Asthma as a chronic disease of the innate and adaptive immune systems responding to viruses and allergens

Michael J. Holtzman
Division of Pulmonary and Critical Care Medicine, Department of Internal Medicine and Department of Cell Biology and Physiology, Washington University School of Medicine, St. Louis, Missouri, USA.

Research on the pathogenesis of asthma has traditionally concentrated on environmental stimuli, genetic susceptibilities, adaptive immune responses, and end-organ alterations (particularly in airway mucous cells and smooth muscle) as critical steps leading to disease. The focus of this cascade has been the response to allergic stimuli. An alternative scheme suggests that respiratory viruses and the consequent response of the innate immune system also drives the development of asthma as well as related inflammatory diseases. This conceptual shift raises the possibility that sentinel cells such as airway epithelial cells, DCs, NKT cells, innate lymphoid cells, and macrophages also represent critical components of asthma pathogenesis as well as new targets for therapeutic discovery. A particular challenge will be to understand and balance the innate as well as the adaptive immune responses to defend the host against acute infection as well as chronic inflammatory disease.

Introduction and perspective

A major goal of medical research is to define the cause and develop the cure for chronic inflammatory disease, traditionally by targeting the adaptive immune system. Convention has also led to a bipartite classification of the adaptive immune system, wherein Th1 cells mediate delayed-type hypersensitivity reactions and selectively produce IL-2 and IFN-γ, and Th2 cells promote B cell–dependent humoral immunity and produce IL-4, IL-5, and IL-13 (1). In the case of asthma, the “Th2 hypothesis” proposes that an upregulated Th2 and a downregulated Th1 response drive the development of disease (Figure 1 and ref. 2). Newer research suggests that increased activity of Th17 (IL-17–producing) cells or Th9 (IL-9–responsive) cells as well as decreased suppressor activity of Tregs (IL-10– and TGF-β–producing cells) represent additional mechanisms for other subsets of T cells to contribute to asthma, perhaps in part by skewing the system toward an increased Th2 response (3–5).

The focus on T cell contributions is derived at least in part from studies of allergen challenge in mouse models of asthma and in humans (6, 7). In both cases, allergen challenge is often optimized for a Th2-dominant response. However, this approach may not represent the full clinical spectrum of the disease. The majority of asthmatics may be atopic, but only a minority of those with atopy or atopic disease (including those reactive to inhaled allergen) will ever develop asthma (8). The Th2 hypothesis is therefore challenged to incorporate the possibility that other environmental stimuli might also be essential for asthma pathogenesis. Indeed, there is considerable clinical evidence that respiratory viral infection is also linked to the initial development of asthma as well as exacerbations that might perpetuate the disease. Early clinical work on the role of respiratory viruses in asthma focused on the role of respiratory syncytial virus (RSV) infection in infancy. RSV is the most common cause of serious respiratory illness in this age group and in severe cases is associated with the subsequent development of a prolonged wheezing illness that in some cases may extend at least to adolescence (9–16). The role of severe RSV infection as a risk factor for asthma in adulthood is less certain but is still under study. Meanwhile, more recent studies have identified infection with human rhinovirus (HRV) as a predominant respiratory pathogen associated with asthma later in life (11, 17–21). Other work on influenza A virus (IAV) connects this infection to asthma in children and adults (22–25). Despite extensive association of common types of respiratory viruses with asthma, the available evidence does not yet establish viral infection as a cause of asthma per se, but rather suggests that there may be common susceptibilities to both viral infection and asthma (26). Indeed, atopy itself may predispose toward more severe respiratory viral infection and associated wheezing, particularly in the case of HRV (21, 27). In fact, perhaps the strongest predictor of subsequent asthma is the concordance of atopy and severe respiratory viral infection, suggesting that virus-allergen interaction is at work in at least some asthmatics (19, 21, 28, 29). The proof of a causal role for virus infection in asthma must therefore depend on better experimental models of the process and ultimately on effective antiviral measures that serve to lessen the acute infectious illness as well as the subsequent chronic inflammatory disease in humans.

In response to this issue, adherence to the Th2 hypothesis invokes an additional hygiene hypothesis, wherein a lack of exposure to viruses (and/or other inhaled and ingested environmental “dirt” from bacteria and parasites) in modern society leads to an overactive Th2 (allergic) and an underactive Th1 (antiviral) system (30–32). However, even with this hypothetical addendum, the Th2 hypothesis still misses key immune components of asthma (33–35). For example, it is possible to define a positive rather than a negative relationship between viral infection and experimental as well as natural asthma. In addition, increased susceptibility to respiratory viral infection might be detectable even at birth, and perhaps most significantly, as a deficiency of the innate immune system, independent of the T cell response (36). Moreover, from
a practical perspective, the Th2 hypothesis has led to a focused and sometimes dogmatic approach to diagnosis and therapy of asthma. A few of these therapeutic approaches, such as leukotriene receptor antagonists and anti-IgE antibodies, have achieved limited acceptance (37, 38). Others, for example, IL-4, IL-5, and IL-13 inhibition, show some level of benefit in a subset of asthmatics based on initial clinical studies (39–42). However, these approaches may have succeeded at least in part because they also happen to inadvertently target the innate immune system. In either case, the rather limited means to significantly prevent and/or cure the inflammatory process in asthma, particularly severe asthma, remains disappointing (35).

This review will revisit the immune component of asthma and summarize some of the advances that led to a substantial revision of our understanding of the pathogenic mechanism. This includes the unexpectedly major role of the innate immune system in the pathogenesis of experimental asthma and the emerging evidence that similar events might occur in humans with chronic obstructive lung disease due to asthma as well as chronic obstructive pulmonary disease (COPD). It now appears possible that the innate immune system may function independently of the adaptive immune system in some cases and in synergy with it in others, and the relative contributions of the two systems may explain disease heterogeneity among patients. In addition, we discuss how these immune insights into the response to respiratory viruses could lead to new therapeutic strategies and thereby prevent acute infectious illness and chronic inflammatory disease of the pulmonary airways in the future.

Figure 1
Immune pathways leading to allergic lung disease. (i) Initial allergen exposure leads to uptake by lung conventional DCs and their migration to regional lymph nodes using CCL19/21-CCR7 interactions. In the nodes, DCs regulate MHC class II-dependent generation of CD4+ Th2 cells and consequent B cell (and, in turn, plasma cell) production of allergen-specific IgE. (ii) Chronic allergen exposure causes IgE cross-linking and FcεRI signaling, leading to activation of mast cells (and likely basophils and other cell types), with consequent recruitment of Th2 effector cells to the airway. Th2 cell production of IL-4 and/or IL-13 leads to alternatively activated macrophage differentiation, while IL-5 generation leads to eosinophil accumulation and further IL-13 production in the airway. (iii) Additional T cell subsets that regulate the allergic response include Th17, Th9, and Tregs that may influence the Th2 response and may act independently of this response as well. (iv) IL-13 (as well as other cytokines) drive mucous cell metaplasia (MCM) and airway hyperreactivity (AHR) that are characteristic of allergic asthma. Modified with permission from the American Journal of Respiratory Cell and Molecular Biology (140).
Epithelial cells and viral disease

Respiratory viruses likely make initial contact with at least three critical cell populations in the airway: mucosal epithelial cells, which provide a home to most of the virus; airspace and tissue macrophages, which remove most of the virus; and resident and migratory DCs, which process and transport some of the virus to the immune system. This paradigm portrays the epithelial cell as a passive victim in the immune response, but an alternative view is that airway epithelial cells (and epithelial barrier cells in general) are active sentinels. This idea was derived originally from circumstantial evidence and intuition during studies of experimental asthma (43). We now recognize that airway epithelial cells constitute a specialized system that coordinates an intricate battle with the invading microbe, particularly in the case of respiratory viral infection (44). A series of overlapping and redundant molecular pathways (representing systems biology at its best) are implicated in deciding which cells shall live and which shall undergo apoptosis, necrosis, or autophagy. Amidst this complexity, one pathway that emerges as critical for host defense and contribution to asthma is based on IFN signal transduction. Thus, despite being the primary residence for respiratory viruses (or perhaps because of it), airway epithelial cells are specially primed for potent IFN production and consequent expression of hundreds of IFN-stimulated genes (ISGs). These ISGs encode proteins that control viral level directly by inhibiting viral production or indirectly by activating immune cells and killing host cells harboring the virus (45). Despite the complexity of the IFN-signaling network, it invariably relies on STAT1 as a master regulator of ISG expression (46, 47). If this network is genetically defective (e.g., due to STAT1 deficiency) in mice or man, the host often succumbs to lethal viral infection (48). Moreover, the functional level of this antiviral response, as judged by the amount of IFN production and signaling, appears to correlate with the degree of host protection. For example, the level of IFNγ gene induction in response to RSV infection in cord blood monocytes can predict the likelihood of respiratory tract infection in the first year of life (36). In this case, the lower the IFN production, the more likely the child is to develop a respiratory illness. This finding may complement downregulation of the IFN response found in T cells in allergy and asthma (16, 17, 49–56). In either case, a deficiency of IFN-dependent control of respiratory viruses might render an individual more susceptible to developing or exacerbating asthma.

Whether there is a defect in IFN-dependent control of respiratory viruses at the level of airway epithelial cells and whether this defect causes asthma remain less certain. Work in experimental models suggests that a deficiency of IFN signaling in airway epithelial cells will compromise host defense against respiratory viruses (44, 46, 57–59). Moreover, these models and epidemiologic studies indicate that a more severe infection is more likely to lead to asthma. Some studies showing a deficiency in IFN-β and IFN-λ production in response to HRV inoculation in airway epithelial cells cultured from asthmatic versus normal subjects suggest that these observations translate to human patients (60, 61). Other studies suggest that abnormal IFN signaling is also a cause of asthma (62), and genetic analysis has demonstrated ISG linkage in asthma subjects (63, 64). However, others have found that control of viral replication and production of IFN may also be defective in airway epithelial cells from patients with other types of inflammatory airway disease, e.g., COPD and cystic fibrosis (65–67). Moreover, even in the case of asthma, not all reports agree on a defect in IFN-dependent control of viral replication ex vivo or in vivo (18, 68–72). A better understanding of the issue will require a comprehensive analysis of type I, II, and/or III IFN level and/or signaling activity during viral infection in asthma versus normal subjects, but this has been difficult to achieve in part because of the logistical challenge of quantifying IFN and corresponding viral load in vivo. As described below, however, this situation is still an opportunity for anti-asthma intervention as well as a precedent for defining other epithelial factors that might control viral disease. Thus, airway epithelial cells may also be a source of intrinsic anti-viral factors and immunoregulatory cytokines, neither of which requires IFN production.

Innate immune cells and viral disease

With some degree of irony, acceptance of the hypothesis that the innate immune system positively stimulates the development of asthma came with the understanding that airway epithelial cells might also be a source of Th2 cytokines. In particular, evidence arose that epithelial production of IL-25, thymic stromal lymphopoietin (TSLP), and/or IL-33 might drive Th2 responses (particularly in the form of IL-13 production) and in turn result in disease traits that are characteristic of asthma (73–84). This issue naturally led to the search for innate immune cells that might respond to epithelial cytokines with an increase in IL-13 production. A series of reports identified lineage-negative lymphoid cells (analogous to those found in the gut) that responded to IL-25 and IL-33 by producing IL-13 and IL-5 (85–88). Follow-up reports noted that these relatively rare cells (variously named non-B/ non-T cells, natural helper cells, nuocytes, or innate lymphoid cells) constitute the major source of IL-13 in the lung after challenge with an allergen (ovalbumin) or the helminth N. brasiliensis (89, 90). This finding is surprising given the usual abundance of eosinophils in these conditions and the high-level capability of eosinophils for IL-13 production. A related report proposed that innate lymphoid cells also mediate the airway inflammation and hyperreactivity that occur just one day after IAV infection in mice (91). In this case, the virus activates alveolar macrophages to generate IL-33 that stimulates innate lymphoid cells to produce IL-13. However, we are still missing an experiment that selectively blocks endogenous innate lymphoid cells and demonstrates the contribution of the native cell population to the asthma phenotype. This step will be critical to use these immune cell events as biomarkers and therapeutic targets in humans.

Another noteworthy drawback to studies of asthma is the frequent lack of physiological relevance of experimental models and the attendant requirement to translate findings in the model to humans with asthma. For example, an obvious experiment in an animal model would be to explore the possible connection between severe RSV bronchiolitis and asthma. However, a human pathogen such as RSV exhibits only limited replication in a rodent host (92), perhaps explaining the relatively short-lived effect of RSV on airway inflammation and dysfunction in mice. Such an outcome does not fit with the full spectrum of clinical experience in humans, in which the effect of RSV on airway inflammation and the development of postviral asthma might be delayed or might last for weeks, months, or even years. Similarly, despite genetic manipulation to favor infection, HRV also exhibits a relatively low level of replication and consequent illness in the mouse model (93, 94). Perhaps this experimental difficulty will improve with the isolation of a more aggressive group of HRV-C
species (95). Nonetheless, HRV as well as RSV and IAV infection have all been demonstrated to worsen allergen-induced asthma in experimental models (93, 94, 96–98), raising the possibility that virus-allergen synergy is an initiator or perpetuator of disease in childhood and adulthood.

Meanwhile, there was still a need to develop a model of viral infection that resulted in long-term experimental asthma. Toward that end, it is possible to remove the obstacle in host range for RSV (and other human pathogens) by substituting the corresponding mouse paramyxovirus known as mouse parainfluenza virus type I or Sendai virus (SeV). This alternative provides for a model that mimics cardinal features of the human disease process, including acute bronchiolitis (as found in RSV-infected infants) followed by chronic (perhaps lifelong) airway inflammation, mucus overproduction, and hyperreactivity that depend on genetic susceptibility (as found in asthmatic children, teenagers, and adults) (99–101). The SeV mouse model has proven useful for understanding immunological events leading to acute illness and chronic airway disease after viral infection (Figure 2). In terms of acute postviral illness, the model has allowed for more complete definition of the innate and adaptive immune response that controls and then clears infectious respiratory virus. As introduced above, there is an emerging understanding of precisely how airway epithelial cells participate in this aspect of host defense. In particular, the capacity of airway epithelial cells for IFN production and signaling is emerging as a key requirement for containing viral infection and preventing postviral asthma (46, 102). In concert with the epithelial contribution for viral control, there is also a better awareness of the role of the lung macrophage in the antiviral host response. For example, an unexpected action of the chemokine CCL5 allows the macrophage to avoid virus-induced cell death and thereby continue the task of viral clearance (103). We also understand at least some of the pieces for how this innate response cues the subsequent adaptive response to achieve full clearance of the virus and protection again similar viruses in the future. Critical elements include DC arming of CD8+ (and CD4+) T cell cytotoxicity for lysis of infected cells as well as CD4+ T cell help for B cell and plasma cell antiviral antibody production (104–106).

From the perspective of asthma, however, the real advantage of the SeV mouse model is that it manifests the pattern of airway inflammation, hyperreactivity, and mucus overproduction that develop and persist long after clearance of infectious virus. The extended time course of this postviral process therefore better mimics the clinical experience that links severe RSV bronchiolitis to a long-term wheezing illness in early childhood, and perhaps to the chronic obstructive lung disease that makes up the spectrum of asthma and (as we are learning) COPD in later life as well. The model has revealed two new potentially asthmagenic pathways: one leading from conventional DCs to Th2 cells (107, 108), and another from APCs (DCs or macrophages) to invariant NKT (iNKT) cells to alternatively activated (M2) monocytes and macrophages (109). The first pathway is responsible for the early/transient disease that appears at 3 weeks after viral inoculation and features IFN-α/β-dependent expression and IgE-dependent activation of the high-affinity IgE receptor (FceRII) on DCs and consequent CCL28 production to recruit IL-13–producing CD4+ T cells to the airways (107). The connection between IFN and DCs requires a CD11b-dependent interaction with a CD49β subset of PMNs (108). This pathway thereby contains components of both the innate and adaptive immune systems. The second pathway is responsible for the late/chronic disease that develops fully at 7 weeks after inoculation and is driven instead by an innate immune response that relies on iNKT cells that are programmed to activate macrophages to produce IL-13 (109, 110). The interaction between iNKT cells and macrophages depends on contact between the semi-invariant Vα14Jα18-TCR on lung iNKT cells and the oligomorphic MHC-like protein CD1d on macrophages as well as NKT cell production of IL-13 that binds to the IL-13 receptor (IL-13R) on the macrophage (109). This pathway appears to function independent of the adaptive immune system. Each of these pathways provides for new biomarkers and therapeutic targets for clinical application.

A critical next step for this approach (and others like it) is to determine whether similar abnormalities of the innate immune system are also found in asthma and in forms of COPD that may also manifest airway inflammation, mucus overproduction, and hyperreactivity. This translation is just beginning, but it has been shown that the high-affinity IgE receptor is found on human DCs in peripheral blood samples from children as early as one year of age (111). Analysis of the second immune axis is challenging due to the rarity of NKT cells and the need for specific biomarkers of M2 macrophages in lung tissue. These problems can be overcome in part by using whole-lung explants from patients undergoing transplantation for severe COPD that continue to manifest airway inflammation. A proof-of-concept analysis of a small number of these patients provided preliminary evidence of increased numbers of iNKT cells and IL-13–positive macrophages in the lung in concert with mucus overproduction (109). Subsequent analysis defined chitinase 1 as a relatively selective marker of M2 macrophage activation and found increased chitinase 1 levels in lung as well as peripheral blood samples in a larger group of COPD patients (112). Here again, the M2 macrophage abnormality was most prominent in those with severe disease. Recent analysis of 15-lipoxygenase-2 (15–LOX-2) as an additional M2 macrophage marker provided similar Results (113). The analysis of the NKT cell–M2 macrophage axis in asthma is still under study. An initial investigation found increased numbers of iNKT cell levels in samples from allergic asthma patients at baseline (114), while others suggest no increase at baseline or an increase mainly with allergen challenge or the presence of severe asthma (115–117). No studies yet assess the level of iNKT cell activation in asthma (or COPD), which is likely to be more informative that counting rare cell populations. An initial analysis also revealed preliminary evidence of IL-13–positive macrophages in BAL samples from a small number of patients with severe asthma (109), but markers of M2 macrophage differentiation that are more stable and expressed at higher levels than cytokines are likely to be more reliable. Increased levels of chitinase 3L1 are found in peripheral blood and in lung macrophages (as well as neutrophils and epithelial cells) as a possible sign of M2 macrophage activation in asthma (118). Here again, the abnormality appeared most prominent in the most severe forms of asthma. Initial work also suggests that expression levels of 15–LOX isoforms (15–LOX-1 versus 15-LOX-2) may be able to discriminate epithelial versus macrophage activation to establish an M2 pattern of immune activation in asthma and COPD (113). Further work is needed to fully define the upstream immune axis for M2 macrophage activation in asthma, and in particular to determine the relative or perhaps synergistic roles of the innate and adaptive immune responses to viruses and allergens. Nonetheless, these clinical biomarkers might be developed as tools for patient stratification that could prove useful for directed immune therapy.
An additional next step for these studies is to define the relationship of these new immune pathways to the recent observations for epithelial cytokines (especially IL-25, TSLP, and IL-33) and innate lymphoid cells that might also participate in IL-13 production in asthma (119–121). Initial work suggests that these pathways are also selectively activated in the SeV mouse model and in patients with COPD, thereby providing further support for a shared immune mechanism among these conditions (45, 122). There is also a need for a comprehensive analysis of the role of viral recognition receptors, including the TLRs (such as TLR3, -7, and -8), RNA helicases (such as MDA-5 and RIG-I), and NOD-like receptors (such as NALP3) that appear active in the antiviral response (123–126). Previous work suggested that activation of TLR3 (as well as TLR7 and MDA5) generally worsened the acute inflammatory response to other viruses, including Th2-dependent inflammation that develops for a short time after RSV infection in mice (94, 127–132). However, as noted above, these reports do not address the effect of activation of these viral sensors on chronic obstructive lung disease and therefore do not make a connection between innate immune cell activation and long-term inflammation. As noted below, this immune puzzle needs to be solved to better understand pathogenesis and identify rational and druggable targets for therapeutics in asthma. At present, however, asthma might best be viewed as a complex immune disorder that develops as a function of both innate and adaptive immune responses that contribute to varying degrees in any individual patient. Furthermore, it is intriguing that a distinct innate immune response to viral infection may be linked to the most severe forms of asthma and COPD, in which therapy is most limited and perhaps of greatest priority.
Improving innate immunity for prevention and cure

Based on the insights noted above, there appear to be at least two broad opportunities for targeting the innate immune component of asthma to improve outcome. The first of these stems from the role of innate immunity in host defense against inhaled and/or aspirated pathogens. Circumstantial evidence indicates a direct relationship between viral load and both the severity of acute illness and the likelihood of chronic disease (12, 133, 134). In fact, the capacity of the host to control viral load may be deficient in asthma. Even if there is no antiviral deficiency in asthma, there still stands to be significant benefit for the normal or asthmatic host to improve control over viral infection. The question therefore becomes how best to achieve that goal given the absence of vaccines for viruses that might initiate, propagate, and/or exacerbate asthma. Some have proposed that excessive levels of IFNs might provide therapeutic benefit (135). Indeed, overexpression of IFN-encoding genes may protect against experimental infection and inflammatory disease, and administration of recombinant IFN is commonly used for infectious, autoimmune, and cancerous conditions in humans (136). Unfortunately, this approach is limited by toxicity, because disproportionate levels of IFN might harm the normal host (137). It might therefore be more prudent to aim to increase the efficiency of the endogenous IFN signaling pathway and thereby potentiate the benefits of downstream ISG expression (44). Support for this approach can be found in the development of a designer STAT1 molecule (designated STAT1-CC) that markedly increases IFN responsiveness, ISG expression, and control of viral replication (138). The development of therapeutics (particularly small molecules) that mimic this benefit might therefore prevent severe infection and the subsequent inflammatory disease, and indeed, this strategy is well underway (139).

A second therapeutic opportunity is to adjust the innate immune response in a manner that downregulates the harmful effects of inflammation without compromising the benefit of immunity. Of course, this was also the goal of anti-inflammatory approaches derived from the Th2 hypothesis, but recognition and incorporation of the innate immune component should serve for more intelligent design of any new therapy. This approach will still generally depend on identifying druggable targets within the innate immune response and devising therapeutic antagonists to these targets. Indeed, attempts to block IL-25, TSLP, and IL-33 as well as pattern recognition receptors (PRRs) are already being pursued in academic and commercial drug development ventures. In fact, the advanced directive (now in clinical trials) of inhibiting IL-13 itself (as well as IL-5) might be reinterpreted from its Th2 roots, since we now recognize that these cytokines are also primary end products of the innate immune system. Particular challenges for the goal of innate immune blockade include the built-in redundancy of the innate response, the capacity to do harm as well as good when this response is unbalanced, and the requirement for noninvasive clinical biomarkers and imaging approaches to stratify as well as monitor this response in vivo in patients. Initial application of clinical biomarkers for innate immune responses suggests that only a subset of asthma or COPD patients is likely to manifest any given molecular target as a component of their disease (112, 118). The application of high-fidelity experimental models in conjunction with validation in these models and in humans will be required to develop new therapeutics and corresponding clinical biomarkers that target the proper subset in asthma or other common inflammatory diseases.

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Address correspondence to: Michael J. Holtzman, Washington University School of Medicine, Campus Box 8052, 660 South Euclid Avenue, St. Louis, Missouri 63110, USA. Phone: 314.362.8970; Fax: 314.362.9009; E-mail: holtzmannm@wustl.edu.

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