Enhancement of Fibrinolysis In Vitro by Ultrasound

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Abstract

The effect of ultrasound on the rate of fibrinolysis has been investigated using an in vitro system. Plasma or blood clots containing a trace label of 125I fibrin were suspended in plasma containing plasminogen activator and intermittently exposed to continuous wave 1-MHz ultrasound at intensities up to 8 W/ cm². Plasma clot lysis at 1 h with 1 μ g/ml recombinant tissue plasminogen activator (rt-PA) was 12.8±1.2% without ultrasound and was significantly (P = 0.0001) increased by exposure to ultrasound with greater lysis at 1 W/cm² (18.0 \pm 1.4%), $2 \text{ W/cm}^2 (19.3 \pm 0.7\%), 4 \text{ W/cm}^2 (22.8 \pm 1.8\%), \text{ and } 8 \text{ W/cm}^2$ $(58.7 \pm 7.1\%)$. Significant increases in lysis were also seen with urokinase at ultrasound intensities of 2 W/cm² and above. Exposure of clots to ultrasound in the absence of plasminogen activator did not increase lysis. Ultrasound exposure resulted in a marked reduction in the rt-PA concentration required to achieve an equivalent degree of lysis to that seen without ultrasound. For example, 15% lysis occurred in 1 h at 1 μ g/ml rt-PA without ultrasound or with $0.2 \mu g/ml$ with ultrasound, a fivefold reduction in concentration. Ultrasound at 1 W/cm² and above also potentiated lysis of retracted whole blood clots mediated by rt-PA or urokinase. The maximum temperature increase of plasma clots exposed to 4 W/cm² ultrasound was only 1.7°C, which could not explain the enhancement of fibrinolysis. Ultrasound exposure did not cause mechanical fragmentation of the clot into sedimentable fragments, nor did it alter the sizes of plasmic derivatives as demonstrated by SDS polyacrylamide gel electrophoresis. We conclude that ultrasound at 1 MHz potentiates enzymatic fibrinolysis by a nonthermal mechanism at energies that can potentially be applied and tolerated in vivo to accelerate therapeutic fibrinolysis. (J. Clin. Invest. 1992. 90:2063-2068.) Key words: fibrinolytic therapy • fibrinolysis • ultrasound • fibrin • fibrinogen • tissue plasminogen activator • urokinase-like plasminogen activator

Introduction

The fibrinolytic system is carefully regulated physiologically to remodel and remove fibrin deposits, but this process can be accelerated pharmacologically in the treatment of thrombotic diseases by the administration of plasminogen activators. In

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patients with acute myocardial infarction, fibrinolytic therapy accelerates the dissolution of coronary artery thrombi and reduces mortality and morbidity (1–6). The speed of clot lysis is an important determinant of therapeutic success in the treatment of myocardial infarction (5, 7), since tissue necrosis increases with the duration of arterial occlusion and ischemia. Consequently, strategies to improve outcome of fibrinolytic therapy have focused on achieving rapid clot lysis by optimizing dosage regimens of plasminogen activators and also by the adjunctive use of anticoagulants and platelet function inhibitors (8).

As a possible alternative, we have investigated the potential of ultrasound to accelerate fibrinolysis. Ultrasound at low frequencies of 25 KHz has been reported to cause nonenzymatic clot disruption in vitro (9–11) and to accelerate vascular reperfusion when applied via vascular catheters to thrombi in dogs (10–13). The noninvasive percutaneous application of ultrasound at 250 KHz may also accelerate recombinant tissue plasminogen activator (rt-PA)¹-mediated lysis of experimentally induced arterial thrombi in dogs (14). We have developed an in vitro system to investigate the effects of ultrasound on fibrinolysis and have characterized the influence of 1-MHz ultrasound at varying intensities on blood clot lysis mediated by rt-PA or two-chain urokinase-like plasminogen activator (UK).

Methods

Clot preparation. Fresh frozen plasma anticoagulated with acid citrate dextrose was obtained from five donors through the American Red Cross (Rochester Region, Rochester, NY), pooled, aliquoted and stored at -70°C until use. Blood was obtained by antecubital venipuncture from normal volunteers and collected into a plastic syringe without anticoagulant and used immediately to prepare clots. Human fibrinogen (grade L; Helena Laboratories, Beaumont, TX) was ¹²⁵I-radiolabeled using the iodogen technique (15) to a specific activity of 50 μ Ci/mg, and unbound isotope was separated by gel filtration. The labeled fibrinogen was > 90% clottable. Radiolabeled retracted whole blood clots were prepared by adding 160 μ l of blood and a trace amount of ¹²⁵I-labeled fibringen to glass tubes and incubating at 37°C for 2 h. The retracted clots were then removed from the serum, washed once with 0.1 M sodium chloride, 0.05 M Tris buffer, pH 7.4, containing 5 mM glucose and transferred to nitrocellulose tubes for fibrinolysis experiments. Plasma clots were formed by adding 160 µl of plasma and a trace amount of 125 I-labeled fibrinogen to nitrocellulose tubes and clotting by the addition of 50 mM calcium chloride and 10 U/ml bovine thrombin (Calbiochem Corp., La Jolla, CA).

Ultrasound apparatus. Clot lysis experiments were performed in thin-walled 8-mm diameter nitrocellulose tubes (Beckman Instruments, Inc., Palo Alto, CA). The attenuation of the wall was < 0.8 db at 1 MHz as determined by insertion loss measurements when the water-filled tube was placed between the source and a needle hydro-

^{1.} Abbreviations used in this paper: rt-PA, recombinant tissue plasminogen activator; UK, two-chain urokinase-like plasminogen activator.

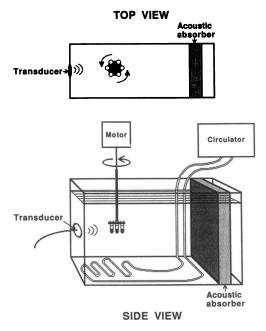


Figure 1. Experimental apparatus. A plexiglass tank was fitted with a transducer and filled with water. An acoustic absorber was placed at the opposite end of the tank from the transducer, and water was circulated to maintain a constant temperature. Up to six test tubes containing clot and plasma were placed in a circular rack and rotated through the site of maximum intensity of the ultrasound field.

phone (type 80-0.5-4.0; Imotek GmbH, Würselen, Germany). The tubes were sealed and placed in a round test tube rack with a diameter of 2.5 cm containing a maximum of six tubes (Fig. 1). The rack was immersed in a tank of water maintained at 37°C, and the tubes were positioned at the location of maximum ultrasound intensity. The test tube rack was rotated at 6-8 rpm during fibrinolysis experiments to give equivalent exposure to all tubes. The source of ultrasound was a 1-in. diameter, 1-MHz, piezoelectric transducer driven in the continuous wave mode. Samples were located at a distance of 8 cm from the source, at which position the -6 db beamwidth of the sound field was 6.5 mm. The sound fields were measured with a bilaminar, polyvinyldifluoride membrane hydrophone (Marconi Research Center, Chelmsford, UK) on a weekly or biweekly basis and monitored continuously during exposures through the radio frequency driving voltage on the crystal. A 3-cm thick block of rubber was used as an acoustic absorber to limit ultrasound reflection.

Clot lysis experiments. Retracted blood clots were transferred to the nitrocellulose exposure tubes after formation, but plasma clots were formed directly within the exposure tubes. Thus, the blood clots were free to move in the tube and were surrounded by plasma, whereas the plasma clots were fixed and directly exposed to plasma only on the upper surface. To prevent clots from occupying the bottom of the tube where refraction would make definition of the sound field more difficult, the curved part of the tube was filled with 150 µl of polymerized 3% agarose. Citrated plasma (160 µl) containing 2 U/ml heparin (Riker Labs, Inc., Northridge, CA) was added, and the tubes were placed in the sample holder within the ultrasound apparatus. UK (Abbott Laboratories, No. Chicago, IL) or rt-PA (Genentech, So. San Francisco, CA) was added to the plasma, and the clots were incubated in the rotating apparatus. At desired times, tubes were removed from the apparatus, fibrinolysis was stopped by adding 500 U/ml aprotinin (Mobay Chemical Co., New York, NY) to inhibit plasmin, and samples were mixed and centrifuged at 2300 g for 5 min. An aliquot of the supernatant was removed, and the percent lysis of the clot was calculated from the solubilization of radiolabel. For some experiments, plasminogen activator was added to the plasma prior to clotting so that it was incorporated throughout the clot. For these experiments no plasminogen activator was added to the suspending plasma.

Electrophoresis. SDS polyacrylamide gel electrophoresis was performed using 4-10% to gradient gels and a discontinuous buffer system as described (16).

Statistical analysis. The statistical significance of differences between means was tested using a two-tailed Student's t test for unpaired data. One-way analysis of variance (ANOVA) was used for comparisons involving multiple groups and the Dunnett t test for post-hoc comparison between groups.

Results

Plasma clots were overlaid with plasma containing 1 μ g/ml rt-PA, and the extent of clot lysis was measured at 1 h with or without exposure to 1-MHz ultrasound (Fig. 2). Clot lysis with rt-PA was $12.8\pm1.2\%$ without ultrasound and was increased with ultrasound to $18.0\pm1.4\%$ at 1 W/cm², $19.3\pm0.7\%$ at 2 W/cm², $19.3\pm1.8\%$ at 4 W/cm², and to $19.3\pm1.8\%$ at 8 W/cm². One-way ANOVA indicated a significant ($19.3\pm1.8\%$) difference between means with increases at 4 W/cm² ($19.3\pm1.8\%$) and 8 W/cm² ($19.3\pm1.8\%$) and 8 W/cm² ($19.3\pm1.8\%$) at ultrasound intensities of 2 W/cm² and above. In the absence of activator, there was lysis of 3% or less at 1 h with any ultrasound intensity.

The ultrasonic potentiation of fibrinolysis was present by 15 min of exposure (Fig. 3), and the increase persisted at 30 min, 1 h, and 2 h. At 4 h there was nearly complete clot lysis with or without ultrasound. Exposure to ultrasound resulted in marked shortening of the time to achieve equivalent degrees of clot lysis. For example, in the absence of ultrasound, 75 min was required to lyse 20% of the clot, and this was reduced to less

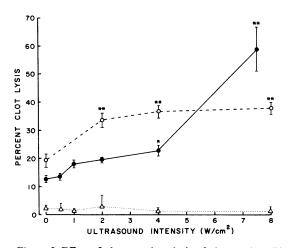


Figure 2. Effect of ultrasound on lysis of plasma clots. Plasma clots were formed in nitrocellulose test tubes from citrated plasma containing $^{125}\text{I-fibrinogen}$ by the addition of 50 mM calcium chloride and 10 U/ml thrombin. The clots were overlaid with heparinized plasma containing 1 µg/ml rt-PA (solid line) or 250 U/ml UK (dashed line), and then incubated at 37°C for 1 h without ultrasound or with 1 MHz ultrasound at intensities up to 8 W/cm². In the absence of plasminogen activator, there was less than 5% release of radiolabel at 1 h at all ultrasound intensities. Each point represents the mean±SEM of lysis of six or more experiments. One-way ANOVA indicated that the differences between means was significant at P=0.0001. Statistical significance in comparison with no ultrasound exposure is indicated by *P < 0.05; **P < 0.01; using the Dunnett t test. Dotted line shows lysis with ultrasound and no activator.

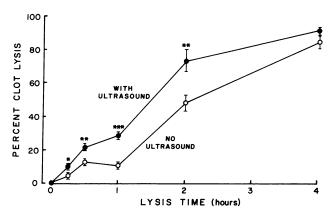


Figure 3. Time course of clot lysis in the presence or absence of ultrasound. Radiolabeled plasma clots were formed in nitrocellulose tubes and overlaid with heparinized plasma containing 1 μ g/ml rt-PA and incubated at 37°C in the presence of 4 W/cm² ultrasound or without ultrasound. Each point represents the mean±SEM of six experiments. Statistical significance is indicated by: *P < 0.03; **P < 0.01; ***P < 0.001).

than 30 min by exposure to 4 W/cm² ultrasound. Clot lysis of 70% was reached by 210 min without ultrasound, compared to ~ 115 min with ultrasound.

Ultrasound potentiated clot lysis over a range of rt-PA concentrations up to 1 μ g/ml (Fig. 4). Significant increases in clot lysis at 1 h were seen at rt-PA concentrations of 0.25, 0.5, and 1 μ g/ml with exposure to 4 W/cm² ultrasound (P < 0.0005). This resulted in a marked reduction in the rt-PA concentration required to achieve equivalent degrees of lysis. For example, 15% lysis occurred in 1 h at an rt-PA concentration of 1 μ g/ml in the absence of ultrasound, but this degree of lysis occurred at $\sim 0.2 \ \mu$ g/ml in the presence of ultrasound, a fivefold reduction in rt-PA concentration.

Ultrasound also potentiated rt-PA mediated lysis of retracted whole blood clots, with significant increases in 1-h lysis

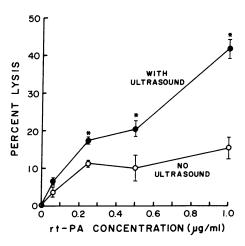


Figure 4. Effect of ultrasound on clot lysis with varying concentrations of rt-PA. Radiolabeled plasma clots were incubated for 1 h at 37°C in normal citrated plasma containing rt-PA at concentrations up to 1 μ g/ml. Clots were exposed to ultrasound at 4 W/cm² or received no ultrasound. Individual points represent the mean±SEM of six experiments. Lysis was significantly greater in the presence of ultrasound at 0.25 μ g/ml, 0.5 μ g/ml, and 1 μ g/ml (P<0.0005 for each).

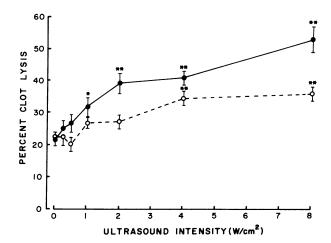


Figure 5. Effect of ultrasound on lysis of whole blood clots. Radiolabeled retracted whole blood clots were suspended in plasma containing 1 μ g/ml rt-PA (solid lines) or 250 U/ml UK (dashed line) and incubated at 37°C for 1 h in the presence of no ultrasound, or ultrasound at intensities of up to 8 W/cm². The points represent the mean±SEM of 9–12 experiments. One-way ANOVA indicated that the differences between means were significant (P = 0.0001). Statistical significance in comparison with no ultrasound is indicated as: *P < 0.05; **P < 0.01 using the Dunnett t test.

at ultrasound intensities of 1 W/cm² and above (Fig. 5). Clot lysis increased from $21.1\pm1.6\%$ in the absence of ultrasound to $39.0\pm3.3\%$ at 4 W/cm² and 52.8 ± 4.1 at 8 W/cm². Clot lysis was also potentiated using UK (250 U/ml) with an increase from $22.2\pm1.6\%$ at 1 h in the absence of ultrasound to $35.8\pm2.1\%$ at 8 W/cm².

Exposure of plasma clots to continuous ultrasound resulted in heating (Fig. 6). These data were obtained with thermocouples embedded in clots rotating through the ultrasound beam. The temperature rose to a plateau in approximately 2 min when the rates of heating and heat dissipation became approximately equal. The temperature increase was 1°C at 2 W/cm², 1.7°C at 4 W/cm², and 3°C at 8 W/cm².

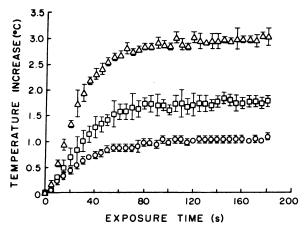


Figure 6. Heating of plasma clots in nitrocellulose tubes rotating through the ultrasound beam. Clots were formed around a thermocouple and insonified with continuous wave ultrasound at 2, 4, and 8 W/cm². The data represent the mean±SEM of three experiments at each intensity.

In separate experiments the temperature dependence of fibrinolysis with rt-PA was determined. The percentage lysis at 1 h with 1 μ g/ml rt-PA increased linearly with temperature from 13.2±5.6% at 24°C to a maximum of 40.1±5.8% at 50°C. In this temperature range a linear correlation coefficient of 0.89 was found between temperature and percent lysis. Linear regression analysis derived a slope corresponding to a 1.1% increase in lysis per 1°C. At temperatures higher than 50°C the lysis rate decreased rapidly and was only 29.2±5.4% at 55°C.

Since ultrasound induces oscillations of hydrostatic pressure, we examined the effects of increased pressure on fibrinolysis. No significant difference in plasma clot lysis was observed with 1 µg/ml rt-PA at 37°C at 1 atm pressure compared to 4.3 atm, which is similar to the acoustic pressure amplitude in a sound field at 8 W/cm².

To determine if the clot was disrupted into macroscopic fragments by ultrasound, the lysate was centrifuged at $15,000\,g$ for 2 min to sediment particulate material. All radioactivity remained in solution, indicating that large fragments were not present. Lysates obtained in the presence or absence of ultrasound were also examined by SDS 4/10% polyacrylamide gel electrophoresis. The sizes and distribution of fragments were the same, indicating that ultrasound exposure did not alter the pattern of plasmic degradation of the fibrin substrate. Addition of 10% trichloroacetic acid to the supernatant containing degradation products precipitated 95% of radioactivity, indicating that ultrasound did not release protein-bound iodine.

We considered that ultrasonic potentiation of fibrinolysis could be due to increased transport of activator into the clot from the surrounding plasma, thereby accelerating enzymatic degradation. To examine this possibility, activator was added to plasma which was then immediately clotted. Fibrinolysis was potentiated by ultrasound to a similar extent (Fig. 7) as in experiments with activator only in the surrounding plasma, indicating that enhanced transport of enzyme from solution

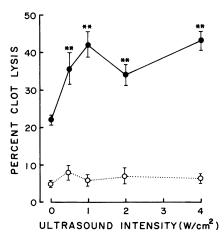


Figure 7. Effect of ultrasound on lysis of clots containing rt-PA incorporated throughout. Solid line: Citrated plasma containing 125 I-fibrinogen and 75 ng/ml of rt-PA was clotted by addition of 10 U/ml thrombin and 50 mM calcium chloride. The clot was incubated at 37° C without ultrasound or with exposure to ultrasound at intensities up to 4 W/cm². The points represent the mean \pm SEM of 3–15 experiments. One-way ANOVA indicated that the difference between means was significant at P=0.0001. Statistical significance in comparison with no ultrasound is indicated by: **P < 0.01 as calculated using the Dunnett t test. Dashed line: Ultrasound with no activator.

into the clot did not completely explain the increased rate of fibrinolysis with ultrasound.

Discussion

The results presented in this report demonstrate that the exposure of clots to 1-MHz ultrasound in vitro accelerates enzymatic fibrinolysis induced by rt-PA or UK. The experimental system (Fig. 1) generates continuous wave ultrasound but uses a rotating sample holder, so that individual clots receive intermittent ultrasound exposure. The experimental design insures accurate determination of clot lysis rate by solubilization of radiolabeled fibrin, and allows measurement of ultrasound field strength at the site of the dissolving clot. Significant increases in lysis rates of both plasma and whole blood clots were observed at 1 W/cm², and greater acceleration occurred at higher intensities of up to 8 W/cm². Ultrasonic augmentation of fibrinolysis was seen as early as 15 min (Fig. 3) and resulted in a marked reduction in the concentration of activator required to achieve lysis (Fig. 4).

The effects of ultrasound described in this report differ from those in which lower frequency, high intensity ultrasound has been used to mechanically disrupt clots. Ultrasound at 20 kHz and energies of up to 20 W delivered by a thin wire can mechanically disrupt clots (9-11) or atherosclerotic plaque (17, 18) in vitro, producing fragments with diameters varying from 2.5 to 800 µm. This approach has been applied to dog models of femoral artery or femoral vein thrombosis in vivo using a catheter to guide the ultrasonic wire to the intravascular thrombus (10-13). Activation of the wire can disrupt the thrombus within minutes into particles which can either be aspirated or embolized distally. Percutaneous, transvascular ultrasonic disruption of a femoral artery thrombus has been reported in a single patient (13), and ultrasound has also been used to recanalize arteries obstructed by atherosclerosis (19, 20). In general, few complications have been reported in dog models, although heating of the catheter may occur (13). Also, perforation of the vessel by the wire has been reported (10), and histologic evidence of intimal disruption and medial dissection has occurred in some cases (11, 12). The latter changes may be related to the catheterization and other procedures needed to produce the clot and be independent of the ultrasound exposure. Using an approach similar to ours, Kudo (14) has reported ultrasonic enhancement of rt-PA mediated fibrinolysis in a dog model. Thrombi were formed in femoral arteries of dogs, and there was significant shortening of the time before rt-PA-mediated recanalization in limbs that received noninvasive percutaneous application of 200-KHz ultrasound directed to the thrombus. Parameters related to ultrasound exposure or to fibrinolytic agents affecting lysis of thrombus were not investigated in this study, nor was a mechanism proposed to explain the effect.

Ultrasound can cause biologic effects through several mechanisms (21) which should be considered in interpretation of the findings in this report. As fibrinolysis is temperature dependent, heating could accelerate clot lysis. However, ultrasound exposure was associated with only a minimal increase in clot temperature at 4 W/cm², insufficient to explain the observed increase in lysis. At 8 W/cm² the amplitude of the pressure oscillations in the sound field is 4.8 atm, but fibrinolysis was not accelerated at a hydrostatic pressure of 4.3 atm. This is

consistent with previous reports that much higher pressure increases are needed to alter most enzymatic reactions (22).

Since heating and static pressure effects cannot explain the enhanced fibrinolysis, nonthermal mechanisms such as acoustic cavitation should be considered. Acoustic cavitation is the expansion and sometimes violent collapse of bubbles resulting from the pressure changes in the medium associated with the passage of the sound wave (23). The thresholds that we have observed for enhanced fibrinolysis are approximately the level that, in water, are associated with the transition of bubble activity from a relatively stable oscillation to a large expansion and violent collapse (24, 25), termed "transient cavitation." Above that threshold the temperatures generated in the gas during adiabatic collapse can become great enough to produce free radicals, and shock waves created by the rebound of the bubble can be orders of magnitude greater than the acoustic pressures responsible for the cavitation. Bubbles that produce the most dramatic effects of cavitation with 1-MHz sound fields have a diameter of several microns, which is similar to the pore size in plasma clots (26, 27).

Fluid motion caused by cavitation could accelerate fibrinolysis by increasing transport of reactants. Blinc et al. (28) have shown that fibrinolysis in vitro is slow if transport of activator into clot occurs by diffusion, but is more rapid if transport is increased by pressure-induced permeation. This is also consistent with data from animal models (29) and with clinical observations (30) that fibrinolytic therapy is more rapid and successful if activator can be injected into a thrombus rather than if it is infused into the blood proximal to the obstruction. Increased transport of activator from plasma into the clot may have contributed to the accelerated lysis observed in the experiments reported, except for that in Fig. 7 in which activator was present throughout the clot at the time of formation. In that experiment, a lower concentration of rt-PA was required, compared to experiments in which activator was initially present in the surrounding plasma, confirming the importance of activator distribution on lysis rate. However, even with activator distributed through the clot, the accelerated fibrinolysis caused by ultrasound may have been related to transport. This could occur by increasing movement of rt-PA from solution to binding sites on fibrin, a process that was diffusion-limited in the absence of ultrasound.

Potential deleterious biologic effects of ultrasound need to be considered in the possible therapeutic application of these results. For example, exposure of tissues to continuous-wave ultrasound at 8 W/cm² or even 4 W/cm² would produce unacceptable heating (31). However, the lower temporal average intensities that are effective in vitro are well below the levels commonly used in ultrasonic diathermy. Furthermore, there are no clearly established nonthermal deleterious effects of 1-MHz ultrasound in tissues at temporal peak intensities of 8 W/cm² if the temporal average intensities are low enough to prevent significant heating (32). In addition to potential heating of tissues, ultrasound has been reported to activate platelets in vitro (33–35), but clinical complications resulting from this effect have not been reported. At high intensity and low frequency, ultrasound can damage endothelial cells and cause thrombosis (36-38), but this has not been observed at 1 MHz in patients. Not all effects of ultrasound on vascular function are deleterious, however. Ultrasound has been reported to enhance arterial relaxation (39), an effect that could contribute to reperfusion.

Ultrasound could potentially contribute to the rapeutic fibrinolysis by accelerating clot dissolution, decreasing the activator concentration required, or decreasing resistance to fibrinolysis. The speed of the clot dissolution during treatment of arterial thrombosis is a critical determinant of the success of fibrinolytic therapy because longer periods of tissue ischemia result in progressive organ dysfunction and cell death. This is especially evident in treatment of acute myocardial infarction, in which the success of therapy is strongly dependent on the interval between the onset of symptoms and successful myocardial reperfusion (5, 7), and interventions that accelerate fibrinolysis could improve therapeutic outcome. Also, bleeding complications during fibrinolytic therapy have been related to both rt-PA dose (40) and plasma concentration (41). Since ultrasound reduced the rt-PA concentration required to achieve fibrinolysis in vitro, such an activator-sparing effect could reduce complications of rt-PA therapy. Ultrasound application may also permit clot-specific enhancement of fibrinolysis, since the effect is localized to the volume within the ultrasound beam. The potential benefits of ultrasonic enhancement of fibrinolytic therapy will require further studies to define optimal acoustic exposures and to develop appropriate therapeutic devices for controlled clinical trials in patients with thrombotic disorders.

Acknowledgments

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