNF*, and *INTS2* were highly expressed only in DuNE and NCI-H69 cells, both of which possess NE phenotypes (Figure 5F). Let-7 sponge (spg-let-7), which acts as a let-7 inhibitor, increased IGDCC3, CCNF, and INTS2 mRNA levels in DU145 cells, while a let-7d mimic inhibited the expression of these genes in DuNE cells (Figure S8). Collectively, these results demonstrated that LIN28B regulates a stem-like gene network via let-7 miRNA for t-NEPC development.

HMGA2 mediates the LIN28B/let-7 signaling to induce SOX2 expression

The association of LIN28B and SOX2 co-upregulation with t-NEPC progression suggests that the LIN28B/let-7 signaling may stimulate SOX2 expression in contributing to t-NEPC development.

The mechanism by which the LIN28B/let-7 axis induces SOX2 expression warrants further investigation. Although several signaling pathways (e.g., HMGA, MYC, RAS, and EZH2) were reported to be the downstream targets of LIN28/let-7 (40, 42, 43), we found that LIN28B depletion in DuNE cells resulted in the suppression of HMGA2 and SOX2 at both mRNA and protein levels (Figure 6A). Consistently, spg-let-7 increased HMGA2 and SOX2 mRNA levels in DU145 cells, and substantially enhanced HMGA2 and SOX2 protein levels in DuNE cells (Figure 6B). In contrast, let-7d mimic decreased the expression of HMGA2 and SOX2 expression in DuNE cells (Figure 6C), indicating that the LIN28B/let-7 signaling regulates HMGA2 expression in PCa cells. This finding was consistent with previous reports in hematopoietic stem cells and Hela cells (43, 44). HMGA2 is an oncofetal protein that is highly expressed during embryonic development but is expressed at either very low or not at all in normal adult tissues. HMGA2 has oncogenic properties involved in tumor cell development, differentiation, stem cell self-renewal of cancer stem cells and EMT (45). Since HMGA2 is a transcription factor recruited to the SOX2 promoter to regulate SOX2 transcription (23), we sought to define the regulatory interactions between HMGA2 and SOX2 in PCa cells. HMGA2 knockdown in DuNE cells suppressed SOX2 (Figure 6D). Rescue experiments showed that spg-let-7 in DuNE(gLIN28B) cells upregulated HMGA2 and SOX2 expression (Figure 6E) and HMGA2 in DuNE(gLIN28B) cells enhanced SOX2 expression (Figure 6F). Consistently, overexpression of HMGA2 in DU145 cells enhanced SOX2 expression (Figure 6G). Furthermore, luciferase reporter assays confirmed that HMGA2 overexpression in DU145 cells stimulated, while HMGA2 silencing in DuNE cells reduced SOX2 promoter activity (Figure 6H). These results demonstrate that HMGA2 is a downstream mediator of the LIN28B/let-7 signaling to regulate SOX2 expression in PCa cells. Furthermore, we showed that high expression LIN28B, HMGA2, and SOX2 expression are all associated with progression

free survival of patients who received androgen deprivation therapy (Figure 6I), emphasizing that the LIN28B/HMGA2/SOX axis may be a worse prognosis biomarker.

Discussion

Progression of AdPC to t-NEPC is an adaptive response of cancer cells acquiring lineage plasticity in order to escape anticancer treatments. This study defines a molecular mechanism by which LIN28B signaling confers PCa cells lineage plasticity to promote t-NEPC progression through the regulation of a stem-like gene network. Based on these findings, inhibitors that block LIN28B signaling may help delay the emergence and/or progression of t-NEPC.

LIN28B was found to be highly expressed in approximately 40-50% of CRPC-NEPC patient samples, which finding was based on the RNA-seq results from the Beltran 2016 cohort (Figure 1) and the IHC results from the VPC cohort (Figure 2). However, an interesting perspective is that both RNA-seq and IHC results represent a snapshot of the whole process of CRPC-AdPC progression to NEPC-CRPC. LIN28B expression may be possibly upregulated to initiate a CSC phenotype programming, and subsequently reduced once tumor cells complete transformation to NE lineage, in which case the number of CRPC-NEPC tumors driven by Lin28B could be underestimated.

Our results support the idea that LIN28B promotes a CSC-like phenotype of PCa cells and facilitates a NE lineage switch, rather than that LIN28B positive PCa cells are canonical CSCs (46). Canonical CSCs commonly exist at low subpopulations within tumors and have limited proliferation activity distinct from none CSCs that make up the bulk of the tumor. CSCs can

undergo symmetrical divisions that give rise to CSCs, or asymmetrical divisions that give rise to CSCs and progenitor cells and contribute to tumor heterogeneity in a hierarchy organization. Our results showed that LIN28B positive cells are highly populated in t-NEPC (Figure 2). When present, these cells are highly proliferative and express strong NE lineage markers (Figure 3). Previous studies have also reported that cancer cells, regardless of the presence of CSCs, are plastic and can undergo a phenotypic transition (47). This was exemplified by FACS-sorted breast cancer cells with stem-like, basal, or luminal phenotype, where each subpopulation capable of gaining phenotypes of the other two subpopulations (47). Consistent with this notion, when engineered by five gene factors, TP53, RB1, myrAKT1, c-Myc, and BCL2, normal prostatic basal epithelium gave rise to NEPC xenografts (48). Moreover, prostate luminal cells can also gain stem-like, mesenchymal, and NE phenotypes by AR inhibition and progress to t-NEPC (7, 8). Collectively, our study identified LIN28B as an oncogenic factor that regulates PCa cell plasticity to promote t-NEPC progression.

Gaining stem-like phenotypes is likely a common mechanism that is associated with CRPC progression. This phenotype could be manifested by either increased expression of stemness genes (e.g., *CD44*, *CD133*, and *BMI1*), reduced expression of luminal epithelial biomarkers (e.g., AR and PSA), or an altered expression of EMT related genes (e.g., *E-CAD* and *SNAI2*), all of which are associated with castration-resistance and metastasis (49-52). It is worth noting that the LIN28A isoform was reported to be overexpressed in CRPC-AdPC, where it stimulates AdPC cell proliferation, anchorage-independent colony formation, and tumorigenesis (53). More importantly, LIN28A enhances the expression of luminal epithelial biomarkers including AR, PSA, NKX3.1 as well as AR-v7 (54). Because *LIN28A* and *LIN28B* genes are usually not co-expressed in the

same cell lineage (25), these results indicate that LIN28A may promote AdPC CRPC progression that maintains the epithelium lineage, while gain-of-function of LIN28B promotes CRPC progression toward t-NEPC.

Our results from LIN28B gene CRISPR studies as well as results from other investigators (53, 54) have shown that targeting both LIN28 isoforms and their stem-like gene networks may be beneficial to CRPC patients with either t-NEPC or AdPC phenotypes. Furthermore, LIN28 signaling contributing to therapy-resistance had been demonstrated in many types of tumors (55-59). Since both LIN28B and LIN28A share homology in structure, efforts have been made to screen small molecular inhibitors blocking LIN28 from recognizing let-7 microRNAs (60-62). Testing these compounds in CRPC cell and xenograft models and or designing novel LIN28 inhibitors by applying *in silico* guided drug design would accelerate the translation of LIN28 into targeted therapy.

In summary, our study reveals a molecular mechanism by which t-NEPC can develop through CSC-like signaling mediated by LIN28B, and proposes targeting this signaling as an anticancer therapy.

Methods

RNA sequencing data from patients, PDXs, GEMMs, and cell models

Clinical cohorts used in this study include the following: RNA-seq data for the Beltran 2016 cohort (CRPC-Ad, n=34; t-NEPC, n=15) was from Weill Medical College of Cornell University (30). RNA-seq data for the DuNE and LnNE cell models were previously reported by our lab (31, 32). LTL PDX data was accessed by GSE59986 (63). Sequencing data for the genetically engineered mouse models (GEMMs) including the Ku et al. (WT; wild-type, SKO; single knock-out, DKO; double knock-out, TKO; triple knock-out) was accessed from GSE90891 (8). RNA-seq data for the SU2C 2015 cohort (CRPC-Ad, n=113; CRPC-NE, n=5) was accessed through cBioPortal. Data from Zou et al. (NPp53) cohort was accessed from GSE92721 (15).

Cell lines and cell culture

Prostate cancer cell lines (LNCaP, C4-2, RWPE-1, 22RV1, PC-3, VCaP, Du145, NCI-H660, and LASCPC) and small cell lung cancer cell lines (NCI-H82 and NCI-H69) were purchased from American Type Culture Collection (ATCC; Manassas, VA, USA). LNCaP95 cells were kindly provided by Dr. Alan Meeker (Johns Hopkins University, MD, USA). MR42D and MR42F cells were kindly provided by Dr. Amina Zoubeidi from the Vancouver Prostate Centre (VPC; BC, Canada). LnNE and DuNE cells were reported from our previous studies (31, 32). All cell-culturing conditions have been previously reported (12, 14). All lines used in this study were tested negative for mycoplasma contamination and authenticated by short tandem repeat assays.

CRPC tissue microarray and Immunohistochemistry (IHC) analyses

The CRPC TMA containing 100 tissue cores from 50 patients that had undergone hormonal therapy, chemotherapy, or radiotherapy were obtained from the tissue bank at the VPC. Histopathology of the primary tumors (n=16), CRPC (n=54), and t-NEPC tumors (n=30) have been previously reported and characterized (32). IHC was performed by using a Ventana Discovery XT autostainer (Ventana) as previously described (12, 36, 37). Briefly, slides in citrate buffer (pH=6) were heated in a steamer for 30 minutes. After cooling and washing, the slides were incubated in 3% H₂O₂ for 10 minutes, blocked with 3% BSA for 30 minutes, and then incubated with specific antibodies (LIN28B, AbCam #71415, 1:25 dilution; SOX2, Novus NB110-37235, 1:25 dilution). The slides were washed extensively with PBS and examined with UltraMap kit (Ventana). The SL801 autoloader and the Leica SCN400 scanning system (Leica Microsystems; Concord, ON, Canada) were used to digitize the slides at a magnification of 40X (scale bar, 100 μ m). The images were subsequently stored in the SlidePath Digital Imaging Hub (Leica Microsystems) at the VPC. Pathological evaluation of these tumors was performed independently by three pathologists, L.F., J.H., and X.C.. All antibodies used are listed in the supplementary file.

Standard molecular techniques

Standard techniques including plasmid DNA or siRNA transfection, real-time PCR, immunoblotting, luciferase reporter assays, FACS, colony formation, MTS assays, and Ion AmpliSeq Transcriptome Sequencing were performed as previously reported (12, 14, 31, 32). Information about oligo primers and antibodies used are listed in the supplementary file. Antibody and primer information including sources, catalog numbers and working conditions were described in the supplementary materials.

GeneArt™ CRISPR technology

DuNE cells with LIN28B knockout were constructed by using the GeneArt™ Precision gRNA Synthesis Kit (Invitrogen A29377) and GeneArt™ Platinum™ Cas9 Nuclease (Invitrogen B25640) according to the manufacturer's protocol. The sequences for gRNA synthesis were designed using GeneArt™ CRISPR Search and Design tool (thermofisher.com/crisprdesign). Cells underwent selection for single-cell colonies in 96 well plates. Mutations that disrupted LIN28B expression were confirmed by Sanger sequencing and immunoblotting assays.

Xenograft studies in nude mice

To generate DuNE xenografts, 1×10^6 cells from the DuNE(gCTL) and DuNE(gLIN28B) cell lines were implanted subcutaneously in the bilateral flanks of 6–8-week old male nude mice (Nu/Nu). Tumor volume ($V = \text{length} * \text{width} * \text{height} * 0.5236$) was measured three times a week. All animal procedures were under the guidelines of the Canadian Council on Animal Care and were approved by the UBC Animal Care Committee (# A19-0256).

Statistics

Statistical analysis was performed using the GraphPad Prism 6.0 software (GraphPad Software, CA, USA). Experimental data were normalized to internal controls from at least three independent biological replicates with all data represented as means \pm SD. When comparing data from two treatment groups (e.g., comparisons between $-/+$ LIN28B CRISPR), Student's two-tailed *t*-test was used to determine significance when a *P* value of <0.05 . When comparing more than two groups, analysis of variance (ANOVA) with Tukey's test for pairwise comparisons among different experimental groups. Spearman's correlation coefficient and the corresponding *P*-value were used

to measure the extent of correlation between LIN28B and SOX2 histology scores. The level of significance was set at $P < 0.05$ as *, $P < 0.01$ as **, $P < 0.001$ as ***.

Study Approval

VPC cohort research protocol was approved by the Clinical Research Ethics Board of UBC (#H09-01628). All patients signed a formal consent form approved by the ethics board. All animal procedures were under the guidelines of the Canadian Council on Animal Care and were approved by the UBC Animal Care Committee (# A19-0256).

Author Contributions

JL, YG, and XD designed the research. JL, YG, TF, YL, NX, CH, and AL performed the research. JL, YG, XC, BH, LF, and JH analyzed the data. LN and MG shared research materials. XD and MG wrote the manuscript. YG developed the hypothesis and initiated this project by collecting data at the early stage of this project, while JL finalized the project by collecting the majority of the experimental data. The order of these co-first authors is based on the length of time spent on the project.

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(33) were plotted. **(D)** LIN28B RNA-seq results from the GEMM models (8) were plotted. *Note: WT, wild type; SKO, single PTEN knockout; DKO, PTEN plus TP53 double knockout; and TKO, PTEN, TP53 plus Rb1 knockout.* **(E)** LIN28B expression in different phenotypic subcategories of the Np53 GEMMs (9) is shown. **(F-G)** LIN28B levels in AdPC, NEPC, and SCLC cell lines was measured by real-time qPCR and immunoblotting. **(H)** Indicated cell lines were transfected with a luciferase reporter vector to measure LIN28B promoter activity. **(I)** IHC was performed on xenograft tissue slides using the LIN28B antibody. Scale bars represent 100 μ m. The real-time PCR and immunoblotting experiments were performed in three independent technical replicates. Results are presented as mean \pm SD and statistical analyses were performed by one-way ANOVA or unpaired student's *t*-test with **, ***, denoting $P < 0.01$, $P < 0.001$, respectively.

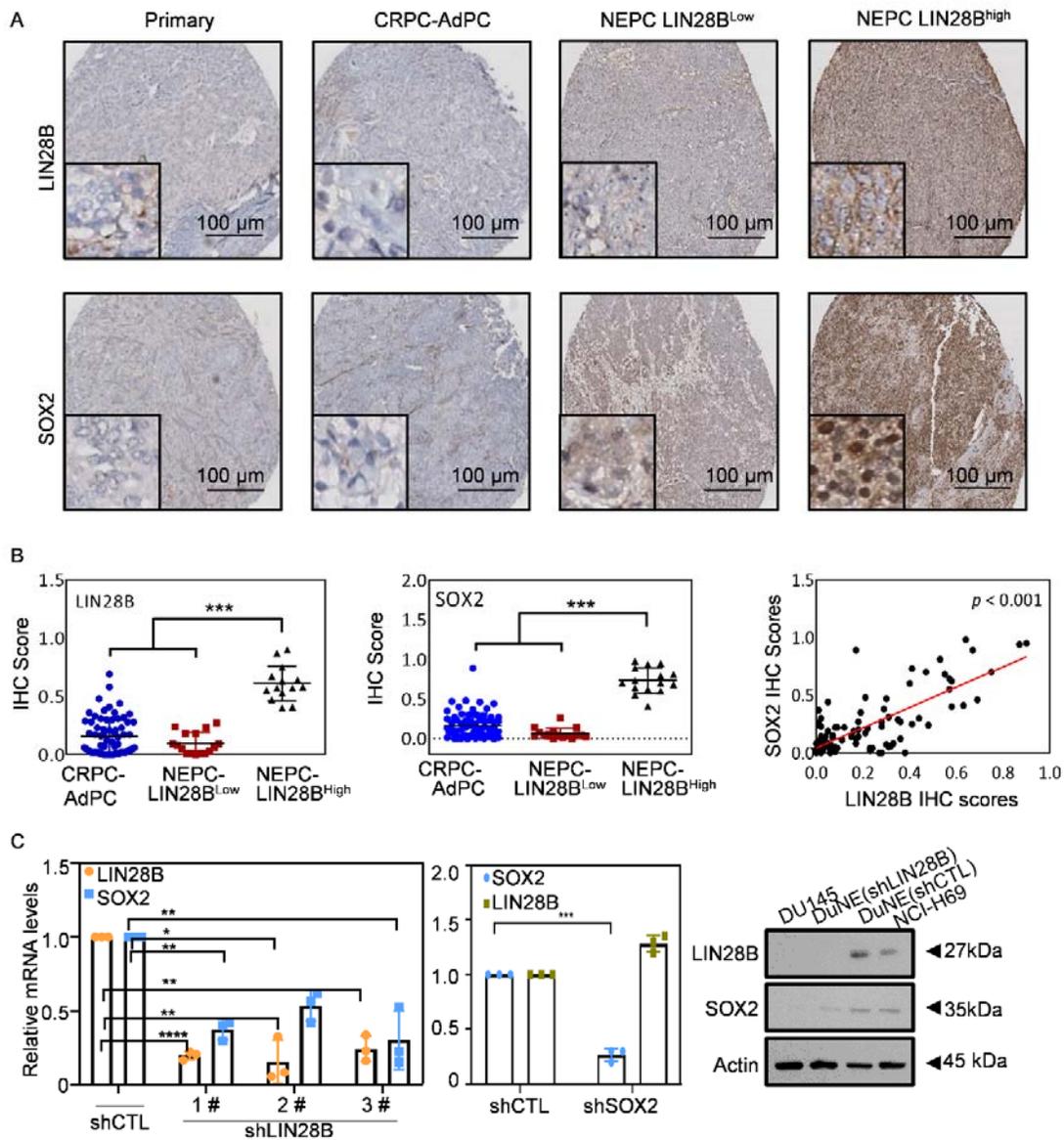


Figure 2. LIN28B and SOX2 expression are co-upregulated in a subgroup of t-NEPC. (A) IHC was performed on a CRPC patient TMA with LIN28B and SOX2 antibodies. Tissue cores were grouped into primary tumors (n=16), CRPC-AdPC (n=54), t-NEPC(LIN28B^{low}) (n=16), and t-NEPC(LIN28B^{high}) (n=14), with one representative image from matched tissue cores from each group shown. Scale bars represent 100 μ m. **(B)** IHC scores of LIN28B and SOX2 were plotted. Pearson's *r* correlation coefficient between LIN28B and SOX2 expressions is 0.60. **(C)**

DuNE cells were transfected with control or three different shRNAs against LIN28B or the previously reported siRNA against SOX2 (32). Real-time PCR and immunoblotting assays measured LIN28B and SOX2 expression. Three independent technical replicates were performed for each real-time PCR and immunoblotting experiment. Only one set of representative immunoblots is shown. All results are presented as mean \pm SD. Statistical analyses were performed by one-way ANOVA or unpaired student's *t*-test with *, **, *** denoting $P < 0.05$, $P < 0.01$, $P < 0.001$ respectively.

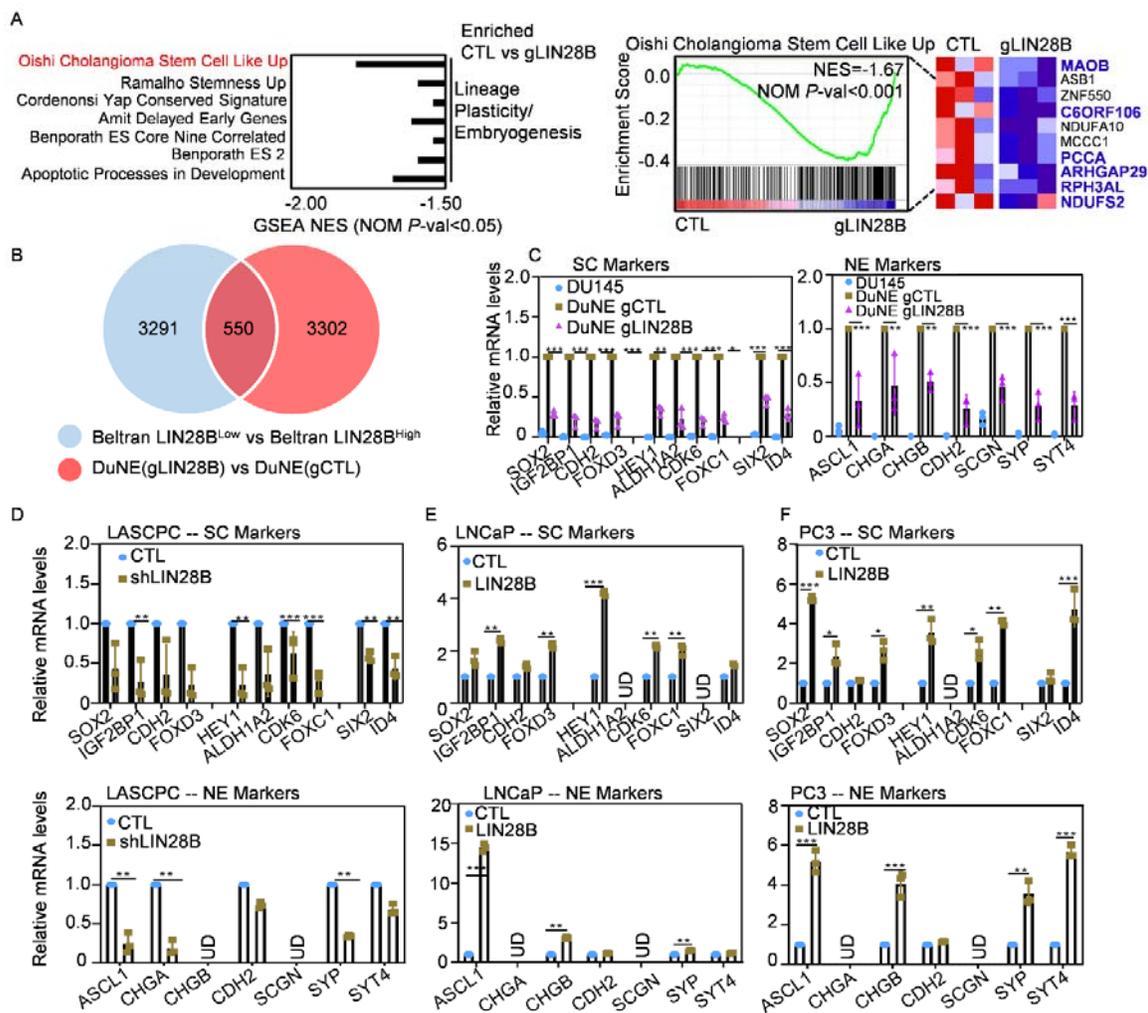


Figure 3. LIN28B regulates a stem-like pluripotency gene network in t-NEPC cells. (A) Differentially expressed genes between DuNE(gCTL) and DuNE(gLIN28B) cells (p -value cut-off as 0.05) were analyzed by GSEA. Genes in blue were also upregulated in the LIN28B^{low} group from the Beltran patient cohort (30). **(B)** Differentially expressed genes between DuNE(gCTL) and DuNE(gLIN28B) cells overlapped with the differentially expressed genes between LIN28B^{high} and LIN28B^{low} patient samples from the Beltran cohort. A total of 550 genes were identified to be

in common. **(C-F)** Real-time PCR measured the mRNA levels of stem cell (SC) and neuroendocrine (NE) markers in DU145, DuNE(gCTL) and DuNE(gLIN28B) cells (C), LASPC1 cells with -/+shLIN28B (D), LNCaP (E) and PC3 (F) cells with -/+ LIN28B overexpression. Three independent technical replicates were performed for each real-time PCR experiment. All results are presented as mean \pm SD. Statistical analyses were performed by one-way ANOVA or unpaired student's *t*-test with *, **, *** denoting $P < 0.05$, $P < 0.01$, $P < 0.001$ respectively.

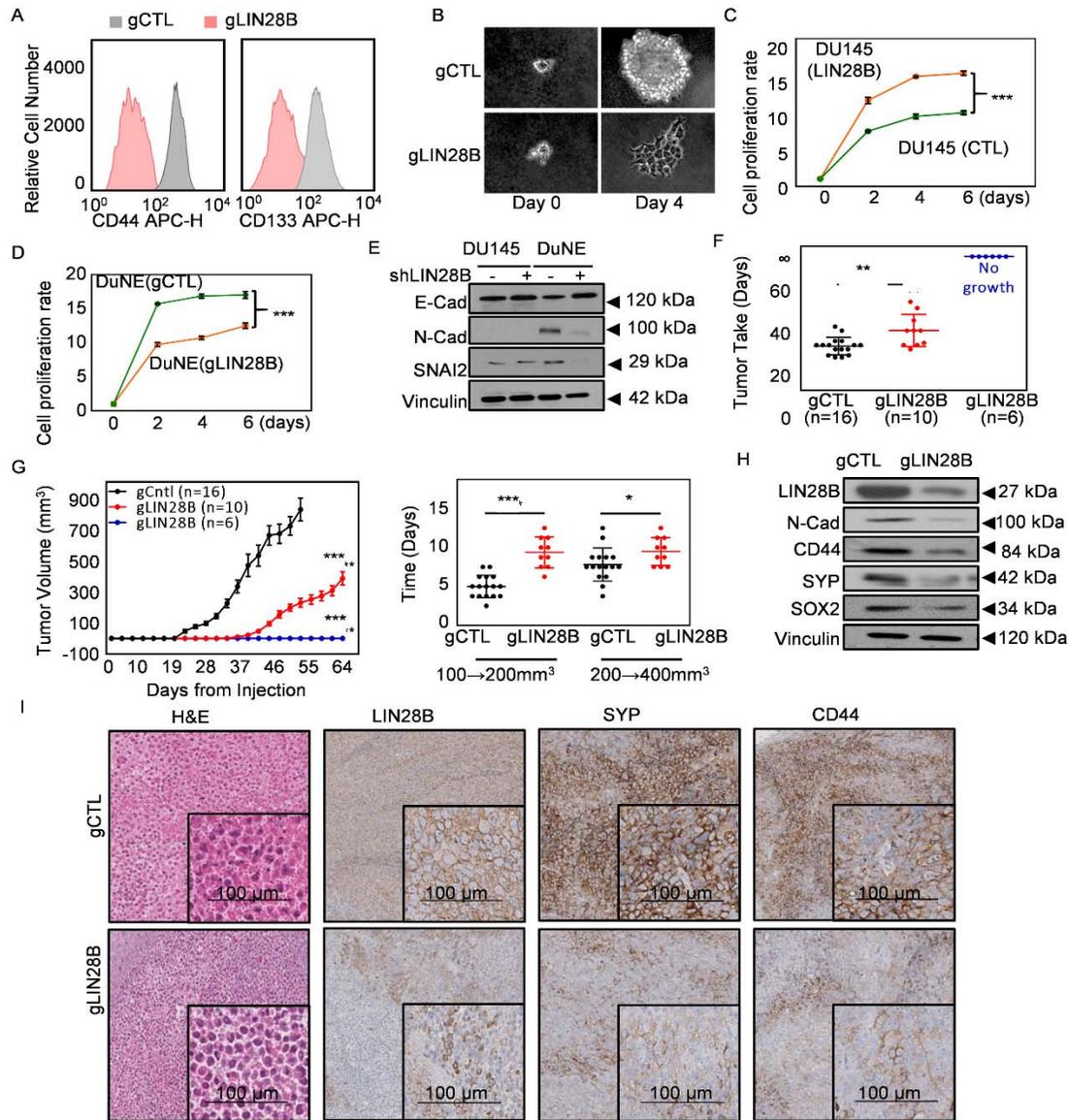


Figure 4. LIN28B regulates a stem-like phenotype in t-NEPC cells. (A) FACS analyses measured CD44 and CD133 positive cell populations regulated by LIN28B knockout. (B) DuNE(gCTL) and DuNE(gLIN28B) cells were used to perform colony formation assays. (C-D) MTS assays measured cell proliferation of DU145 cells with +/-LIN28B overexpression, and DuNE cells with +/- LIN28B knockout. (E) E-Cadherin, N-Cadherin, and SNAI2 protein levels were measured by immunoblotting. (F) Tumor-take and (G) tumor volume and tumor doubling

time of DuNE(gCTL) and DuNE(gLIN28B) xenografts were plotted. **(H-I)** LIN28B, SOX2, SYP, CD44 and N-Cad expressions from the xenografts were measured by immunoblotting and IHC. All FACS, qPCR, and immunoblotting assays were repeated in triplicate. The student *t*-test was used to compare results between two groups with **, *** denoting $P<0.01$, $P<0.001$, respectively.

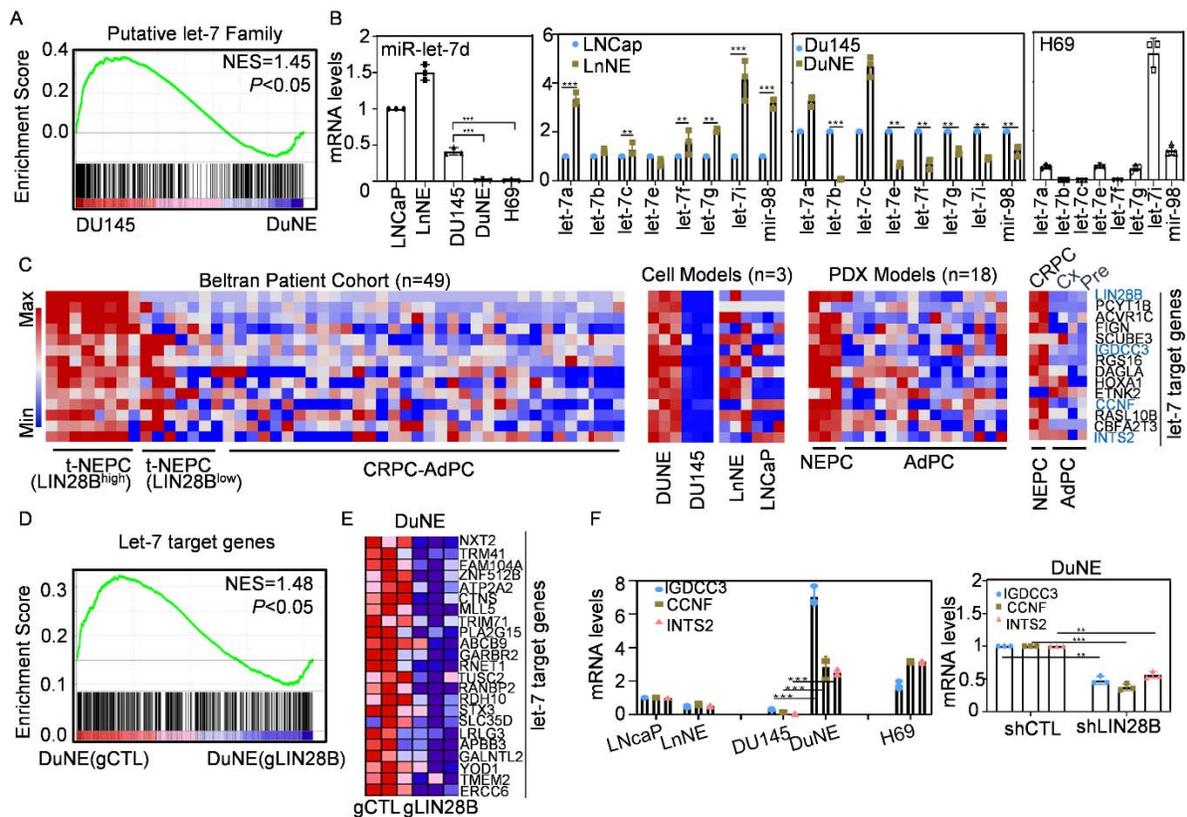


Figure 5. Let-7 is a negative downstream effector of LIN28B. (A) GSEA revealed the enrichment of the “Putative let-7 family” signature in DU145 cells in comparison with DuNE cells. (B) Real-time PCR measured several let-7 family members in LNCaP, LnNE, DU145, DuNE, and NCI-H69 cells. (C) The expression of putative let-7 target genes identified from the leading edge gene list from GSEA (Fig. 5A) was stratified from RNA-seq results from the Beltran patient cohort, DuNE, and LnNE cell models and LTL331 PDX models. (D) GSEA showed the enrichment of the “let-7 target gene” signature in DuNE(gLIN28B) cells in comparison with DuNE(gCTL) cells. (E) The expression of putative let-7 target genes identified from the leading edge gene list from GSEA (Fig. 5D) was plotted. (F) The mRNA levels of IGDC3, CCNF, and INTS2 were measured in

LNCaP, LnNE, DU145, DuNE, and NCI-H69 cells by real-time PCR. The student *t*-test was used to compare results between two groups with **, *** denoting $P < 0.01$, $P < 0.001$, respectively.

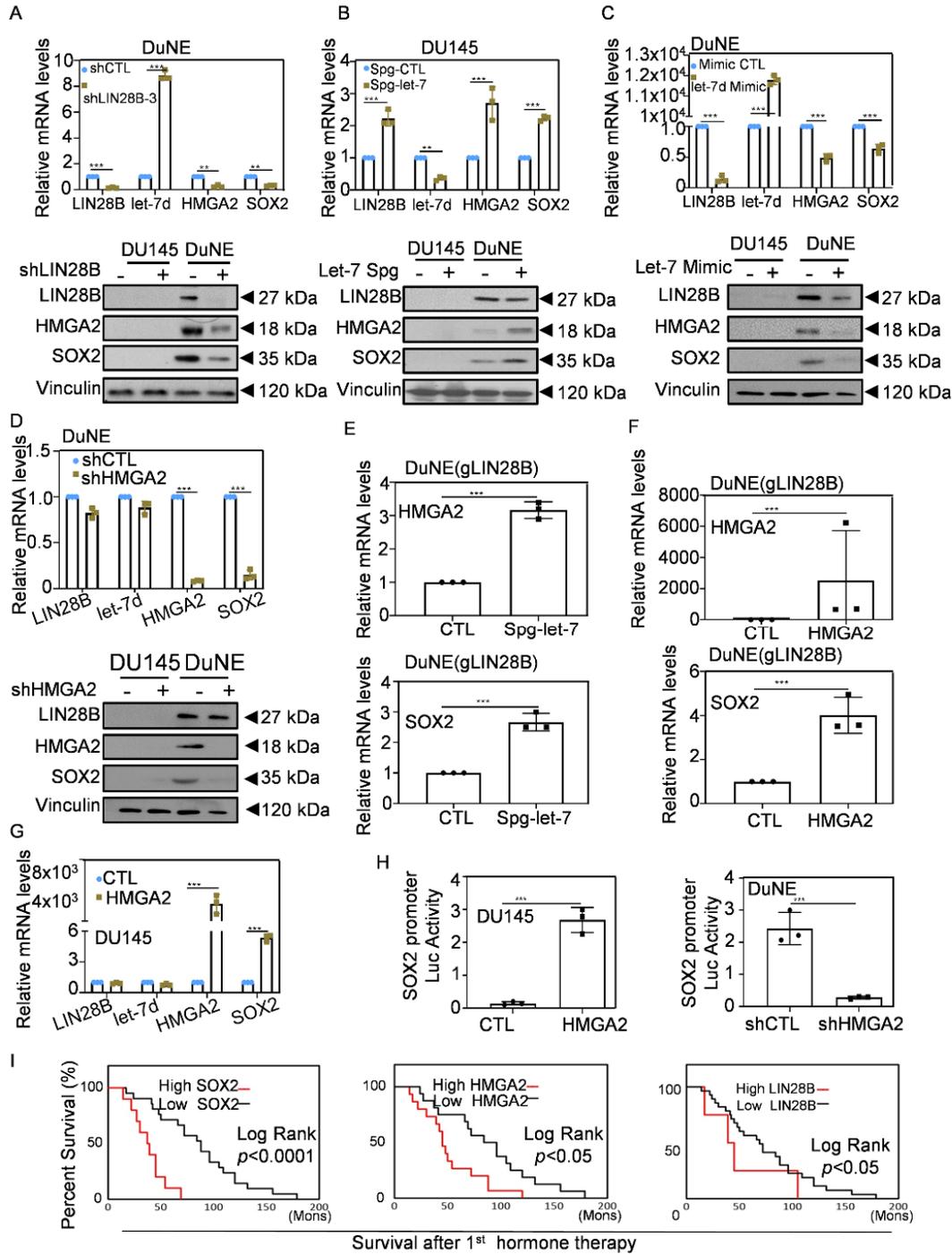


Figure 6. HMGGA2 mediates the LIN28B/let-7 signaling to induce SOX2 expression. DU145 and DuNE cells were transfected with control or shLIN28B (A); control or let-7 sponge vector (Addgene) (B); control or let-7d mimic (Dharmacon) (C); control or shHMGGA2 vector (D). The mRNA and protein levels of LIN28B, HMGGA2, and SOX2 were measured by real-time PCR and

immunoblotting assays. DnNE(gLIN28B) cells were transfected with the let-7 sponge vector **(E)**, or HMGA2 expression vector **(F)**. HMGA2 and SOX2 mRNAs were measured by qPCR. **(G)** DU145 cells were transfected with control or HMGA2 expression vector. LIN28B, let-7, HMGA2, and SOX2 mRNAs were measured by qPCR. **(H)** DU145 and DuNE cell lines were transfected with HMGA2 or shHMGA2 vector, respectively. The luciferase reporter assays measured the SOX2 promoter activity. Three independent biological replicates were performed for each experiment. Only one set of representative immunoblots is shown. All results are presented as mean \pm SD. Statistical analyses were performed by one-way ANOVA or unpaired student's *t*-test with **, ***, denoting $P < 0.01$, $P < 0.001$, respectively. **(I)** Kaplan-Meier plots showed progression free survival of CRPC patients from the Grasso 2012 cohort after the first hormonal therapy in association with SOX2, HMGA2, and LIN28B expression. The student *t*-test was used to compare results between two groups with **, *** denoting $P < 0.01$, $P < 0.001$, respectively.