UNILATERAL HYPOVENTILATION IN MAN DURING TEMPO-RARY OCCLUSION OF ONE PULMONARY ARTERY*

BY E. W. SWENSON, † T. N. FINLEY, ‡ AND S. V. GUZMAN §

(From the Cardiovascular Research Institute, University of California Medical Center, San Francisco, Calif.)

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Occlusion of the right or left pulmonary artery forces the opposite lung to perform the total gas exchange. The alveolar ventilation of the one functioning lung must increase if it is to maintain normal arterial blood oxygen and carbon dioxide tensions (1). One would predict that total ventilation would increase about 80 to 90 per cent to achieve the necessary increase in alveolar ventilation. Söderholm, however, found only a 30 per cent increase in total ventilation when one pulmonary artery was occluded even though arterial blood oxygen saturation, carbon dioxide tension and pH did not change (2). Other investigators (3, 4) have noted even smaller changes in total ventilation during temporary unilateral pulmonary arterial occlusion (TUPAO). If tidal volume, frequency of breathing and oxygen consumption remain the same, these findings could be explained only if some or all of the ventilation of the nonperfused lung were shifted to the functioning lung.

Moore, Humphreys and Cochran (5) have shown that such a shift of ventilation did occur in most dogs when one pulmonary artery was occluded by tightening a ligature brought out through the chest wall. They attributed this shift to a loss of erectile support of the vascular bed in the lung. Venrath, Rotthoff, Valentin and Bolt (6) also reported a redistribution of ventilation in dogs when one pulmonary artery was occluded by a balloon. They believed that the shift was caused by the low CO_2 on the nonperfused side, which is consistent with Nisell's observation (7) that bronchoconstriction occurred in excised cat lungs

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§ Research Fellow of the American Heart Association. Present address: Dept. of Physiology, Medical School, Univ. of the Philippines, Herran, Manila. when alveolar CO_2 was reduced. On the other hand, Folkow and Pappenheimer (8), Julian, Travis, Robin and Crump (9), and Carlens, Hanson and Nordenström (10) found no significant shift of ventilation during TUPAO.

We decided to reinvestigate the effect of unilateral cessation of pulmonary blood flow on the distribution of ventilation in dogs and man. In dogs (11) we have confirmed the findings of Nisell and of Venrath and co-workers that the low alveolar P_{CO_2} in the lung with no pulmonary arterial blood flow produces bronchoconstriction which results in redistribution of ventilation favoring the opposite lung. Our present studies indicate that such redistribution also occurs in man during TUPAO and is initiated by the same mechanism—i.e., the decrease in alveolar P_{CO_2} in the nonperfused lung.

METHODS AND MATERIALS

The plan of the investigation involved a) studies during and after temporary unilateral pulmonary arterial occlusion in patients before bronchospirometry and b) repetition of the studies in the same patients during bronchospirometry. Table I gives the physical, clinical, and pulmonary function data for the patients; all of the patients had some pulmonary disease. Control and TUPAO studies were performed 9 times in 8 patients without bronchospirometry, and once in each of the 9 patients during bronchospirometry.

Studies before bronchospirometry. With the patient supine on a fluoroscopy table, we placed a triple lumen cardiac catheter in the pulmonary artery of choice, via an antecubital vein. We then inflated a balloon near the tip of the catheter to obstruct the flow of mixed venous blood to that lung (3). The first measurements were made during the period of vascular occlusion; this allowed the control studies to follow after deflation of the balloon and eliminated any intervening period of fluoroscopy and manipulation of the catheter. We collected expired gas in a Tissot spirometer which recorded tidal volume and frequency of breathing. After three preliminary collections, we made the final collection for a 3 minute period; we drew systemic and pulmonary arterial blood samples during the second minute. We

[†] Senior Research Fellow of the San Francisco Heart Association.

[‡]Research Fellow of the National Tuberculosis Association.

					D11/		Before/A	flow rates After iso- ol aerosol	Sa02	Pao ₂	
Patient	Age, Sex BSA	Diagnosis	VC	MBC	RV/ TLC	SB O2	MEFR	MIFR		Breath- ing O ₂	Dco
			% pr	edicted	%	% N2	L_{i}	min	%	mm Hg	% predici
A.D.	59 M 1.82	Bilat. nodular scars, esp RUL	152		33	3.5	333/	300/	96	595	68
F.Fr.	67 M 1.68	Ca in LLL old the RUL	117	119	33	4.0	273/375	186/214	91		64
J.J.	33 M 1.86	Bilat. midlung tbc infilt.	131	120	13	3.5	500, ⁷	375/	96	650	111
D.K.	23 M 1.71	Emphysema; bilat. bronchiect.	79	35	41	11.0	75/83	255/280	97		76
L.M.	63 M 1.48	Ca in RUL; inactive bilat. apical tbc	82	64	48	6.5	83/88	115/115	88	525	34
L.P.	50 M 1.91	Oat cell Ca in RLL	139		23	4.0	250,′	214/	93		172
N.P.	60 M 1.73	LLL bullae	104	134	34	3.5	430,′	375/	96		80
E.T.	52 M 1.74	Ca in RLL: inactive bilat. apical tbc	96	50		5.0	123/150	123,/136	85	659	
E.D.	62 M 1.70	Ca +abscess in SS, LLL	105	81	46	5.0	57,'117	96/110	89		
A.G.	71 M 1.98	RUL tumor	101		54	5.0	214/200	209/200	95	510	

 TABLE I

 Clinical and routine pulmonary function data of ten patients studied *

* Abbreviations: VC =vital capacity; MBC =maximal breathing capacity; RV/TLC =residual volume per total lung capacity; SB O₂ =rise N₂ concentration between 750 and 1,250 ml expired after a single breath of pure O₂ (1); MEFR and MIFR =maximal expiratory and inspiratory flow rates (1); Sao₂ =% arterial oxygen saturation; Pao₂ =arterial oxygen tension; Dco =breath-holding diffusing capacity for carbon monoxide at rest; RUL =right upper lobe; SS, LLL =superior segment of left lower lobe; Ca =carcinoma.

measured the O_2 and CO_2 concentrations of expired gas (using the Scholander apparatus) and of blood (using the Van Slyke apparatus). We determined systemic arterial O_2 and CO_2 tensions with special electrodes (12). We calculated O_2 uptake, CO_2 elimination, respiratory quotient, cardiac output, physiological dead space and alveolar ventilation.

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We calculated anatomic dead space during and after TUPAO from continuous recordings of expired CO_2 concentration and flow (13); CO_2 concentration was obtained with the Beckman Spinco apparatus and flow with a Fleisch pneumotachygraph. The lag of the CO_2 meter was measured at the time each patient was studied; it averaged 0.1 second.

In order to determine whether venous to arterial shunts were present during the vascular occlusion, we gave two patients (O.B. and A.G.) pure oxygen to breathe for 20 minutes before we deflated the balloon; they continued to breathe oxygen during and after balloon deflation. We measured the oxygen tension of arterial blood (12) just before deflation and 1 minute afterwards. Finally, to determine whether shunts were due to easily reversible atelectasis, we asked the patients to take several deep breaths and repeated the measurement of arterial blood P_{02} .

Studies during bronchospirometry. At the completion of the previous studies, we injected intravenously 0.75 to 1.0 mg of atropine sulfate and 75 to 100 mg of pentobarbital sodium as premedication for bronchospirometry. The patient then sat up and we anesthetized his airway with 1 per cent tetracaine, and passed a Carlens catheter (French 41) by indirect laryngoscopy. With the patient again supine and breathing air, we collected expired gas from each lung simultaneously in meteorologic balloons. We noted the time of collection and the respiratory rate so that we could calculate mean tidal volume. We determined the oxygen uptake, carbon dioxide output, minute and tidal volumes of each lung. Under fluoroscopic control we then reoccluded the pulmonary artery to one lung and repeated the above studies.

We then measured the anatomic dead space in each lung in sequence, starting with the perfused lung. During each determination, a sample of systemic arterial blood was drawn for measurement of CO_2 tension. Low tidal volumes, especially on the side of the pulmonary arterial occlusion, made measurements of the anatomic dead space difficult, but they were nevertheless reproducible within a limit of 5 ml.¹ While monitoring CO_2 and air flow of the lung with a blocked pulmonary artery, we then deflated the occluding balloon. Figure 1 shows an example of the increase in alveolar (end tidal) CO_2 and flow.²

 2 Low end tidal CO₂ values were useful as a criterion of the unilateral blockade of perfusion. However, oxygen

¹ Calculated anatomic dead space volumes were corrected for 15 ml of apparatus dead space (catheter lumen and tubing) so that they represent volumes "below the carina."



to the unperfused lung to determine the effect of changing concentrations of CO_2 on the distribution of tidal volume between the two lungs.

RESULTS

A A BEGIN COMPLETE DEFLATION OF BALLOON OCCLUDING R.P.A.

Fig. 1. Inspired and expired P_{CO_2} and flow tracings in Patient L.P. Monitored at the external orifice of the right lumen of the bronchospirometric catheter before, during and after deflation of the balloon blocking the right pulmonary artery.

We again measured anatomic dead space on each side. The time between the dead space measurements of the right and left lung during either the control period of unilateral pulmonary arterial occlusion was less than 5 minutes.

In the last two patients (E.T. and E.P.) we tested the effect of administering 6 per cent CO_2 (in air or oxygen)

uptake data showed that the occlusion was not always complete, even when an allowance was made for an enlarged bronchial collateral flow of blood and the mild hypoxemia associated with the patient's disease and the nature of the procedure (see Discussion).

Table II shows the results of nine studies in eight patients with the airway undivided. Associated with the occlusion, there was no significant increase in total ventilation. The unchanged arterial CO₂ tension suggests that alveolar ventilation remained normal even though there was no increase in total ventilation. Mean values for alveolar ventilation (total minus physiologic dead space ventilation) were 4.21 L per minute after, and 4.10 during the occlusion, a decrease of 3 per cent (not statistically significant). The mean oxygen uptake and carbon dioxide output each decreased 8 per cent (the differences again were not statistically significant) and the respiratory quotient stayed approximately the same. The physiologic dead space increased slightly while the mean anatomic dead space decreased slightly. The mean values for both the arteriovenous oxygen difference and the cardiac index decreased slightly but not to a statistically significant degree.

TABLE II Studies "at the mouthpiece" (undivided airway) after and during temporary unilateral pulmonary arterial occlusion *

Patient	PA oc side	cluded	Vе	f	Vт	Paco2	Physiol. VD	ĊА	Anat. VD	Vo₂	Ůc0₂	RQ	AVD	CI
		min	L/min	per min	ml	mm Hg	ml	L/min	ml	ml/min	ml/min		ml/L	L/min/ m ²
A.D.	O R	15	7.10 6.84	16 11	444 622	39 41	210 313	3.74 3.40		216 205	176 168	0.814 0.818	40 43	2.98 2.63
F.Fr.	O L	15	9.23 9.92	22 22	419 451	46 45	272 301	3.25 3.30	148 130	208 227	171 175	0.821 0.770	46 42	2.69 3.21
J.J.	O R	20	8.86 10.48	12 14	738 749	39 40	286 376	5.43 5.22	170 140	297 300	236 236	0.796 0.787	58 45	2.76 3.60
D.K.	O R	20	9.75 11.61 10.35	20 21 22	487 552 470	36 34	226 256	5.23 6.23		273 282	215 240	0.788	40 42	4.00 3.93
	O R	15	11.30	20	565	36 34	247 307	4.92 5.16		256 264	207 198	0.808 0.750	52 38	2.92 3.95
L.M.	O R	75	9.53 10.05	22 24	433 418	39 37	264 264	3.72 3.71	90 100	209 174	167 158	0.799 0.910	41 42	3.44 2.81
L.P.	O R	15	9.20 6.06	14 14	657 433	40 42	338 239	4.47 2.71	90 80	276 178	208 134	0.754 0.798	38 33	3.66 2.54
N.P.	0 L	86	7.44 7.78	13 12	572 648	40 41			105 100					
E.T.	O R	20	10.89 9.60	26 24	419 400	33 35	252 250	3.60		242 206	205 167	0.848 0.815	35 39	3.97 3.03
Mean co TU	ontrol JPAO	31	9.15 9.30	19 18	516 536	39 39	262 288	4.21 4.10	121 110	265 246	212 197	0.861 0.870	44 41	3.31 3.21

* Abbreviations: $\dot{V}E$ = total ventilation; f =respirations per minute; VT = tidal volume; PacO₂ = arterial CO₂ tension; Physiol. VD = physiologic dead space uncorrected for 100 ml apparatus dead space; $\dot{V}A$ = alveolar ventilation; Anat. VD = anatomic dead space; $\dot{V}O_2$ = oxygen uptake; $\dot{V}CO_2$ = carbon dioxide output; RQ = respiratory quotient; AVD = arteriovenous O₂ content difference; CI = cardiac index.

	PETco2	mm Hg 35 35 39	32 38 34
	Paco2	mm 40 40	46 41
metry *	% of total	95 95	95
onchospirc	Ýco2 Bilat.	218	146
on and bre	% of total	86 ⁵ 20	42 58 100
ial occlusi	Ċo₂ Bilat.	ml/min 302 299	169 206
II nary arter	% of total	48 35 65	41 59 62
TABLE III ral pulmono	VT	ml 297 323 280	176 253 287
ry unilate	Lung	ж л жг	리 저 기저
ıg tempora	ŗ	per min 10 13	22
udies durin	ÝE	L/min 6.50 10.94	5.24 8.01
TABLE III Ventilation studies during temporary unilateral pulmonary arterial occlusion and bronchospirometry *	Insp. gas	Air Air	Air
1	Duration of PAO	min O R15	0
	Patient	A.D.	F.Fr.

Anat. VD

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						ml		ml/min						
	min		L/min	per mın	,				C 2			тт 40	75 35	*
A.D.	0	Air	6.50	10	ж.Т	297 323	48 52	302	20			P	35	
	R15	Air	10.94	13	1 K	280 527	35 65	299	2 98	218	95 95	40	4 39	
F.Fr.	0	Air	5.24	22	ช.	176	41	169	42 58			46	32 28	35 45
	L10	Air	8.91	22	ראר	287 287 156	38 38	206	.8 ⁰ 0	146	95 5	41	34 3	45 25
J.J.	0	Air	17.99	15	ช.	489	44 55	324	50	318	48 52	29	22 22	45 45
	R5	Air	21.59	18	ראר ראר	519 590	545 242	444	16 84	401	21 79	34	4 28	15 15
D.K.	0	Air	12.20	20	я.	282	49 51	276	39 61	252	39 61	33	29 32	
	RS	Air	12.18	23	ראנ	372	27	352	92 8	251	9 91	33	33 33	
L.M.†	0	Air	16.35	14	я,	473	42 58	311	38 62	278	32 68	45	31 26	21 14
	R10	Air	4.51	15	1 N	240 240	800	215	6	107	8 92	50	16 39	13
L.P.	0	Air	10.03	20	Я-	155	38 67	443	56 44			43	29 33	20 20
	R24	Air	9.31	19	ראי	40 408	3°5	284	0.00	238	1 00	45	33 33	20 15
N.P.	0	Air	6.45	14	X1	235	55 45	248	54 46			42	13	30 25
	L13	Air	6.39	16	ראי	240 130	35	249	82 18			42	17 9	20 15
E.T.	0	Air	14.52	21	ж,	219 305	36 64	294	32 68	206	33 67	32	20 20	
	R5	Air	18.94	26	1×-	198	28 72	349	13 87	302	15 85		9 21	
	R5	6% CO2 Air	15.83	26	L N	207 373	36 64	295	99	221	100 ⁰		36 23	
Е.Р.	0	Air	10.05	12	жŢ	485	60 40	333	72 28	263	66 34		33 30	
	L25	Air	12.65	16	22	611	80	269	96 4	235	95 5	38	30 4	
	L35	$6\% CO_1$	14.80	20	ыж Л	385 325	54 46			-			28 43	
Mean	Control		10.37	17	Ips Con	277 337	45 55	299	44 56	260	37 63	38	26 27	31
TUPAO			12.61	19	Ips Con	200 490	29 71	274	8 92	256	91 91	40	11 29	19

Table III shows the results of TUPAO during bronchospirometry in nine patients. The total ventilation (mean) increased 22 per cent; however, the ventilation of the lung with the occluded pulmonary artery was only 29 per cent of the total as compared with a control value of 44 per cent. The anatomic dead space of that lung also decreased. When 6 per cent CO_2 in air or oxygen was breathed by the unperfused lung (Patients E.T. and E.P.), the fraction of the total ventilation of that lung returned to control values.

DISCUSSION

Unilateral pulmonary arterial obstruction in a normal individual must create a considerable increase in physiologic dead space, if ventilation of alveoli on this side continues. Under these conditions, total ventilation should increase about 80 per cent to maintain normal O_2 and CO_2 tensions in systemic arterial blood. Nevertheless, previous investigators (2–4) have not found an increase in total ventilation of the magnitude predicted, and our study confirms this.

There are several possible ways of explaining how arterial blood O_2 and CO_2 tensions can remain normal during TUPAO with little or no increase in over-all ventilation.

1. The pulmonary circulation may have been occluded by disease *before* the balloon occlusion. This was not true in our patients; bronchospirometric studies showed that the O_2 consumption of the experimental lung averaged 44 per cent of the total for the two lungs in the control period, and in only one patient (E.P.) was it less than 30 per cent of the total.

2. TUPAO may be accompanied by a decrease in body metabolism which occurs either coincidentally (change in environment) or by some reflex action. A decrease in O_2 consumption may be responsible in part in Patients L.P. (Table II) and L.M. (Table III), but the mean values of O_2 consumption were only 8 per cent lower than during the control period, which could not explain the data observed.

3. Breathing may change to a slower and deeper pattern so that alveolar ventilation may increase, although over-all minute ventilation does not. This mechanism may afford a partial explanation in Patient A.D. (Table II), but in the other patients there was either little change in respiratory frequency or there was an increase.

4. Anatomic dead space may decrease. The mean value decreased from 121 to 110 ml during TUPAO. This change is too small to explain the data observed.

5. Redistribution of inspired air may occur so that a large fraction of it enters perfused alveoli and little enters the nonperfused lung.

We believe that the major factor in the maintenance of normal arterial blood O₂ and CO₂ tensions during TUPAO is a redistribution of inspired air. The data obtained during bronchospirometry show unequivocally that the percentage of inspired air directed to the nonperfused lung decreases (mean decrease from 45 to 29 per cent) and that to the other lung increases (mean increase from 55 to 71 per cent). There is a suggestion that the shift is more effective when the airway is not divided, since the change in total ventilation was less than that noted during bronchospirometry. Atropine, used as premedication for bronchospirometry, may act to prevent bronchoconstriction, since it is known to increase the anatomic dead space (14). However, in our animal studies, atropine did not alter the ventilatory shift associated with the unilateral occlusion (11). Topical anesthesia may also play a role. It is also possible that the procedure of bronchospirometry leads to an increase in sympathetic nervous system activity and that this tends to decrease the response. We have been able to block the response completely by administering isoproterenol as an aerosol to the side with the arterial occlusion or by infusing epinephrine into the main pulmonary artery in animals (11). A mechanism such as this may have been responsible for the negative results in some previous studies in dogs (9) and patients (10). Patient J.J. failed to show any decrease in his ipsilateral tidal volume during TUPAO; his mean systemic arterial pressure rose gradually from a normal level to 150 mm Hg during bronchospirometry and TUPAO.

The mechanism responsible for the redistribution of inspired air in man appears to be the same as in the dog : TUPAO leads to decreased elimination of CO_2 ipsilaterally and to a decrease in alveolar CO_2 tension; this in turn leads to bronchoconstriction. Addition of CO_2 to the gas inspired by the experimental lung, so that its alveolar P_{CO_2} remains normal despite TUPAO, prevents the redistribution (6, 7, 11). Pressure upon the bronchus by the inflated balloon in the pulmonary artery cannot be responsible, because bronchograms in two subjects could not demonstrate any encroachment upon the airway near the balloon (Figure 2). The low pressure distal to the occluding balloon in the pulmonary artery does not initiate the redistribution, as was postulated by Moore and colleagues (5), because the shift fails to occur when the balloon is inflated if the inspired gas contains 6 per cent CO₂ (11).

Airway-narrowing during TUPAO can proceed to the point of atelectasis. When we deflated the occluding balloon in two patients breathing oxygen, the systemic arterial blood O_2 tension decreased abruptly, indicating that there was a pulmonary arterial to venous shunt on that side. Since the arterial P_{O_2} rose to or toward control values after deep breathing, we suspect that the shunt was through atelectatic regions which could be opened up by voluntary hyperinflation (Table IV).

Unilateral bronchoconstriction may also be re-



FIG. 2. Left-sided bronchogram via the left lumen of the bronchospirometric catheter in Patient F.Fr. during temporary occlusion of his left pulmonary artery.

TABLE IV	
The effect of deflation of pulmonary arterial (PA)
balloon and of deep breathing on PaO ₂	
$(patients breathing O_2 throughout)$	

		Arterial	O ₂ tension (mm Hg)
Patient	PAO	Before deflation of PA balloon	Immediately after deflation of PA balloon	After deep breathing
O.B. A.G.	L R	520 590	420 400	520 510

sponsible for delayed exhalation by the involved lung. This was suggested by the alteration in the "alveolar plateau" (when expired CO_2 was monitored at high paper speed) during unilateral pulmonary arterial occlusion in some of the patients. As can be seen in Figure 3, the normal slight upward slope of the alveolar plateau was changed to an irregular downward sloping pattern, somewhat similar to that described by West and Hugh-Jones in studies in animals (15).

We were not always able to eliminate the oxygen uptake on the side of the TUPAO. Despite roentgenographic confirmation of the position of the balloon, the blockade was probably incomplete in two cases (J.J. and N.P., Table III). The mean residual oxygen uptake of the "unperfused" lung may be in part attributable to an enlarged collateral circulation bringing slightly desaturated bronchial arterial blood into the pulmonary capillary bed. The arterial oxygen tensions were in the range of 70 to 80 nm Hg; however, no correlation was present between the size of the oxygen uptake on the side of the occluded artery and the



FIG. 3. CO₂ AND AIR FLOW TRACINGS AT THE MOUTH-PIECE (UNDIVIDED AIRWAY) BEFORE AND DURING RIGHT PULMONARY ARTERIAL OCCLUSION IN PATIENT J.J. Note the changes in the "alveolar plateau" as well as the arterial-alveolar CO₂ tension differences associated with the occlusion.

arterial oxygen tension or saturation before vascular occlusion when the airway was undivided. The CO_2 output of the "unperfused" lung was consistently greater (mean of 9 per cent of the bilateral CO_2 output) than the contribution of that lung to the total oxygen uptake. The high respiratory quotient resulting is explainable on the basis of the high CO_2 gradient and ready diffusibility of this gas from the bronchial collateral (systemic arterial) blood to the alveoli of the lung whose supply of mixed venous blood is blocked.

SUMMARY

1. In eight patients with chronic lung disease, studied during and after temporary unilateral pulmonary arterial occlusion (TUPAO) in order to determine the ventilatory response to one-sided obstruction of the flow of mixed venous blood: a) On the average, the total ventilation was unchanged. b) At the same time, the mean arterial P_{CO_2} did not rise, indicating that alveolar hypoventilation had not occurred. c) The physiologic dead space increased less than might have been expected considering that 45 per cent of the total ventilation and oxygen uptake was originally performed by the lung whose pulmonary artery was later blocked. d) In several cases the "alveolar plateau" of CO₂ became irregular during the occlusion and sloped downward; this was interpreted as delayed emptying of the lung whose CO₂ output was curtailed from lack of perfusion.

2. In nine patients, studied by bronchospirometry and unilateral pulmonary arterial occlusion: a) After obstructing the flow of blood to one lung the tidal volume of that lung decreased so that its contribution to the total ventilation diminished from a mean of 45 to 29 per cent during the occlusion. b) During unilateral pulmonary arterial occlusion the anatomic dead space in that lung (below the carina) decreased from a mean of 31 ml before, to 19 ml during occlusion. c) Although the total ventilation increased by a mean of 22 per cent, under these circumstances the shift in the distribution of ventilation allowed the contralateral lung to increase its ventilation by a mean value of 63 per cent (5.73 L per minute before, 9.32 during occlusion); the normal arterial P_{CO_2} figures (mean of 38 before, 40 mm Hg during) attest to the magnitude of this shift. d) Allowing the "unperfused" lung to breathe 6 per cent CO_2 in two cases resulted in a return to the preocclusion values for distribution of inspired gas to the right and left lungs.

3. We suggest that the immediate reduction of ventilation in one lung following the occlusion of its pulmonary artery is due to bronchoconstriction which occurs in response to local airway hypocapnia and leads to regional atelectasis.

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