

A *Plasmodium vivax* experimental human infection model for evaluating the efficacy of interventions

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Clinical Research and Public Health **In-Press Preview** **Infectious disease**

Background: Interventions that interrupt *Plasmodium vivax* transmission or eliminate dormant *P. vivax* liver-stage parasites will be essential for malaria elimination. Development of these interventions has been hindered by the lack of *P. vivax* *in vitro* culture and could be accelerated by a safe and reproducible clinical model in malaria-naïve individuals.

Method: Healthy, malaria-naïve adults were enrolled in two studies to assess the safety and infectivity and transmissibility of a new *P. vivax* isolate. Participants (Study 1; n=2, Study 2; n=24) were inoculated with *P. vivax*-infected red blood cells to initiate infection, and were treated with artemether-lumefantrine (Study 1) or chloroquine (Study 2). Primary endpoints were safety and infectivity of the new isolate. In Study 2, transmission to mosquitoes was also evaluated using mosquito feeding assays, and sporozoite viability was assessed using *in vitro* cultured hepatocytes.

Results: Parasitaemia and gametocytemia developed in all participants and was cleared by antimalarial treatment. Adverse events were mostly mild or moderate and none were serious. Participants were infectious to *Anopheles* mosquitoes at peak gametocytemia 69% (11/16). Mosquito infection rates reached 97% following membrane feeding with gametocyte-enriched blood, and sporozoites developed into liver-stage schizonts in culture.

Conclusion: We have demonstrated the safe, reproducible, and efficient transmission of *P. vivax* gametocytes from humans to mosquitoes, and have established an experimental model that will accelerate the development of [...]

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27 **Abstract**

28 **Background:** Interventions that interrupt *Plasmodium vivax* transmission or eliminate dormant *P.*
29 *vivax* liver-stage parasites will be essential for malaria elimination. Development of these
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39 antimalarial treatment. Adverse events were mostly mild or moderate and none were serious.
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41 infection rates reached 97% following membrane feeding with gametocyte-enriched blood, and
42 sporozoites developed into liver-stage schizonts in culture.

43 **Conclusion:** We have demonstrated the safe, reproducible, and efficient transmission of *P. vivax*
44 gametocytes from humans to mosquitoes, and have established an experimental model that will
45 accelerate the development of interventions targeting multiple stages of the *P. vivax* life cycle.

46 **Trial registration:** ACTRN12614000930684 and ACTRN12616000174482.

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48 Foundation (OPP1111147) (Study 2).

49 **Key words:**

50 Malaria, *Plasmodium vivax*, CHMI, controlled human malaria infection, volunteer infection study,
51 VIS, induced blood-stage malaria, IBSM, drugs, vaccines, transmission, gametocyte, oocyst,
52 sporozoite, mosquito, transmission-blocking

53

54 **Introduction**

55 *Plasmodium vivax* is the most globally widespread human malaria parasite, and the predominant
56 cause of malaria outside of Africa (1). Although a major cause of morbidity, *P. vivax* infection has
57 long been regarded as benign compared to *P. falciparum*. However, it has recently become widely
58 recognised as a cause of severe, life-threatening, and fatal malaria infection (2, 3). As a consequence,
59 there is renewed interest in developing *P. vivax* specific control and elimination strategies (4). *P.*
60 *vivax* is considered more difficult to control than *P. falciparum* due to the parasite's unique biological
61 features that increase its potential for transmission (5). Unlike *P. falciparum*, the transmissible stages
62 of *P. vivax* (the gametocytes) appear early during blood-stage infection before the onset of symptoms,
63 which increases the likelihood of transmission before treatment. *P. vivax* produces hypnozoites which
64 are dormant liver-stage parasite that cause relapses months to years after initial infection — reported
65 to account for up to 80% of all *P. vivax* infections (6) — thus providing repeated opportunities for
66 onward transmission. In addition, *P. vivax* can be transmitted by a broad range of *Anopheles* vectors,
67 many with exophilic and zoophilic tendencies, thus reducing the efficacy of conventional vector
68 control measures (7). Therefore, as well as treating asexual parasites to control clinical illness, *P.*
69 *vivax* control strategies must also target hypnozoites and block transmission to have a significant
70 impact on control and elimination (8).

71 The current recommended treatment for *P. vivax* is chloroquine or artemisinin-based combination
72 therapy to clear asexual parasitaemia, administered with the 8-aminoquinoline, primaquine for 14
73 days to clear liver-stage hypnozoites (9). A single dose of tafenoquine recently demonstrated
74 equivalent efficacy against hypnozoites with the potential to substantially improve treatment
75 compliance. However, wide scale deployment of these drugs to achieve meaningful public health
76 impact is complicated by the need to screen for glucose-6-phosphate dehydrogenase deficiency, and
77 safer alternatives are needed (10).

78 A *P. vivax* transmission-blocking vaccine (TBV) could interrupt transmission from primary
79 infections, relapses, and also asymptomatic infections that remain undiagnosed and transmissible for a

80 prolonged period. A TBV would reduce morbidity and mortality by preventing both new clinical
81 infections and hypnozoite formation (11, 12). The inability to continuously culture *P. vivax* parasites
82 in vitro, and the difficulties in using animal models (8), has hampered development of interventions
83 specifically targeting *P. vivax* hypnozoites and gametocytes. The production of gametocytes for
84 evaluation of TBVs and sporozoites for liver-stage hypnozoite assays is limited to endemic settings
85 where natural gametocyte carriers are available. Thus, a safe and reproducible in vivo model of
86 human to mosquito *P. vivax* transmission in malaria-naïve volunteers would accelerate development
87 and early-clinical evaluation of transmission-blocking interventions. Moreover, sporozoites generated
88 from mosquitoes fed on gametocytes collected from unvaccinated volunteers during these studies
89 could be used to evaluate interventions that target hypnozoites.

90 *P. vivax* experimental human infection studies, termed controlled human malaria infection (CHMI) or
91 volunteer infection studies (VIS), have been established where malaria infections are initiated either
92 by sporozoite inoculation or by the induced blood-stage malaria (IBSM) model (13). To date, none of
93 these studies have demonstrated efficient *P. vivax* transmission from humans to mosquitoes. The
94 IBSM model uses cryopreserved and characterised *P. vivax*-infected red blood cells (RBCs) to initiate
95 infection. There have been only two previous *P. vivax* IBSM studies (both conducted at our centre),
96 where a total of 8 adults were infected with a *P. vivax* isolate from the Solomon Islands; however,
97 efficient transmission to mosquito was not achieved (14, 15). These studies were the first
98 experimental infection of humans with blood-stage *P. vivax* using the modern IBSM model
99 (deliberate infection with *P. vivax* was practiced between the 1920s and 1970s when malariotherapy
100 was used for syphilis treatment (16), as well as in experimental studies with US prisoners (17)). Here,
101 we evaluate the safety, tolerability, and infectivity of a new *P. vivax* isolate bank from India and
102 describe a clinical model for evaluating the efficacy of blood-stage schizonticides and transmission-
103 blocking interventions that can be exploited to facilitate the evaluation of *P. vivax* liver-stage
104 interventions.

105 **Results**

106 Twenty-six malaria-naïve volunteers were enrolled in two clinical trials: Study 1 (n=2) undertaken
107 from October 8, 2014 to January 8, 2015 and Study 2 (n=24) undertaken from February 22, 2016 to
108 May 21, 2017 (Figure 1 and 2). Baseline characteristics of participants are presented in Table 1.

109 All participants were inoculated with an estimated 564 viable *P. vivax* parasites and the experimental
110 infection was generally well tolerated. In Study 1, 14 adverse events (AEs) were reported: 12
111 attributed to malaria (headache, fever, myalgia, arthralgia, presyncope, rigors), one deemed possibly
112 related to artemether-lumefantrine (somnolence), and one not related to malaria or artemether-
113 lumefantrine (headache 49 days after treatment) (Table 2). Most AEs resolved within 24 h of
114 treatment with paracetamol, except two intermittent headaches that resolved in 4 days and 8 days, and
115 right knee pain that resolved in 4 days. All AEs were mild (n=13/14; 92.9%) or moderate (n=1/14;
116 7.1%) in severity. In Study 2, 355 AEs were reported (Table 2). A total of 296 (83.4%) were related to
117 malaria, of these, 8 (2.3%) were concurrently deemed possibly related to chloroquine. Eleven (3.1%)
118 AEs were related to direct skin feeding (DFA) (reaction at site of mosquito bite); the remaining AEs
119 were attributed to other causes. Most AEs were mild (250/355; 70.4%) or moderate (98/355; 27.6%)
120 in severity. Four severe AEs occurred and were all attributed to malaria: reduced neutrophil count
121 ($0.65 \times 10^9/L$), chills, elevated alanine aminotransferase (peak $6.9 \times ULN$), and arthralgia. No serious
122 AEs were reported in either trial.

123 All 26 participants developed blood-stage parasitaemia. In Study 1, parasites were first detected by
124 18S quantitative PCR (18S qPCR) on Day 5 in both participants. Parasitaemia peaked at 21,836 and
125 8,949 parasites/mL on the day of treatment (Day 8), and was completely cleared following treatment
126 with artemether-lumefantrine (Figure 3A). In Study 2, parasites were first detected by 18S qPCR in
127 21/24 participants on Day 4, and in the remaining 3 participants on Day 5. The course of parasite
128 development did not differ between cohorts (Figure 3D+F) and parasitaemia was cleared in all
129 participants in a median of 3 days after initiation of chloroquine treatment, range = 1.5–7.0 days.

130 Gametocytes were first detected (above 10 gametocytes/mL) on Day 6 in Study 1 (Figure 3A) and
131 between Day 4 and 7 in Study 2, which was an average of 1.5 days (range = 0–3 days) after first
132 detection of asexual parasites (Figure 3D–F). Using the transcript number estimates per gametocyte
133 published by Karl et al., (18) to convert *pvs25* transcripts/mL to gametocytes/mL, the peak
134 gametocyte levels were 5.5% (median) of the peak asexual parasite levels and gametocytemia
135 correlated with asexual parasitemia ($p<0.0001$) (Figure 3C). The course of gametocytemia followed
136 the asexual parasitaemia, but in Study 2 after chloroquine treatment, in contrast to immediate
137 clearance of asexual parasites, clearance of gametocytes was delayed a further 24 h.

138 In Study 2 cohort 1, median gametocytemia was 136 gametocytes/mL at the time of treatment/last
139 mosquito feeding assay, meaning only 0.14–0.68 gametocytes would be imbibed in a 1–5 μ L mosquito
140 blood-meal, making transmission extremely unlikely. As a consequence, following review of the
141 safety data and approval from the Safety Monitoring Committee the recommendation was made to
142 delay treatment until Day 10 in cohorts 2 and 3. This resulted in significantly higher median
143 gametocytemia at the time of treatment/last mosquito feeding assay (2,351 gametocytes/mL;
144 $p<0.0001$) compared to participants in cohort 1 (Figure 3B).

145 The optimal times for mosquito feeding were Day 9 and 10, when 69% (11/16) of participants were
146 infectious to mosquitoes (Table 3 and Table S6). Participants were not infectious on Day 6 and 7
147 (0/8), and only one participant was infectious on Day 8 (1/8). The rate of mosquito infection was
148 highest on Day 10 (Figure 4A; median on Day 10 = 5.2%; IQR 2.8–8.9). Direct skin feeding resulted
149 in higher mosquito infection rates (median = 3.3%; IQR 2.9–6.1) than direct membrane feeding with
150 whole blood (median = 1.8%; IQR 1.2–2.8; $p = 0.04$), and membrane feeding with serum replacement
151 (median = 8.6%; IQR 2.8–13.9) also resulted in significantly higher mosquito infection rates than
152 membrane feeding with whole blood ($p = 0.02$) (Figure 4B and Table S6). Successful mosquito
153 transmission was associated with gametocyte density, with gametocytemia being significantly higher
154 in the infectious samples (median = 1,993 gametocytes/mL) compared to the non-infectious samples
155 (median = 136 gametocytes/mL; $p<0.0001$) (Figure 4C).

156 To increase mosquito infection rates in this model, we enriched gametocytes over a percoll gradient
157 either ~10 or ~40 fold to increase the density of gametocytes offered to mosquitoes in the membrane
158 feeding assays (19). Very high levels of mosquito infection ranging from 26% (Day 9) to 92% (Day
159 10) were achieved following ~10 fold enrichment (Table 4). When gametocytes were enriched ~40
160 fold, the mosquito infection rate was 97%, with a mean of 7 oocysts (range 1–16) per midgut.
161 Salivary gland sporozoites were detected 15 to 17 days after the feeding assay, with an average of
162 7,635 sporozoites per mosquito following ~40 fold enrichment (Table 4). To assess viability, these
163 sporozoites were collected from the mosquitoes and incubated with HC-04 hepatocyte cells in culture.
164 Following 7 days of incubation, liver-stage schizonts were observed by staining the cells with UIS4
165 monoclonal antibody (Figure 4D).

166

167 **Discussion**

168 We have demonstrated for the first time, the safe, reproducible and efficient transmission of
169 gametocytes during experimental *P. vivax* malaria infection in humans, thereby establishing a new
170 clinical model for evaluating *P. vivax* transmission-blocking interventions. Moreover, we have
171 demonstrated the potential to exploit this model to produce viable clonal sporozoites capable of
172 hepatocyte infection that could be used to evaluate interventions targeting *P. vivax* liver-stage
173 parasites.

174 The new *P. vivax* HMP013 inoculum was safe and well tolerated. The isolate was generated from a
175 donor with blood group O (RhD positive), overcoming the need to match study volunteers' blood
176 group to that of the inoculum. The number and severity of AEs were in line with safety outcomes
177 from published malaria IBSM trials, two of which used *P. vivax* (13). The severity of the single case
178 of elevated alanine aminotransferase is similar to that reported in other *P. vivax* studies (15). A
179 comprehensive analysis of clinically significant transaminase elevations in *P. vivax* IBSM studies will
180 be reported separately.

181 Gametocytemia was detected in all participants and appeared in circulation early during blood-stage
182 infection — only 1 to 2 days after the first appearance of asexual parasites — consistent with reports
183 of a shorter gametocyte maturation time for *P. vivax* compared to *P. falciparum* (14, 15). The majority
184 of participants (11/16; 68·8%) were infectious to laboratory reared *An. stephensi* mosquitoes on Day 9
185 and 10 after infection. This represents the first report of efficient *P. vivax* gametocyte transmission
186 during experimental malaria infection. Transmission from humans to mosquitoes was previously
187 attempted during a sporozoite induced *P. vivax* experimental malaria infection study but was
188 unsuccessful despite detection of the *pvs25* gametocyte marker (20, 21). In our previous *P. vivax*
189 IBSM study (15) the peak gametocytemia was 43 gametocytes/mL compared to 47,393
190 gametocytes/mL in this study (Supplementary p 19). Difficulty was experienced during the previous
191 study with verification of mosquito infection by microscopy. Review of the photomicrographs by a
192 number of expert oocyst microscopists from different laboratories indicated a lack of consensus about

193 which, if any, were true oocysts and which were artefact. This ambiguity about the identification of
194 mosquito infection led us to develop and validate the qPCR assay used here for high-throughput,
195 sensitive, and accurate evaluation of midgut infection (22). It was also followed by a study detailing
196 the difficulty with oocyst identification by microscopy (23). Moreover, similar structures identified
197 later in the same QIMR laboratory were confirmed PCR negative. Although we are unable to verify
198 by PCR the result of the previous study with the Solomon Island isolate, we believe based on the lack
199 of consensus about the identification of oocysts together with the very low gametocytemia during that
200 study that it is likely the mosquito infection rate reported was an overestimate. The study presented
201 here thus demonstrates higher levels of gametocytemia, reliable transmission to mosquitoes, and
202 increased assay validity. The mosquito infection rates we observed in this current study (1–18%) are
203 comparable to those reported from asymptomatic natural gametocyte carriers who had a mean
204 gametocyte density of 1,323 gametocytes/mL and an average mosquito infection rate of 4.2% (21).
205 We also observed increasing mosquito infection rates with increasing gametocytemia, consistent with
206 data from natural infections (21, 24). Transmission was low (on Day 8) or did not occur (on Day 6
207 and 7) before Day 9, likely due to the low gametocyte densities at the time of feeding. Gametocytemia
208 was so low (less than 397 gametocytes/mL) that the chance of gametocytes being taken up in a 1–5
209 μ L blood meal was extremely unlikely. Membrane feeds performed with gametocytes that had been
210 enriched over a percoll gradient resulted in very high levels of transmission, further demonstrating the
211 observed relationship between gametocyte density and transmission success.

212 Our model provides a new platform to fully evaluate factors governing efficient transmission, and in
213 accordance with previous *P. vivax* studies, mosquito infection rates were higher via the natural route
214 of infection compared to feeding mosquitoes on whole blood via a membrane (25, 26). This is
215 potentially due to conditions during membrane feeding being suboptimal for efficient transmission, or
216 because gametocytes may localise to subdermal capillaries for more efficient uptake. Consistent with
217 previous reports (19, 26), we observed higher mosquito infection rates from membrane feeding with
218 serum replacement than from direct membrane feeding on whole blood. This suggests a component of

219 the venous blood sample not present in vivo during skin feeding, such as anticoagulant, may inhibit
220 transmission (19, 26, 27).

221 Mosquito infection rates were very high after membrane feeding with enriched gametocytes, which
222 further supports the association between gametocyte density and transmission. Midgut oocyst
223 infections developed into salivary gland sporozoites, and these sporozoites were able to infect and
224 develop in human hepatocytes in vitro. This demonstrates the potential application of this model to
225 facilitate the study of *P. vivax* liver-stages.

226 A limitation of this study is the small sample size; further studies are needed to determine the true
227 variability in *P. vivax* infection characteristics between study participants. An additional limitation is
228 that the IBSM model does not mimic natural infection as it bypasses the liver-stage of infection.
229 However, this offers a safety advantage because it eliminates the risk of hypnozoite formation during
230 liver-stage infections and the potential for relapse. IBSM offers other logistical and safety advantages
231 over *P. vivax* sporozoite induced VIS including i) the ability to readily carry out IBSM studies in non-
232 endemic countries, ii) prior knowledge of *P. vivax* genotype and drug sensitivity, iii) ability to carry
233 out multiple studies with the same strain and dose, and iv) simplified trial design and conduct because
234 all participants develop blood-stage parasitaemia simultaneously.

235 In conclusion, we have demonstrated the safe, reproducible, efficient transmission of *P. vivax*
236 gametocytes from healthy non-immune participants to mosquitoes during experimental human
237 malaria infection. This experimental model can be used for early-clinical evaluation of drug and
238 vaccine candidates, and could provide a source of sporozoites for the evaluation of *P. vivax* liver-
239 stages. This model will further our understanding of the biology of all stages of *P. vivax* infection and
240 provide critical information for malaria control and elimination agendas.

241 **Methods**

242 **Study design and participants**

243 Two single-centre open-label clinical trials were undertaken at Q-Pharm Pty Ltd in Queensland,
244 Australia: a phase 1 first-in-human pilot safety and infectivity study (Study 1), and a phase 1b human
245 to mosquito transmission study (Study 2). Healthy, malaria-naïve males and (non-pregnant, non-
246 lactating) females aged between 18 and 55 years were eligible to participate. Study 1 was conducted
247 with two participants inoculated 24 h apart. Study 2 was undertaken as three cohorts of eight
248 participants. Due to recruitment limitations, cohort 2 was performed as cohort 2a (n=6) and cohort 2b
249 (n=2), conducted separately (Figure 1+2).

250 **Procedures**

251 The *P. vivax* HMP013 isolate was collected in 2014 from a traveller (blood group O, RhD positive)
252 returning to Australia from India who presented with malaria-related symptoms. Informed consent
253 was obtained (under a protocol approved by the QIMR Berghofer and Royal Brisbane Women's
254 Hospital human research ethics committees), and 200 mL of blood was collected. The patient tested
255 negative for blood-borne pathogens using a Red Cross donation protocol and the RBCs were
256 cryopreserved as described previously (14). The cryopreserved bank tested negative for adventitious
257 agents and was subject to whole genome sequencing (28).

258 Each inoculum was prepared by aseptically thawing and washing a vial of cryopreserved RBCs and
259 diluting to 2 mL with injectable saline. The number of viable parasites per inoculum was
260 retrospectively determined to be 564 parasites (95% CI: 342–930) by quantitative PCR targeting the
261 18S rRNA gene (18S qPCR) (Supplementary pp 13–15). All participants were inoculated
262 intravenously on Day 0 and monitored daily for AEs and malaria. From Day 4, parasitaemia was
263 measured by 18S qPCR (Supplementary p 13) (14) twice-daily until participants were admitted to the
264 clinic for treatment (Supplementary Table S1 and S2). Gametocyte development was measured by
265 qRT-PCR for *pvs25* mRNA (Supplementary pp 13–14) from Day 4 (14). Curative antimarial

266 treatment was administered on Day 8 (Study 1 and Study 2 cohort 1) or Day 10 (Study 2 cohorts 2
267 and 3, except Participant 205 who was treated on Day 9). Participants in Study 1 received oral
268 artemether-lumefantrine, and participants in Study 2 received oral chloroquine (Supplementary Table
269 S4). All participants were confirmed parasite negative at the end of study (Figure 1+2).

270 For Study 2, infectivity of gametocytes was evaluated using mosquito feeding assays between Day 6
271 and 8 (cohort 1) or on Day 9 and 10 (cohorts 2 and 3). All feeding assays were performed before drug
272 treatment was initiated. Gametocytes were fed to *Anopheles stephensi* mosquitoes via direct skin
273 feeding assays (DFAs; 2 per participant), direct membrane feeding assays with whole venous blood in
274 lithium heparin anticoagulant (DMFAs; 2–3 per participant), or membrane feeding assays with serum
275 replacement (MFA-SR) (19). Exploratory membrane feeding assays were performed to investigate
276 mosquito infection rates when fed on gametocytes enriched from participants' blood over a percoll
277 gradient (Supplementary p 17). We determined transmission to mosquitoes by measuring midgut
278 oocyst infections using the 18S qPCR assay (14, 22). Microscopy was used to visually confirm
279 oocysts in a small random selection of midguts prior to qPCR (Figure S3A+B). Salivary gland
280 sporozoite infections were assessed using microscopy 15 to 17 days after mosquito feeding (Figure
281 S3C). Sporozoite viability was determined by adding salivary gland sporozoites to HC-04 cells in
282 culture in liver-stage invasion assays (Supplementary p 18).

283 **Outcomes**

284 Primary endpoints were the safety (both studies) and infectivity (Study 1) of the *P. vivax* isolate in
285 healthy, malaria-naïve adults. Safety endpoint measures were the frequency and severity of AEs, and
286 results of clinical laboratory tests, physical examinations, vital sign assessments, and
287 electrocardiographs. Infectivity endpoint measures were parasitaemia and gametocytemia growth
288 profiles determined by 18S qPCR and *pvs25* qRT-PCR. A secondary endpoint in Study 2 was
289 transmissibility of *P. vivax* gametocytes from humans to mosquitoes. Successful transmission was
290 defined as at least one oocyst-positive mosquito per feeding assay, measured by 18S qPCR.
291 Additional primary and secondary objectives were to characterise the pharmacokinetic-

292 pharmacodynamic relationship between chloroquine concentration and clearance of blood-stage
293 parasites. These will be reported separately.

294 **Statistics**

295 Both trials were designed to assess the in vivo safety of the *P. vivax* isolate in the IBSM model. The
296 first-in-human pilot study (Study 1) required only 2 participants. Study 2 was designed to assess the
297 parasite-clearing activity of chloroquine. Normative data on log parasite clearance rate was used in
298 sample size estimation from 18 IBSM studies involving 102 individuals with mean decay rate of
299 0.063 log parasites per hour and SD of 0.019. It was determined that a sample size of 20 participants
300 has 80% power to identify a difference of 20% in mean decay rate compared to a reference standard
301 as significant at 5% two-sided significance based on a one-sample t-test. Statistical analysis was
302 performed using GraphPad Prism version 8.2.1 (infectivity endpoints), and R version 3.3.3 (inoculum
303 size and calibration of 18S qPCR). The D'Agostino–Pearson normality test was used to determine if
304 continuous data were normally distributed. When comparing two groups of nonparametric data the
305 Mann–Whitney test was used. More than two groups of nonparametric data were compared by
306 Kruskal–Wallis test with Dunn's multiple comparison test. P value <0.05 was considered statistically
307 significant.

308 **Study approval**

309 Both studies were approved by the QIMR Berghofer Human Research Ethics Committee. Study 2 was
310 also approved by the Australian Defence Human Research Ethics Committee. All participants met the
311 eligibility criteria (Supplementary pp 3–8) and gave written informed consent before inclusion in the
312 study. The trials were registered with the Australian New Zealand Clinical Trials Registry
313 (ACTRN12614000930684 and ACTRN12616000174482).

314

315 **Author contributors:** Study conception and design of experiment: KAC, JSM, and JJM. Clinical
316 oversight: JSM, SE, AO, and SC. Molecular and entomology experiments: KAC, CYTW, MA, HM,
317 GR, and MR. Liver-stage assays: VMA, LL, TBS, and KAC. Analysed data: KAC, DK, and EB.
318 Wrote manuscript: KAC. All authors reviewed the manuscript and approved the final version.

319 **Conflict of interests:** Stephan Chalon and Joerg J Moehrle are employed by Medicines for Malaria
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334 **Role of funding source**

335 The funders, Bill & Melinda Gates foundation and (Australian) NHMRC had no role in study design,
336 data collection, analysis, interpretation or reporting of data. MMV were involved in study design and
337 safety analysis but had no role in data collection, analysis, interpretation, or reporting of parasitology
338 data. The authors KAC and JSM had full access to all the data in the study and had final responsibility
339 for the decision to submit for publication.

340 **Data sharing statement:**

341 Data collected for the primary and secondary objectives for this study will be available with other
342 supporting documents (e.g., protocol and informed consent) after publication upon reasonable request
343 with a data transfer agreement. Investigators who seek access to data should contact the authors. All
344 methodologies are presented in this manuscript or supplementary. Where details are given in brief the
345 method is already published in the accompanying reference.

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Figures

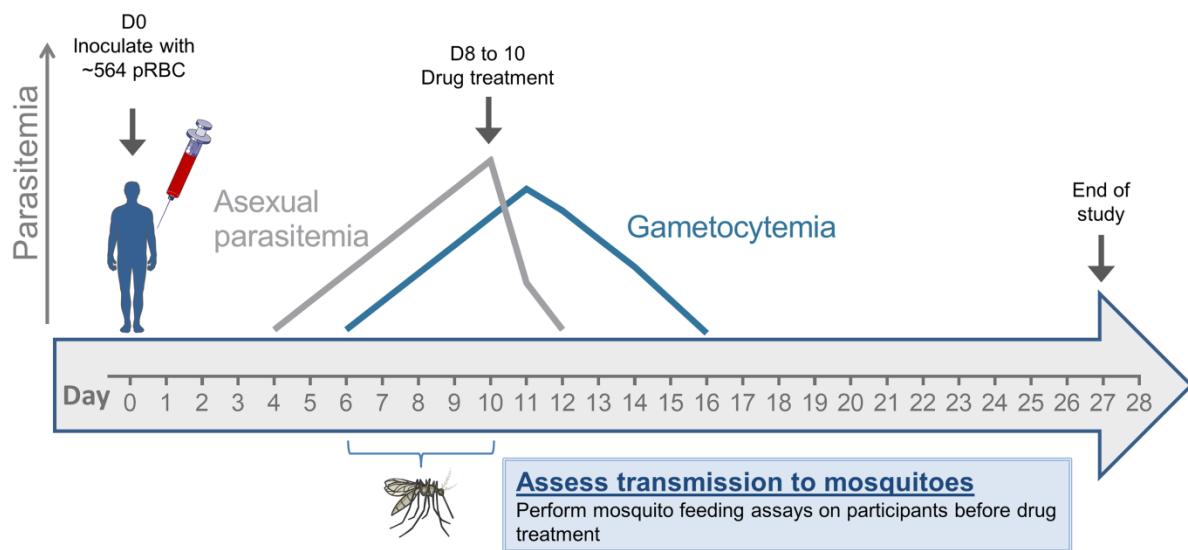
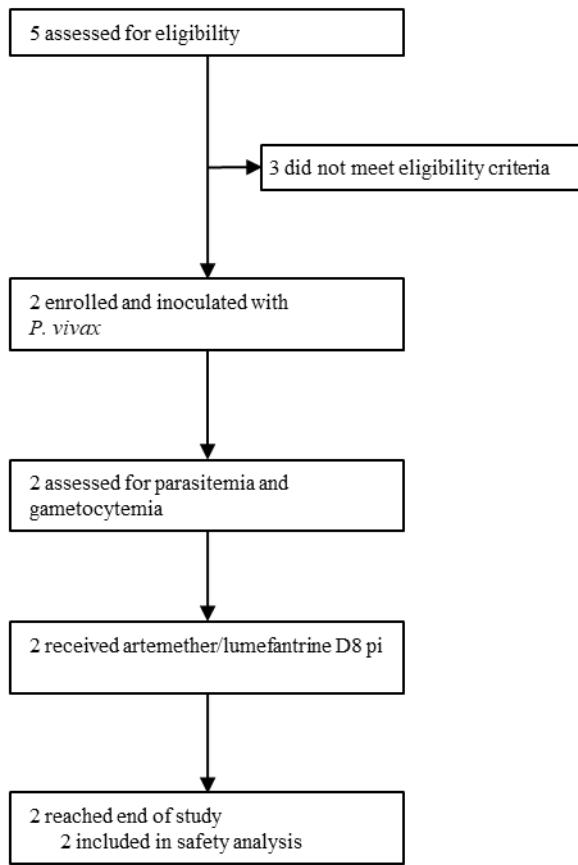


Figure 1: Study design schematic

Malaria-naïve volunteers were inoculated with *P. vivax*-infected RBCs (pRBCs) on day 0 (D0). Asexual parasitaemia and gametocytemia were evaluated from Day 4 and continued until the end of study. Participants in Study 1 started artemether-lumefantrine treatment on Day 8 (n=2). Participants in Study 2 started chloroquine treatment on Day 8 (n=8), Day 9 (n=1), or 10 (n=15). For Study 2, mosquito feeding assays were performed between Day 6 and Day 10 by direct feeding (allowing mosquitoes to feed on participants by live bite), or by membrane feeding on venous blood.

D: Day relative to inoculation (Day 0); pRBC: *P. vivax* parasite infected RBCs

Study 1: Phase 1 first-in-human



Study 2: Phase 1b

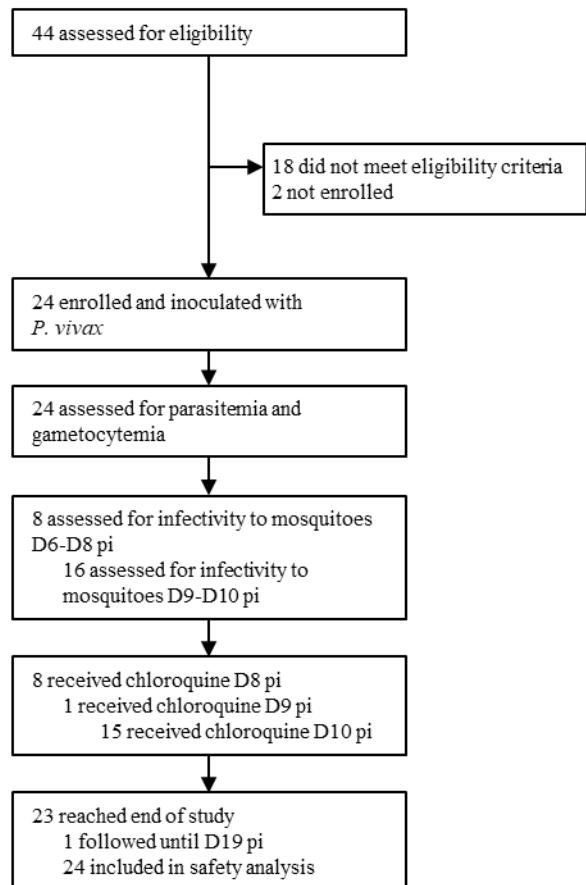


Figure 2: Study profile

All participants were inoculated with *P. vivax* on Day 0.
D=day relative to inoculation; pi=post inoculation

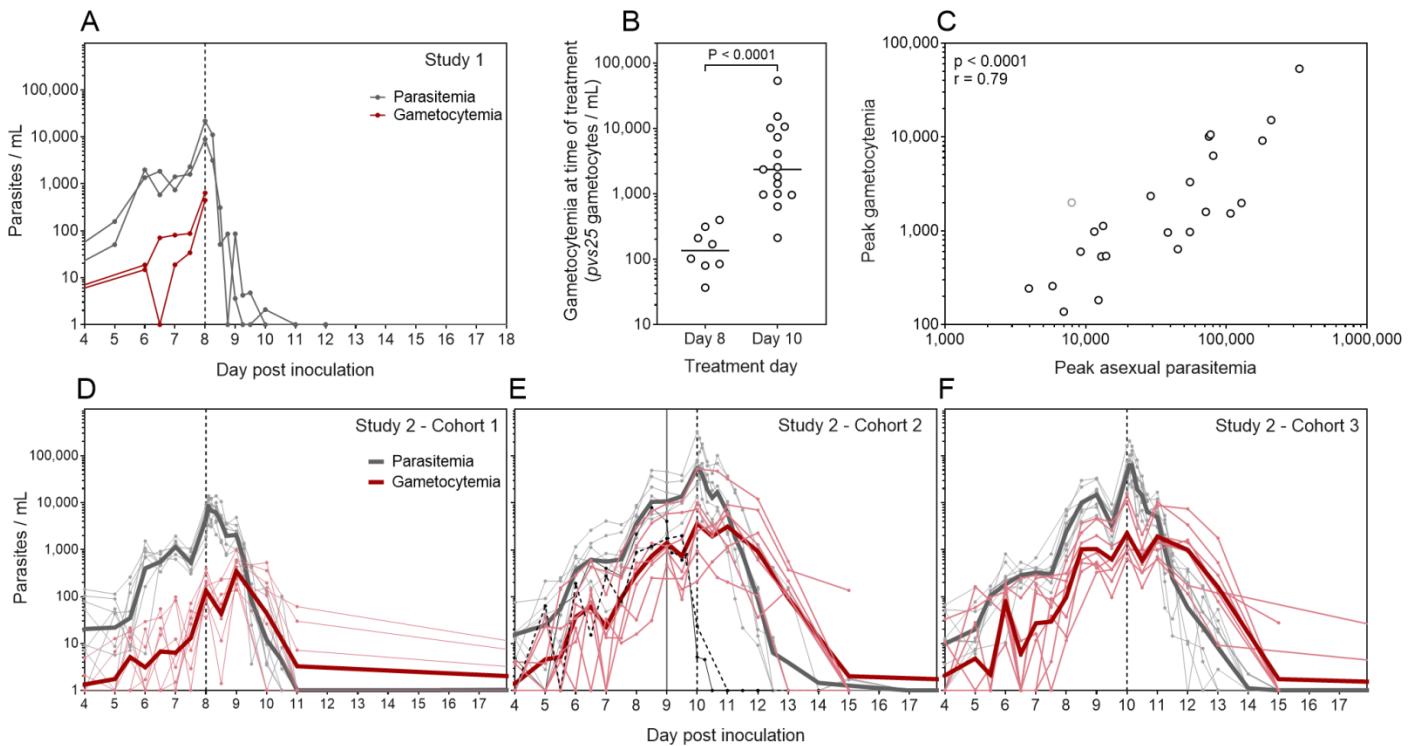


Figure 3: Parasitaemia and gametocytemia

Participants ($n=26$) were experimentally infected with *P. vivax* on Day 0. Parasitaemia was measured by 18S qPCR and gametocytemia measured by *pvs25* qRT-PCR for Study 1 ($n=2$) (A), and Study 2 ($n=24$) (D-F). Grey lines = parasitaemia, red lines = gametocytemia. Thin lines show individual participant data and thick lines show the geometric mean. Initiation of treatment is indicated by the vertical lines. Treatment was initiated on Day 8 for Study 1 ($n=2$) and Study 2 cohort 1 ($n=8$), or Day 10 for Study 2 cohorts 2 and 3 ($n=15$). Participant 205 (cohort 2; black lines) was treated on Day 9 (vertical solid line). (B) Gametocytemia at time of treatment for Study 2 ($n=23$) (compared by Mann-Whitney test). (C) Spearman correlation of peak asexual parasitaemia and peak gametocytemia ($n=24$). Participant 205 represented in grey.

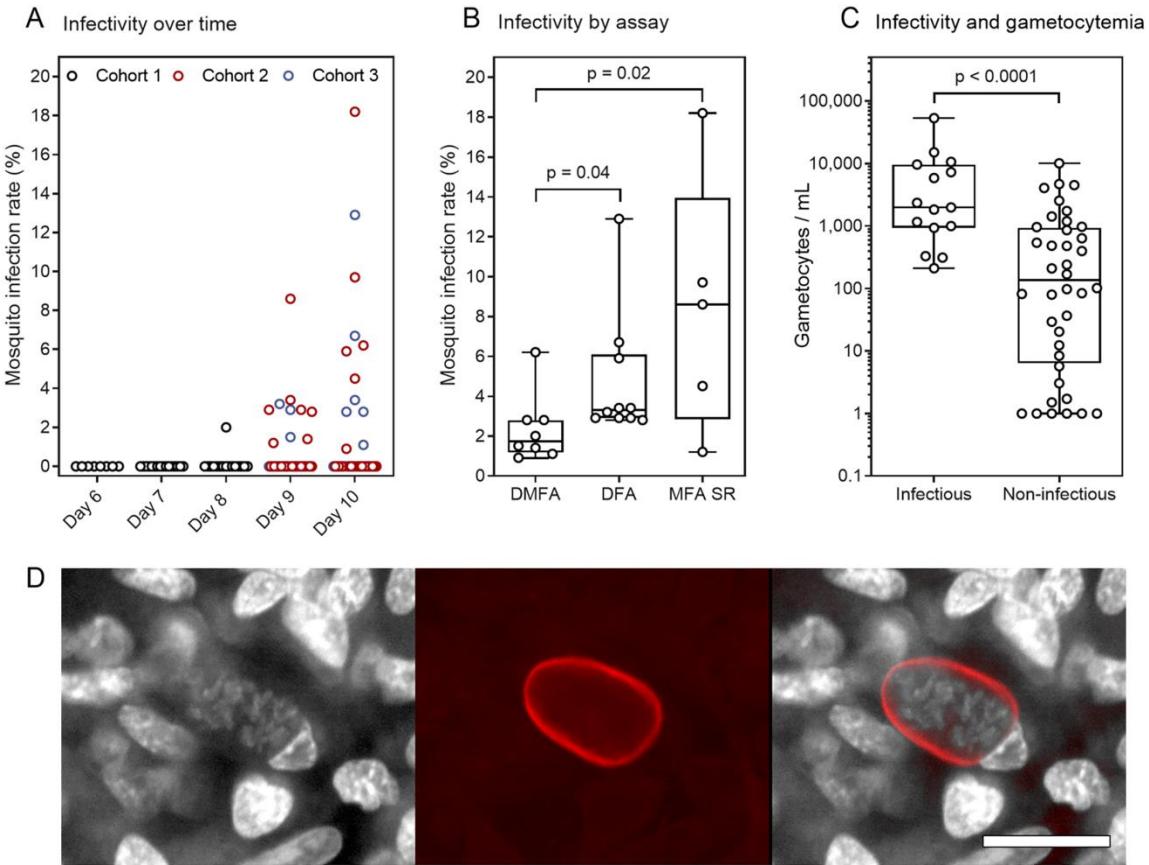


Figure 4: Infectivity to mosquitoes

Successful transmission was defined as at least one oocyst-positive mosquito determined by 18S qPCR. Mosquito infection rate is reported as prevalence of infection (percentage of mosquitoes infected per feeding assay). (A) Prevalence of mosquito infection in all feeding assays in Study 2 at each time point (n=113). (B) Prevalence of mosquito infection in successful feeding assays, by feeding assay type (n=37). Groups compared by Kruskal-Wallis test with Dunn's multiple comparison test. (C) The gametocytemia for participants samples that were infectious compared to samples that were non-infectious (n=54). Groups compared by Mann-Whitney test. Box plots indicate the median and whiskers show the minimum and maximum. (D) Representative image from of a *P. vivax* liver-stage schizont stained with UIS4 and Hoechst33342 following incubation of sporozoites with HC-04 culture for 7 days (Left panel - white channel (Hoechst33342), middle panel - red channel (Alexa fluor 488-conjugated UIS4 antibody), right panel – merge). Image taken at 40 \times magnification. Scale bar = 20 μ m. Sporozoites were obtained by feeding mosquitoes on enriched gametocytes collected on day 10 from participants in cohort 3 (Supplementary pp 18).

DFA = direct skin feeding assay, DMFA = direct membrane feeding assay with whole blood, MFA-SR = membrane feeding assay with serum replacement.

		Study 1 (n=2)	Study 2 (n=24)
Age (years)		20.0 (1.4)	24.8 (6.1)
Sex (male)		2 (100%)	13 (54.2%)
Ethnicity n (%)	White	1 (50.0%)	21 (87.5%)
	Asian	0	1 (4.2%)
	Asian-European	1 (50.0%)	0
	Indigenous	0	1 (4.2%)
	Aboriginal		
	Latino	0	1 (4.2%)
Height (cm)		179.0 (4.0)	175.8 (9.8)
Body weight (kg)		74.2 (5.7)	73.3 (10.8)
Body mass index (kg/m²)		23.3 (2.7)	23.7 (2.7)

Table 1: Baseline characteristics of participants

Data are in n (%) or mean (SD)

	Study 1 (n=2) n (%) or n	Study 2			
		Cohort 1 (n=8) n (%) or n	Cohort 2 (n=8) n (%) or n	Cohort 3 (n=8) n (%) or n	Total (n=24) n (%) or n
Number of participants with adverse events					
Participants with AEs	2 (100%)	8 (100%)	8 (100%)	8 (100%)	24 (100%)
Participants with malaria related AEs	10	7 (87.5%)	8 (100%)	8 (100%)	23 (95.8%)
Participants with study drug ^a related AEs	1	3 (37.5%)	2 (25.0%)	1 (12.5%)	6 (25.0%)
Participants with DFA related AEs	NA	1 (12.5%)	4 (50.0%)	3 (37.5%)	8 (33.3%)
Number of adverse events					
Total number of AEs	14	45	157	153	355
Number of mild AEs	13	36	101	113	250
Number of moderate AEs	1	9	53	36	98
Number of severe AEs	0	0	1	3	4
Number of malaria related AEs	12	37	140	119	296
Number of study drug ^a related AEs	1	4	3	1	8
Number of DFA AEs	NA	1	7	3	11

Table 2: Frequency of adverse events by cohort in Study 1 and Study 2

AE severity was recorded in accordance with the Common Terminology Criteria for Adverse Events (CTCAE, version 4, published 28 May 2009). AEs from cohorts 2a and 2b have been combined for reporting in this table. AE=adverse event; DFA=direct feeding assay; NA=not applicable. ^aartemether-lumefantrine (Study 1) or chloroquine (Study 2)

Day ^a	No. participants infectious to mosquitoes (n/N and %)				
	Cohort				Total
	1	2a	2b	3	
6	0/8 (0%)	-	-	-	0/8 (0%)
7	0/8 (0%)	-	-	-	0/8 (0%)
8	1/8 (12.5%)	-	-	-	1/8 (12.5%)
9	-	3/6 (50.0%)	1/2 (50.0%)	2/7 (28.6%)	6/15 (40.0%)
10	-	2/5 (40.0%)	1/2 (50.0%)	5/8 (62.5%)	8/15 (53.3%)

Table 3: Infectivity of participants to mosquitoes in Study 2

^a Day relative to inoculation (Day 0). Full individual participant infectivity data by assay are displayed in table S6.

Day ^a	Percoll enrichment	% oocyst infected mosquitoes (number positive/number assessed)	Mean no. oocysts/infected mosquito (number assessed)	No. oocysts/infected mosquito range	% sporozoite infected mosquitoes (number positive/number assessed)	Sporozoites/ infected mosquito mean
Cohort 2a						
10	~10 fold	92.4% (110/119)	4 (n=27)	1–10	93.3% (28/30)	4429
Cohort 2b						
10	~10 fold	71.1% (79/111)	2 (n=19)	1–4	NC	1462
Cohort 3						
9	~10 fold	26.2% (16/61)	NC	NC	NC	NC
10	~10 fold	87.6% (92/105)	2 (n=22)	1–4	50.0% (3/6)	1767
10	~40 fold	97.3% (109/112)	7 (n=30)	1–16	100% (6/6)	7635

Table 4: Infectivity of percoll enriched samples to mosquitoes and development of sporozoites
 Membrane feeding assays were performed with gametocytes enriched over a percoll gradient from blood pooled from all participants in a cohort, at the time point specified. Mosquito infection rate is reported as prevalence of infection (% of mosquitoes infected per feeding assay). NC: not counted. ^a Day relative to inoculation (Day 0).