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### Research Article

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## Retinoid Protection against X-ray-induced Chromatid Damage in Human Peripheral Blood Lymphocytes

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#### Abstract

Oral administration of isotretinoin (13-cis retinoic acid) was shown previously (Kraemer, K. H., J. J. DiGiovanna, A. N. Moshell, R. E. Tarone, and G. L. Peck. 1988. N. Engl. J. Med. 318:1633–1637) to reduce the frequency of skin cancers in xeroderma pigmentosum (XP) patients. The mechanism of protection was unclear. In the present study, x-ray-induced chromatid damage in PHA-stimulated blood lymphocytes from five XP patients receiving isotretinoin was approximately half that in blood samples from the same patients before or subsequent to treatment. The x-ray-induced chromatid damage in blood lymphocytes from a normal control was reduced significantly by cocultivation with blood or plasma from an XP patient receiving isotretinoin or by addition of 10<sup>-6</sup> M isotretinoin to cultures 1 h before x-irradiation. A similar reduction in x-ray-induced chromatid damage was reported previously by adding to the culture medium, mannitol, a scavenger of the free hydroxyl radical, or catalase, which decomposes hydrogen peroxide; both of these products are generated during ionizing radiation. The present observations suggest that isotretinoin acts as a scavenger of such radiation products, thereby providing protection against x-ray-induced chromatid damage. (J. Clin. Invest. 1992. 90:2069–2074.) Key words: xeroderma pigmentosum • isotretinoin • oxidative DNA damage • skin cancer • cytogenetics

#### Introduction

Retinoids comprise a group of compounds including retinoic acid, retinol (vitamin A), retinaldehyde, and a series of natural and synthetic derivatives that exert diverse and profound effects on proliferation and differentiation in a wide variety of cell, tissue, and organ systems (1-3). Certain retinoids such as all-*trans* and 13-*cis*-retinoic acid (isotretinoin) are inhibitors of epidermal promotion by phorbol esters in the two stage (initiation-promotion) model of skin carcinogenesis (4, 5). Because of their inhibitory effects on carcinogenesis in rodent and human tissues both in vivo and in culture (6, 7), retinoids have been used in recent years as possible anticancer chemopreventive agents, particularly against cutaneous (8, 9) and internal (bladder, leukemia, aerodigestive tract) neoplasms (for review see references 3 and 10).

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One such clinical study was carried out on xeroderma pigmentosum  $(XP)^1$  patients (11). XP is a rare autosomal recessive disease characterized by sun sensitivity, deficient repair of ultraviolet-damaged DNA, and a frequency of skin cancer > 1,000 times that in the general population (12, 13). In the 3-yr clinical study, five XP patients with a history of multiple cutaneous basal-cell or squamous-cell carcinomas were treated with isotretinoin (11). Oral administration of the drug at a dosage of 2 mg/kg body wt per d for 2 yr resulted in an average reduction of 63% in skin cancers. After the drug was discontinued, the tumor frequency increased a mean of 8.5-fold over the frequency during treatment. Within 2 mo of the onset of therapy, tumor incidence decreased whereas tumors appeared within 3 mo after withdrawal of treatment.

To examine possible mechanisms for this chemopreventive effect, we evaluated the radiosensitivity and DNA repair capacity of blood lymphocytes taken from certain of these XP patients before, during, or after isotretinoin therapy. The extent of chromatid damage in metaphase cells arrested by colcemid during the first 30 min after x-irradiation during the G<sub>2</sub> phase of the cell cycle provides a measure of the cells' susceptibility to the damaging agent or radiosensitivity. The persistence, increase, or decrease in frequencies of chromatid breaks and gaps during the subsequent 1-2 h provides a measure of the cells' capacity to repair the radiation-induced damage. XP cells show persistent chromatid breaks and gaps relative to normal controls (14-16). We also studied the x-ray-induced chromatid breakage in normal cells after preincubation with blood or plasma from isotretinoin-treated patients or with medium supplemented with isotretinoin. Exposure to isotretinoin resulted in substantial reduction of x-ray-induced chromatid breakage.

#### Methods

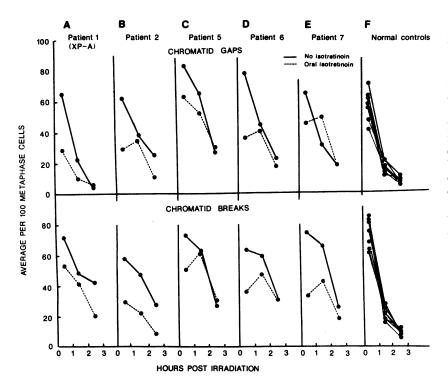
Patients. We studied five XP patients who were participating in a study to assess prevention of skin cancer with the use of oral isotretinoin (11). Patients are identified as in reference 11. There were three females and two males ranging in age from 5 to 19 yr at the beginning of the study. The patients had from 6 to > 200 skin cancers before treatment. Patients received oral isotretinoin capsules (Accutane; Roche Laboratories; Nutley, NJ) continuously at either high dose (2 mg/kg per d) or low dose (0.5 mg/kg per d) as described (11). Blood samples were obtained when patients had received treatment for 1 wk to 17 mo or during periods when the patients had not received isotretinoin for  $\geq 1$  mo (range: 1 mo to 6 yr). Nine clinically normal hospital or laboratory staff members (two females and seven males, age 30–72 yr) served as control donors (15).

*Experimental procedure.* Freshly drawn blood (3.5 ml) was added to a T-25 flask containing 35 ml of RPMI 1640 medium with 15% prescreened FBS (14), 10 U of heparin/ml, 0.1 mg of gentamicin/ml,

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<sup>1.</sup> Abbreviation used in this paper: XP, xeroderma pigmentosum.



and 1% (vol/vol) PHA (HA 15, Burroughs-Wellcome, Research Triangle Park, NC). The medium was equilibrated with 10% CO<sub>2</sub> in air to adjust the pH and was warmed at 37°C before addition of the blood sample. The culture was incubated upright for 72 h before x-irradiation and inverted every 24 h to resuspend cells. The procedure for x-irradiating the cells, incubating with colcemid to arrest cells in metaphase, and processing for chromosome analysis has been fully detailed (17). For in vitro studies, a fresh stock of pure isotretinoin (Sigma Chemical Co., St. Louis, MO) was diluted with DMSO and 50  $\mu$ l was added to each culture containing 6.6 ml blood diluted 1:10 with culture medium and 0.2 ml plasma from a normal control. The final concentration of DMSO was thus 0.73% in the culture medium. 1 h exposure of cells to isotretinoin before x-irradiation did not include the time required for pelleting the cells (10 min) and x-irradiation (67 s). Cytogenetic analyses were made on randomized, coded preparations. Statistical comparisons were based on the t test after taking square root transformation of the aberration frequency; two-sided P values are reported (18).

#### Results

Effect of oral isotretinoin on response of XP lymphocytes to  $G_2$  phase x-irradiation. Fig. 1, A–E presents the effect of the presence or absence of oral isotretinoin on responses of PHA-stimulated blood lymphocytes from five XP patients, identified previously by number (11) and Fig. 1 F shows the responses of PHA-stimulated lymphocytes from nine clinically normal controls without isotretinoin, as reported previously (15). The initial x-ray-induced chromatid damage during isotretinoin treatment of the XP patients was reduced to approximately half that in blood samples taken from the same patients before or subsequent to treatment (P = 0.003 for chromatid breaks; P = 0.006 for gaps). Compared with cells from normal controls (Fig. 1 F), retinoid-treated XP cells showed significantly fewer chromatid aberrations when arrested during the first 0.5 h postirradiation ( $P = 10^{-4}$  for breaks and  $P = 10^{-3}$  for gaps).

XP cells without retinoid treatment processed at 1.5 to 2.5 h postirradiation showed 2.9- and 3.8-fold higher mean fre-

Figure 1. Effect of presence or absence of oral isotretinoin on response of PHA-stimulated blood lymphocytes to x-irradiation (58R) during G<sub>2</sub> phase of the cell cycle. (A-E) Five XP patients with or without retinoid treatment; (F) nine clinically normal donors without retinoid treatment (from reference 15). Cultures of PHA-stimulated peripheral blood lymphocytes were exposed to x rays during the G<sub>2</sub> phase of the cell cycle. Synchronization of cells for G2 phase was unnecessary because only metaphase cells were examined for chromatid damage and these were arrested with colcemid from 0 to 0.5, 0.5 to 1.5, or 1.5 to 2.5 h after irradiation; therefore, we could be assured that the cells processed at 1.5 and 2.5 h postirradiation were in  $G_2$  at the time of irradiation. Slide cultures were randomized and coded for chromosome analyses performed blind. For each variable, 50 or 100 metaphase cells were examined at  $\times 1,000$ . Chromatid breaks showed a discontinuity with displacement of the broken segment whereas gaps showed no displacement and were scored only if the discontinuity was longer than the chromatid width; these are sometimes referred to as nondisplaced breaks. All standard errors were < 10% of the mean.

quencies of persistent chromatid breaks and gaps than cells from clinically normal controls ( $P < 10^{-6}$  except for gaps in XP-A, as described in reference 15). However, XP cells with retinoid treatment still maintained a significantly higher than normal frequency of aberrations at 1.5 and 2.5 h postirradiation ( $P = 8 \times 10^{-4}$  for breaks and P = 0.008 for gaps at 1.5 h;  $P = 2 \times 10^{-3}$  for breaks and P = 0.028 for gaps at 2.5 h). Thus, oral isotretinoin appeared to protect the cells from the initial radiation-induced DNA damage. It did not, however, eliminate the DNA repair deficiency manifest as persistent chromatid breaks and gaps after G<sub>2</sub> phase x-irradiation.

Response of normal lymphocytes to blood or plasma from isotretinoin-treated XP patients. We next sought to determine if this radioprotective effect could be transferred to normal cells by mixing normal cells with blood from a retinoid-treated XP patient. Table I shows that PHA-stimulated lymphocytes from an isotretinoin-treated XP female patient had 40 breaks and 32 gaps after G2 x-irradiation (culture 1) whereas blood from a clinically normal male had 76 breaks and 60 gaps (culture 2). Incubation of the blood from the normal male for 72 h with the blood from the XP female resulted in significantly fewer chromatid aberrations (41 breaks, P = 0.03 and 30 gaps, P = 0.005) in the male metaphases (culture 3). This protection was not seen when the normal male blood was incubated with blood from a normal female not receiving isotretinoin (culture 4). Thus, the radioprotective effect of isotretinoin-containing blood could be transferred.

The next series of experiments were designed to determine if the radioprotection found in whole blood required cells or only the plasma (Table II). Blood from a normal donor was incubated with plasma from a retinoid-treated XP patient for 72 h and then x-irradiated. The mean frequency of breaks and gaps per 100 cells of normal lymphocytes was not reduced with addition of 0.9% plasma but was reduced from 128 to 59 with concentrations of plasma of 1.5 to 8.3% added 72 h before irradiation. Only a short exposure was necessary since even 1 h

Table I. Reduction in X-ray-induced Chromatid Damage by 72 h Incubation of Normal Blood Lymphocytes with Blood from Isotretinoin-treated XP Patient

Culture no.	Blood samples* in 20 ml RPMI 1640 + 15% FBS			Chromatid damage per 100 metaphase cells	
	Treated XP female <sup>‡</sup>	Normal male	Normal female	Breaks (gender) <sup>\$</sup>	Gaps (gender)
		ml			
1	2	0	0	40 (F)	32 (F)
2	0	2	0	76 (M)	60 (M)
3	1	1	0	41 (M)	30 (M)
				33 (F)	32 (F)
4	0	1	1	76 (M)	72 (M)
				70 (F)	64 (F)

\* Volume of blood sample from indicated donor added to 20 ml RPMI 1640 medium containing 15% FBS and incubated for 72 h before x-irradiation (58R). Metaphase cells were arrested by colcemid from 0 to 0.5 h postirradiation. For details see Methods and reference 17.

<sup>‡</sup> Patient 2, receiving low-dose oral isotretinoin (0.5 mg/kg per d).

<sup>§</sup> F, female; M, male.

exposure reduced the x-ray-induced chromatid damage approximately one half. These experiments showed that plasma alone from isotretinoin-treated XP patients could confer radiation protection to normal blood lymphocytes after either 72 h or 1 h incubation at 37°C before irradiation.

Effect of isotretinoin on response of normal lymphocytes to  $G_2$  phase x-irradiation. Plasma from isotretinoin-treated XP patients contains isotretinoin and its metabolites. We next wanted to determine if the radioprotective effect could be duplicated by exposure to therapeutic concentrations of isotretinoin. In two additional experiments (Table III), isotretinoin in DMSO added 1 h before x-irradiation to 72 h cultures of PHAstimulated normal blood reduced the frequencies of x-ray-induced chromatid breaks and gaps to approximately half that in control culture. This reduction in frequency of chromatid breaks and gaps was similar to that found by addition of plasma from the isotretinoin-treated XP patient. At concentrations of isotretinoin of 10<sup>-9</sup> to 10<sup>-6</sup> M, no dose response was apparent, but 10<sup>-5</sup> M showed a greater degree of radioprotection. Addition of isotretinoin immediately after x-irradiation had no effect on the radiation-induced chromatid damage. This observation suggests that the radioprotective effect acts during xirradiation.

#### Discussion

Cultures of PHA-stimulated peripheral blood lymphocytes exposed to x-rays during the  $G_2$  phase of the cell cycle show chromatid damage at the subsequent metaphase. The damage consists of breaks and gaps (for definitions see legend Fig. 1). Because each chromatid contains a single continuous molecule of double-stranded DNA, chromatid breaks and gaps represent unrepaired DNA strand breaks. These may arise directly from the x-irradiation (i.e., primary damage or secondary production of free radicals) or indirectly during repair processes (19).

In a previous study (15), the frequencies of chromatid breaks and gaps in blood lymphocytes from XP patients were

Table II. Effect of Added Plasma on
Chromatid Damage Induced by $G_2$ Phase X-irradiation

	Addition of p	Duration of	Chromatid damage per		
Status of		Percent plasma	incubation with added	100 cells	
blood donor	Source*	added	plasma‡	Breaks	Gaps
			h		
Normal	_	0	_	75	66
Normal		0		70	53
Normal		0		68	51
Normal	Normal	2.9	72	78	66
Normal	Normal	2.9	1	70	62
Normal	XP-Retinoid	0.9	72	91	82
Normal	XP-Retinoid	1.5	72	25	29
Normal	XP-Retinoid	1.5	72	28	25
Normal	XP-Retinoid	1.5	72	28	27
Normal	XP-Retinoid	2.9	72	31	34
Normal	XP-Retinoid	2.9	72	29	27
Normal	XP-Retinoid	2.9	72	27	29
Normal	XP-Retinoid	8.3	72	39	37
Normal	XP-Retinoid	1.5	1	33	30
Normal	XP-Retinoid	2.9	1	24	22
ХР		0	_	60	56
ХР	XP-Retinoid	1.5	1	34	33
ХР	XP-Retinoid	2.9	1	37	39
XP-Retinoid		0	_	32	32
XP-Retinoid	—	0	—	26	22

\* Plasma from XP patients 2, 6, or 7 (reference 11) receiving oral isotretinoin (2 mg/kg per d).

<sup>‡</sup> Cells obtained from the indicated donor were incubated at 37°C in 20 ml RPMI 1640 medium containing 15% FBS for 72 h before x-irradiation (58R). The cultures were supplemented with the indicated amount of plasma from a normal donor or from a retinoid-treated XP patient for 72 h or for 1 h preceding x-irradiation. Meta-phase cells were arrested by colcemid for 0.5 h immediately after x-irradiation. Results from four experiments are shown. Details in Methods.

found to be abnormally high in metaphase cells harvested at 1.5 or 2.5 h after x-irradiation (58R). However, in cells harvested at the first 0.5 h after x-irradiation, the levels were generally comparable to those in cells from clinically normal controls. The frequencies in normal cells decreased precipitously during the subsequent hour, presumably from efficient repair of the radiation-induced DNA damage. In contrast, the breaks and gaps persisted in the cells from XP patients. These results suggested that XP patients have a deficiency in repair of x-rayinduced DNA damage inflicted during G2 phase. A comparable abnormality was found in XP cells exposed during G<sub>2</sub> phase to visible light (405 nm wavelength) (16). A similar  $G_2$ -phase deficiency has been reported in cells from all of the other cancer-prone genetic disorders examined to date. These include ataxia-telangiectasia, Bloom syndrome, familial polyposis coli, Gardner syndrome, Fanconi anemia, dysplastic nevus syndrome, hereditary cutaneous malignant melanoma, Wilms tumor, retinoblastoma, and dyskeratosis congenita (14, 20-27).

Our present experimental studies showed that blood cells from XP patients receiving oral isotretinoin therapy exhibited fewer chromatid breaks and gaps during the first 0.5 h after  $G_2$ 

#### Table III. X-ray-induced Chromatid Damage in Blood Lymphocytes from a Normal Donor after 1 h Incubation with 13-cis-Retinoic Acid

	Chromatid damage per 100 cells					
	Experir	nent l	Experiment 2			
Treatment*	Breaks	Gaps	Breaks	Gaps		
None	75	58	83	76		
XP-retinoid <sup>‡</sup> plasma (2.9%)	29	26	31	32		
13-cis retinoic acid in DMSO added						
1 h before x-irradiation						
10 <sup>-9</sup> M	_	_	34	34		
10 <sup>-8</sup> M	31	33	47	41		
10 <sup>-7</sup> M	30	28	_	—		
10 <sup>-6</sup> M	32	32		_		
10 <sup>-5</sup> M	_		24	24		
Solvent control (0.73% DMSO)	75	64	94	83		
13-cis retinoic acid 10 <sup>-8</sup> M in						
DMSO added immediately						
after x-irradiation	82	74				

\* Cells from a normal donor were incubated for 72 h and then the culture medium was supplemented by the indicated treatment for 1 h preceding x-irradiation (58R). Metaphase cells were arrested by exposure to colcemid for 0.5 h immediately after x-irradiation. Details in Methods.

<sup>‡</sup> Patient 2 receiving oral isotretinoin (1 mg/kg per d).

phase x-irradiation than cells from the same individuals when they did not receive retinoids (Fig. 1 A-E). With isotretinoin, despite lower initial chromatid damage, the XP cells still showed the persistent chromatid damage (Fig. 1, A-E). Thus, the retinoid effect appeared to be a specific reduction in initial x-ray damage without altering the DNA repair deficiency.

Oral isotretinoin is metabolized by the liver to several undefined products (28, 29). In addition, patients receiving oral isotretinoin experience numerous side effects, including alterations in liver function and in levels of circulating triglycerides. We performed a series of experiments to determine if the radioprotective effect was linked to these alterations. Experiments mixing normal blood with XP retinoid blood indicated that the radioprotective effect could be transferred (Table I). Mixing normal blood with plasma from an isotretinoin-treated XP patient indicated that the protective activity resided in the plasma (Table II). Although the patient received the retinoid continuously (shortest treatment period tested was 1 wk), only 1 h exposure to plasma was sufficient to protect the normal cells. Addition of pure isotretinoin to the normal cells for 1 h before x-irradiation also provided protection. Blood levels of isotretinoin have been measured at  $5 \times 10^{-7}$  M (29). Addition of 10<sup>-7</sup>-10<sup>-8</sup> M isotretinoin (Table III) provided similar radioprotection as patient plasma (Tables II and III), a result indicating that a major portion of the protection can be attributed to the parent compound. Addition of retinoid after x-ray exposure showed no protection, thus demonstrating that retinoid action occurs during x-ray exposure. We showed previously (30) that radiation-induced chromatid damage, in the form of breaks and gaps, can be prevented to a large extent by adding to the culture medium mannitol, a scavenger of the free hydroxyl

radical ( $^{\circ}OH$ ) or catalase, an enzyme that decomposes hydrogen peroxide ( $H_2O_2$ ) (31); both of these products are formed during ionizing radiation (32, 33). Thus ( $^{\circ}OH$ ) and  $H_2O_2$  appear to be indirect or direct causative agents in the radiation-induced damage. These observations suggest that isotretinoin may act as a scavenger of the  $H_2O_2$  or ( $^{\circ}OH$ ) radicals generated during ionizing radiation, thereby providing protection against x-ray-induced chromatid damage.

Several studies indicate that retinoids exert some of their effects as antioxidants or as scavengers of hydroperoxide or peroxyl radicals of oxygen (34-42). Retinoids were shown to inhibit superoxide  $(O_2^-)$  radical production by human polymorphonuclear leukocytes stimulated with different phorbol ester promoters (34, 35); to inhibit ascorbic acid-induced lipid peroxidation in rat brain mitochondria (37); and to inhibit ascorbate-dependent, iron-catalyzed microsomal lipid peroxidation (38-42).

In a clinical study of the XP patients we tested, oral isotretinoin was found to be effective in preventing the appearance of new skin cancers (11). Within 2 mo of the onset of therapy, tumor incidence decreased; tumors appeared within 3 mo after withdrawal of treatment. The average time required from initial sun injury to the formation of a malignant skin tumor in XP patients is considered to be several years since the mean age at first skin cancer was 8 yr for 186 XP patients with skin cancer (12). Although the mechanism of the preventive effect of isotretinoin is unclear, the speed with which the preventive effect could be switched on and off suggested a suppressive effect of the retinoid on proliferation or promotion of tumors at a late stage in their formation rather than an effect on initial mutational steps. Alternatively, retinoids may arrest the growth of existing malignant lesions too small to be recognized clinically (11). Retinoids have been shown to have a chemopreventive effect on several types of neoplasms, including those of skin, aerodigestive tract, and bladder, as well as leukemia (3, 10). All of these observations suggest that retinoids act as inhibitors of tumor promotion or progression.

Laboratory observations suggest that retinoids, as antipromoters, may have two different modes of action. One is the proposed hormone-type mechanism in which the retinoic acid binds to a specific intracellular receptor protein and is transported to the cell nucleus where it binds to chromatin and affects gene expression by some as yet unidentified mechanism (1, 3, 43). Consistent with this view are observations that retinoids prevent induction of ornithine decarboxylase in mouse skin after promotion by phorbol ester treatment (44). They may also modulate activity of the key enzyme protein kinase C (3, 45, 46). The second mode of action is more direct as an antioxidant or scavenger of reactive products of oxygen that can damage DNA and promote tumor formation or contribute to tumor progression.

Free radicals of oxygen have been implicated as key mediators of promotion and progression in tumor formation (47-52). Oxidants such as  $O_2^-$ ,  $H_2O_2$  and (°OH) are produced as byproducts of many normal aerobic metabolic processes (53, 54) and may produce a high level of oxidative damage to DNA. Even exposure to visible light in the near-ultraviolet generates  $H_2O_2$  and (°OH) in cultured human skin cells, producing chromatid DNA damage (55, 56) and stimulating proliferation (57, 58). An oxidative DNA damage rate, as measured by urinary excretion of DNA adducts, has been estimated by Ames and Gold (59, 60) as high as  $10^4$  DNA hits/cell per d for endogenous oxidants. Such oxidative adducts are presumably efficiently removed and DNA damage repaired in normal subjects. However, in an XP patient with deficient repair of DNA damage, such endogenous as well as exogenous oxidative agents may contribute to the continued promotion and/or appearance of new tumors. Oral isotretinoin therapy may so reduce the level of these DNA-damaging agents as to block the progression from premalignant to malignant growth or arrest the growth of existing malignant lesions too small to be recognized. Thus prevention of oxidative damage may play a role in retinoid-induced chemoprevention of skin cancers in XP patients.

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