Uterine NK cells: active regulators at the maternal-fetal interface

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Pregnancy presents an immunological conundrum because two genetically different individuals coexist. The maternal lymphocytes at the uterine maternal-fetal interface that can recognize mismatched placental cells are T cells and abundant distinctive uterine NK (uNK) cells. Multiple mechanisms exist that avoid damaging T cell responses to the fetus, whereas activation of uNK cells is probably physiological. Indeed, genetic epidemiological data suggest that the variability of NK cell receptors and their MHC ligands define pregnancy success; however, exactly how uNK cells function in normal and pathological pregnancy is still unclear, and any therapies aimed at suppressing NK cells must be viewed with caution. Allorecognition of fetal placental cells by uNK cells is emerging as the key maternal-fetal immune mechanism that regulates placentation.

The maternal-fetal interface in the uterus
The immunological paradox of pregnancy became a central preoccupation of immunologists after the discovery of acquired immunological tolerance (1). Pregnancy and transplantation were instrumental in the discovery of MHC polymorphisms, since the best natural producers of alloantibodies against HLA molecules are multiparous women (2) and polytransfused individuals (3). Since Medawar’s influential essay (4), the focus for immunologists has been how maternal T cells become tolerant of the fetal allograft. The current state of this field has been summarized in recent scholarly reviews (5, 6). We have taken a different approach that arose from studying pregnancy disorders, which affect millions of women and are a persistent global health problem. This view of the maternal immune system arose from considering how placentation evolved in mammals and is centered on the anatomy, physiology, and pathology of the pregnant uterus. We focus on the immune cells present in the pregnant uterine lining, the decidua, dominated by NK cells (known as decidual NK cells or uterine NK [uNK] cells), which are distinct from peripheral blood NK (pbNK) cells (7–9). NK cells have become a focus for clinicians treating women with a history of infertility and recurrent miscarriage, particularly around invading trophoblast cells (10, 11). Here, we question how two types of lymphoid cells — T cells and NK cells (known as decidual NK cells or uterine NK [uNK] cells), which are distinct from peripheral blood NK (pbNK) cells (7–9). NK cells have become a focus for clinicians treating women with a history of infertility and recurrent miscarriage, based on the mistaken notion that they are causing reproductive failure by killing the embryo.

The fetal cells in direct contact with the mother in the uterus are trophoblast cells, which are derived from the trophoectoderm layer surrounding the blastocyst, sheltering the fetus in its own cocoon (10, 11). For immunologists, the distinction between the two fetal cell types — extraembryonic trophoblast cells and cells of the embryo itself — is important. The maternal and fetal circulations do not mix, although transient exchange of cells occurs, particularly during the trauma of delivery. To ensure sufficient delivery of maternal nutrients and oxygen to the placenta, a substantial increase in uterine blood flow is needed for normal fetal growth. This is achieved by invasion of trophoblast cells through the uterine epithelium and into arteries. Maternal blood is thus in direct contact with trophoblast cells (hemochorial placentation). Trophoblast invasion is always accompanied by dramatic changes to the uterine mucosa known as decidualization (Figure 1), which is characterized by differentiation of glandular and stromal elements, as well as increased tortuosity of spiral arteries and loosening of their media (12). Additionally, large numbers of uNK and myelomonocytic cells and smaller numbers of T cells accumulate, particularly around invading trophoblast cells (11, 13). Here, we question how two types of lymphoid cells — T cells and NK cells, which are both capable of allorecognition — might recognize and respond to the fetoplacental unit.

T cells in pregnancy
We discuss here T cell allorecognition; other aspects of maternal T cells in pregnancy are discussed in an excellent review (14). The trophoblast cells invading into maternal decidua are allogenic and thus potential targets for T cells. Tissues grafted from one allogeneic individual to another are always rejected, because the recipient’s T cells react against non-self MHC molecules and other proteins, known as minor histocompatibility antigens (15). Maternal T cells are capable of reacting to alloantigens and are not immunologically inert, as shown by the presence of fetal-specific T cells (16, 17) and T cell–dependent humoral responses specific for the Rhesus D antigen in Rh-negative women (18), or for paternal-derived allogenic HLA molecules in multiparous women (19). A problem in understanding the role of these maternal T cell responses in pregnancy has been the difficulty in uncoupling those T cells specific for trophoblast cells (either in the decidua or systematically) from those with fetal somatic cell specificity. Although elegant studies in mice have shown the presence of maternal T cells specific for paternal transgenes (i.e., actin-OVA and actin-2W1S; refs. 20, 21) or for male antigens (22), the nature of the tissue targeted by maternal effector T cells cannot be defined, since the paternally inherited genes are assumed to be present in both trophoblast cells and fetus. In humans, trophoblast-specific T cells are likely to be HLA-C restricted, as this is the only polymorphic trophoblast HLA class I molecule.

Apart from distinguishing between responses to either trophoblast or fetal cells, whether responses are generated systemically or locally in the decidua is also important. In HLA-C–mismatched pregnancies, decidual T cells are present that could potentially recognize fetal HLA-C at the maternal-fetal interface (23). In mice,
there are no data available on specificity of decidual T cells. However, many studies in mice and humans have described mechanisms that favor T cell tolerance in the decidua: lymphatic drainage enabling decidual APC migration to regional lymph nodes is poor (24, 25); decidual APCs express immunoreceptors that bind trophoblast HLA-G molecules, preventing them from being immunogenic (26, 27); chemokine genes that attract T cells are epigenetically silenced in decidual stromal cells (28); and cytotoxic T cells in the human decidua express less perforin and granzyme B (29). Other antigen-independent mechanisms include expression of FAS ligand, galectins, and immunosuppressive cytokines such as IL-10 and TGF-β (5, 6). Questions also remain regarding the mechanisms by which effector T cells cause fetal loss. Moreover, although Treg depletion leads to fetal demise, indicating that these cells play some role in pregnancy, their specificity and the mechanisms used are unclear and could be due to nonspecific inflammation at the maternal-fetal interface (14).

We conclude that in humans, systemic adaptive immune responses to fetal antigens are generated, but there is no evidence that they ever cause pregnancy failure due to damage to placental trophoblast cells (“rejection” in the popular vernacular). This is, of course, different than death of the fetus caused by, for example, anti-Rhesus antibodies. In mice, this may not be true, as shown by fetal loss in *Leishmania* infection (30). However, many reports show qualitative changes in human pregnancy, including a definitive recent report studying CTL response to HCV (31). This supports clinical observations that responses to viruses such as flu and chicken pox (32, 33), as well as to autoantigens, differ during pregnancy (34–36). Our conclusion is that responses are deviated during pregnancy toward more vigorous CTL responses, but this seems to have no effect on placental survival or function. Mouse studies suggest that Tregs may prevent the generation of effector T cells at the maternal-fetal interface (37).

Our focus has now shifted from T cell tolerance to the innate immune response to the placenta, because of the predominance of uNK and myelomonocytic cells at the site of placentation and the observations that, unlike T cells, there are cognate receptor-ligand interactions whereby uNK cells recognize trophoblast cells.

**uNK cells**

The key to successful human pregnancy is the dramatic remodeling of the spiral arteries, with destruction of the media by invading extravillous trophoblast (EVT) cells (38). It is this process that is flawed in the great obstetric syndromes (GOS): preeclampsia, stillbirth, and fetal growth restriction (FGR) (39). Pathological conditions point to a key role for decidua in regulating placenta-
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...tion, because when decidua is absent, trophoblast cells invade deeply into the myometrium (placenta percreta). What is it about decidua that normally allows the correct balance between excessive and defective invasion? Because of the allogeneic nature of trophoblast cells, the idea arose that uNK cells perform this balancing act. Epidemiological studies of preeclampsia also point to a role of the immune system, because it is mainly a disorder of first pregnancies with evidence of partner specificity (40). Memory is a key feature of adaptive immunity, and NK cells are now known to share this property (41).

Functionally, NK cells were identified as lymphocytes that were spontaneously cytotoxic to MHC-null target cells (42–45). Unlike pbNK cells, uNK cells are only weakly cytotoxic against standard cancer cell lines (e.g., K562) and do not normally kill trophoblast cells (46–48). This is somewhat of a puzzle, as uNK cells are large lymphocytes with prominent cytoplasmic granules containing perforin, granzymes, and granulysin, which suggests that other, noncytolytic functions of these proteins are important (49–51). Although uNK cells do not normally kill, they might become cytolytic in certain situations, such as CMV infection in which cellular stress is detected by the NKG2D receptor (52).

The origin of uNK cells is still debated, but they do proliferate and differentiate in utero, probably from early NK progenitors recruited from blood (53, 54), although there are reports of CD34+ cells in the human mucosa (55–57). Evidence exists also for recruitment of mature NK cells from the periphery (55, 58, 59), and in mice, adrenomedullin secreted by trophoblast cells also recruits uNK cells (60). Thus, several pathways are possible. For example, subsets of murine uNK cells have different origins (50), and tissue-resident endometrial NK (eNK) cells in women may contribute to the pool of uNK cells present during pregnancy (61).

The likely function of uNK cells is to cooperate with trophoblast cells to guarantee correct arterial remodeling (11, 62, 63), ensuring the supply line to the growing fetus. IFN-γ production in mice is a key factor for vascular remodeling (64). In contrast, human uNK cells do not produce IFN-γ in abundance; thus, both assays commonly used for pbNK cells (cytotoxicity and IFN-γ production) are uninformative (65). Human uNK cells are confined to the mucosa, endometrium, and decidua. In mice, they first accumulate in the decidua basalis (from gestational day 6.5), but subsequently are found in the myometrium around the spiral artery (around gestational day 8.5–13.5) (66). Remodeling of the spiral arteries is the essential tissue modification in both species, but the processes are not identical. Human uNK cells initially cause medial loosening as part of the decidualization process (67). After implantation, surrounding EVT cells destroy the smooth muscle media of the artery (fibrinoid change), followed by replacement of the endothelium by endovascular trophoblast cells (38, 68). In mice, there is little trophoblast endovascular migration, and the arterial media is modified mainly as a result of direct infiltration by uNK cells (63). A range of angiogenic factors is produced by uNK cells in both species, and these also likely affect vascular stability and function (69).

**NK cell allorecognition in transplantation and pregnancy**

Our hypothesis is that correct arterial transformation depends on allorecognition of trophoblast cells by uNK cells, and this determines successful placentation and fetal development (62, 64, 69–71). uNK cells express a range of NK receptors (NKRs) that recognize adhesion molecules, stress signals (many of which are still unknown), and MHC class I ligands (8, 65, 72, 73). Therefore, uNK cells will respond to a wide variety of signals, either induced by the hormonal changes of pregnancy or expressed by trophoblast cells, including allogeneic MHC molecules. The latter are the most variable ligands for the NKRs, some of which are also highly diverse and differ among individuals. Thus, maternal NKRs and MHC ligands in both fetus and mother enable each pregnancy to be subtly different (71).

Each individual inherits two sets of variable NKRs genes, one on each chromosome. These are the family of killer cell Ig-like receptors (KIRs), part of the leukocyte receptor complex (LRC) on human chromosome 19, and the Ly49 family within the NK complex on mouse chromosome 6 (71). KIR haplotypes are defined as either A or B. KIR A haplotypes consist of 7 genes, of which only one is a potential activating receptor. KIR B haplotypes are more variable (up to 12 genes), and the extra genes are mostly activating (71). Developing NK cells express 0–5 inhibitory or activating NKRs; some will bind to self and others to non-self MHC molecules (Figure 2). Inhibitory NKRs that bind self MHC molecules educate NK cells to be tolerant of self and to respond to the lack of these self MHC molecules. Moreover, NK cells constantly tune their activation threshold to the MHC environment in which they operate, a process likened to a rheostat (74).

The presence of allogeneic tissue exposes an individual’s immune system to a different set of MHC molecules. TCRs are generated by random somatic gene rearrangement, resulting in T cells with
The first steps in testing this theoretical framework were to study expression of MHC molecules on trophoblast cells and NKRs at the maternal-fetal interface. The expression of trophoblast cell MHC molecules and NKRs at the maternal-fetal interface

In inbred mice, although solid organs grafted from either of the two genetically distinct parents to F1 hybrid offspring are always accepted (due to T cell tolerance of the shared MHC haplotypes between offspring and both parents), bone marrow transplantation (BMT) violates this law. Hybrid offspring reject marrow grafts from either parent, even after ablation of T cells. This phenomenon (hybrid resistance) was observed before the discovery of NK cells (77), which were subsequently identified as the cells sensing the absence of one set of parental MHC molecules (78, 79). Hybrid resistance is now an important in vivo assay by which to study NK cell allorecognition and also provides a conceptual framework for haploidentical BMT, in which allorecognition operates from donor to host. Haploidentical BMT is now used as an alternative strategy when no MHC-matched donors for leukemia patients can be found; it is also beneficial in preventing leukemia relapse (80). Because all individuals have potential haploidentical donors (a parent or a sibling), donors can be easily found. Mismatch between inhibitory NKR on donor NK cells and recipient HLA is generally present, so that some donor NK cells are not inhibited by the recipient’s HLA and destroy cancer cells (Figure 3 and ref. 81). This situation has obvious parallels with pregnancy, in which the uNK cell may sense the absence of noninherited maternal self MHC molecules on the placental semi-allograft. By extension, uNK cells may also detect the inherited paternal allogeneic MHC molecule and be educated, inhibited, or activated, depending on the nature of the interaction between the NKR and that MHC.

Expression of trophoblast cell MHC molecules and NKRs at the maternal-fetal interface

The first steps in testing this theoretical framework were to study expression of MHC molecules on trophoblast cells and NKRs on uNK cells. Villous cytotrophoblast cells and overlying syncytiotrophoblast cells in contact with maternal blood in the intervillous space are HLA null (82). In contrast, the EVT cells that infiltrate deeply into the uterine wall express a unique set of MHC molecules, including nonclassical HLA-E and -G (27). These are oligomorphic, meaning they will deliver an invariant signal to maternal immune cells. HLA-C molecules are also expressed by EVT cells (83), are polymorphic, and are the dominant ligands for KIRs (71). Thus, both maternal NKR (KIRs) and fetal ligands (HLA-C molecules) are variable and specific to a particular pregnancy. These interactions could educate NK cells or inhibit/activate them, depending on the nature of the mother’s KIRs. The frequency of uNK cells expressing KIRs specific for two groups of HLA-C allotypes (C1 or C2) increases in early gestation in uNK cells compared with pbNK cells from the same woman (84–86). Thus, the uNK cell KIR repertoire is skewed toward HLA-C recognition in pregnancy, but this is not a feature of NK cells in the nonpregnant cycle (87).

We have assessed the pattern of trophoblast cell MHC expression in C57BL/6 mice by flow cytometry on trophoblast cells differentiated in vitro from trophoblast stem cells and by microscopy on explants of implantation sites at gestational day 8.5. Only H-2K and not H-2D is expressed and, in contrast to human trophoblast cells, nonclassical MHC molecules are not expressed (88). More recently, we have found that H-2D is expressed by trophoblast cells in BALB/c mice (89); therefore, the pattern of MHC expression may be strain specific. Murine uNK cell subsets are complex in terms of surface markers or effector functions, but, similar to humans, the NKR repertoire of at least one subset is unlike systemic NK cells (50, 90, 91).

Immunogenetics of reproduction

Both NKRs and their MHC ligands are highly diverse and encoded by gene complexes on separate chromosomes, thus segregating independently (71). In each pregnancy, therefore, the mother will carry a set of KIRs that will respond to the HLA-C of that particular fetus, with EVT cells expressing both maternal self and paternal non-self HLA-C allotypes (92). Inherent difficulties in investigating uNK cell function in humans include ethical considerations, difficulty of obtaining tissue in early pregnancy, paucity of human trophoblast cell lines with HLA profiles similar to those of normal EVT cells, and lack of good functional uNK cell readouts. A variety of TCRs that can recognize allogeneic MHC molecules. After the discovery of KIRs, it was quickly realized that there were abundant TCRs that can recognize allogeneic MHC molecules. After the discovery of KIRs, it was quickly realized that there were abundant
of approaches is needed: first, genetic studies of maternal KIR–fetal HLA-C combinations in normal and pathological pregnancies have pinpointed the KIR and HLA-C genes that confer both risk and protection for preeclampsia and the other GOS. Second, functional studies using human uNK cells ex vivo are beginning to shed light on how the genetic findings translate into function at the site of placentation (86). Finally, informed by these results, mouse models can be used to mimic the human situation and interrogate how a given NKR-MHC interaction affects pregnancy outcome (88, 89).

A consistent finding from genetic studies is that women affected by preeclampsia and other GOS have an increased frequency of two KIR A haplotypes (KIR AA genotype) in combination with a paternally derived HLA-C2 group in the fetus (93–95). The protective genes on the KIR B haplotype in Europeans are found in the telomeric region in which the activating KIR for HLA-C2 (KIR2DS1) is located. These findings suggest that placentation is defective when there is a very strong inhibitory signal to uNK cells mediated via the KIR A haplotype gene, KIR2DL1, which has high affinity for HLA-C2 ligands. Protection from preeclampsia is likely to be mediated by the counterbalancing activating KIR2DS1, which also binds HLA-C2. Thus, depending on the particular KIR–HLA-C interactions, levels of chemokines or cytokines secreted by uNK cells will vary and in turn modify trophoblast cell invasion (62). Furthermore, uNK cells expressing KIR2DS1 are specifically activated by coculture with HLA-C2+ target cells to produce a range of soluble products, including GM-CSF, which enhances trophoblast cell migration in vitro (86).

Mouse studies allowing for specific combinations of maternal NKRs and paternal MHC molecules in otherwise genetically identical parents show that uNK cells sense the presence of paternal MHC molecules (89, 91), and the mismatch between parental MHC molecules has an effect on spiral artery modification and eventually on fetal growth, confirming that certain combinations of maternal NKRs and paternal MHC molecules influence placentation (88). A mating strategy to mimic the human situation of strong inhibition of maternal uNK cells shows that the introduction of a single MHC class I molecule that engages large numbers of inhibitory receptors impedes fetal growth (89).

NK cells and fertility clinics

The evidence points to important physiological roles for uNK cells in healthy placentation and for their dysfunction in pregnancy disorders. The nature of uNK cell “dysfunction” is unclear, but an appropriate level of NK cell activation seems essential for allocating maternal and fetal resources fairly. Despite this, women are now being given a range of therapies to suppress their NK cells (96). This is based on a poorly formulated supposition that there is a correlation between excessive number or activity of NK cells and adverse reproductive outcome. Specifically, if blood tests for NK cell activity or cell numbers are deemed high, women are given a range of therapies, including prednisolone, intravenous Ig, intralipid, and TNF-α–blocking biologicals (97, 98). Use of these treatments is based on a misunderstanding of the basic science (as the right degree of activation, rather than inhibition, of uNK cells is needed), and they could potentially have serious side effects (96, 99–101). pbNK cells are quite different from uNK cells in activity, phenotype, function, and morphology (8, 9), so results from blood tests will be of little relevance (102). Furthermore, the normal range for pbNK cells is very wide, with no discernible effect on an individual’s health at the extremes of the range (42). Indeed, NK cells are not routinely measured in other clinical contexts, apart from the diagnosis of leukemias.

More recently, commercial tests for quantifying numbers of eNK cells in the luteal phase have been introduced, and steroid therapy is prescribed to those women with “high” levels (97, 103, 104). How numbers of mucosal NK cells might relate to their functions is not clear, particularly as there is a day-to-day increase during the luteal phase due to uNK cell proliferation. Some clinics are even prescribing G-CSF to women on the basis of these results (105, 106). Although the evidence is accumulating that uNK cells regulate placentation, how they do so is still essentially unknown. To summarize, all the available data suggest that uNK cells need to be activated rather than suppressed, and it is inappropriate to use therapies aimed at altering uNK cell function until more is known.

Future directions

It is clear that, despite some exciting avenues of research, there is some way to go before research on uNK cells can be translated into therapies for couples with reproductive problems including recurrent miscarriage, preeclampsia, and infertility. Indeed, two systematic recent reviews of the literature also caution against the use of any adjuvant therapies aimed at suppressing NK cells (107, 108). Our genetic findings on KIR–HLA-C variants in preeclampsia and the other GOS must still be regarded as preliminary, as they have not been repeated by other investigators in European or other populations. Other reports on KIRs in miscarriage are contradictory; we have summarized the reasons for this controversy previously (109).

No genetic studies have been carried out in cohorts of infertile women or those with in vitro fertilization failure; it is not certain whether uNK cells will influence the earliest stage of implantation when the blastocyst penetrates the uterine epithelium. With these caveats, it is certainly premature to introduce KIR and HLA-C typing into the reproductive clinics, although this is now occurring in a piecemeal manner (98, 110).

The GOS are obviously multifactorial, subject to contributions from other environmental and genetic risk factors. Indeed, the KIR AA genotype that confers risk occurs in approximately 30% of Europeans, yet not all these women have problems in pregnancy. It may be that as the KIR A haplotype is polymorphic, in-depth analysis at the allelic level of KIR2DL1, the inhibitory KIR binding HLA-C2, is needed. KIR2DL1 has 4 alleles in European populations (and many more in other groups, particularly Africans; ref. 111), which differ in binding affinities to individual HLA-C2 allotypes. Typing of individual fetal HLA-C alleles will also be required, in addition to the C1/C2 division (112). If the risky KIR AA genotype can be better defined in the future, it might eventually become possible to inform couples whether there is a possibility of avoiding interactions with certain paternal HLA-C2 alleles. One scenario is already of concern: surrogate mothers, to whom an egg is donated from another woman, have a high incidence of all forms of hypertensive disorders in pregnancy (113). In these pregnancies, both fetal HLA-C alleles are non-self, and if both are HLA-C2, they would provide an unnaturally strong inhibitory signal to uNK cells in surrogate mothers who carry the risky KIR AA genotype.

Conclusions

We have concentrated here on the dominant population in decidua, uNK cells. It will be important to define the relative role of other decidual leukocytes (macrophages, dendritic cells, effector
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