Review series

Vaginal microbiome and sexually transmitted infections: an epidemiologic perspective

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Vaginal bacterial communities are thought to help prevent sexually transmitted infections. Bacterial vaginosis (BV) is a common clinical syndrome in which the protective lactic acid–producing bacteria (mainly species of the *Lactobacillus* genus) are supplanted by a diverse array of anaerobic bacteria. Epidemiologically, BV has been shown to be an independent risk factor for adverse outcomes including preterm birth, development of pelvic inflammatory disease, and acquisition of sexually transmitted infections. Longitudinal studies of the vaginal microbiome using molecular techniques such as 16S ribosomal DNA analysis may lead to interventions that shift the vaginal microbiota toward more protective states.

Introduction

Bacterial vaginosis (BV) is a gynecologic condition of unknown etiology and is traditionally characterized by a relatively low abundance of vaginal *Lactobacillus* sp. accompanied by polymicrobial anaerobic overgrowth (1). BV is the cause of considerable morbidity and is the most cited cause of vaginal symptoms prompting women to seek primary health care (2). Epidemiologic studies have demonstrated that BV is associated with a markedly increased risk for acquisition of sexually transmitted infections (STIs) (3–6), including HIV (5, 7–10), and the development of pelvic inflammatory disease (PID) (11). Transmission of STIs is also associated with BV, since BV increases viral replication and vaginal shedding of HIV-1 (7, 12–14) and herpes simplex virus type 2 (HSV-2) (15). During pregnancy, BV has been linked to late fetal loss, and 10%–30% of pregnant women with BV give birth prematurely, although there is a lack of consistent evidence that treatment of BV reduces the risk of preterm delivery (16–23). Further complicating research and clinical practice is the fact that women with BV are only slightly more likely than women without BV to report vaginal symptoms (24). Thus, BV may be a relatively nonspecific marker of risk, motivating the development of new biomarkers for investigating and managing the vaginal microbiome.

The focus of this Review is the epidemiologic evidence that the vaginal microbiome in a “low-lactobacillus” state, for lack of a better term and as observed in the clinical syndrome BV, is a biological risk factor for both the acquisition and transmission of STIs. To approach this topic, the diagnosis and classification of BV requires in-depth discussion, encompassing the bacterial diversity of the vagina and the role of lactobacilli and other lactic acid–producing bacteria, the epidemiology of BV, and a review of the vaginal microbiome’s ability to help prevent STIs.

Epidemiology of BV

Cultivation-based studies traditionally identify BV as associated with a shift in the relative abundance of various species of *Lactobacillus* to greater abundance of strictly anaerobic bacteria, including *Gardnerella vaginalis, Prevotella spp.*, *Mobileuncus spp.*, *Ureaplasma urealyticum*, and *Mycoplasma hominis* (1). However, the majority of bacterial species (>99%) have not been cultivated in the laboratory (25). Molecular approaches now enable their identification. In the past several years, investigators have more regularly used 16S rRNA gene sequence–based analysis (26, 27) to survey the vaginal microbiota (28–30). These studies have found a multitude of bacteria that could not be identified by traditional culture-based methods. Recently, molecular studies have shown that *Atopobium vaginae, Megaphaera*, members of the candidate division TM7, *Eggerthella*-like uncultured bacteria, and three newly described members of the *Clostridiales* order have high specificity for BV (28, 29). These bacteria represent the great diversity of microbes that are present in microbiota of women with BV, but it is not yet known whether these microorganisms are single pathogens that cause BV or whether BV is caused by complex communities of bacteria. Interventions to treat BV focus on the use of antibiotics, but the effectiveness of such treatments is disappointingly low, and recurrence is common (31, 32), indicating that we do not yet have an adequate understanding of the pathology involved (33).

The diagnosis of BV (34) is based on clinical criteria or Gram stain, which are both fairly subjective and thus complicate research and clinical practice. Direct Gram stain of vaginal secretions, such as those that use the Nugent or Ison/Hay scoring systems (35–37), have been used for the past 25 years, and this technique evaluates the morphology and Gram stain reactivity of bacteria. The scoring method described by Nugent et al. (37) reflects the relative abundance of large Gram-positive rods (i.e., lactobacilli), Gram-negative and Gram-variable rods and cocci (i.e., *G. vaginalis, Prevotella, Porphyromonas*, and peptostreptococci), and curved Gram-negative rods (*Mobiluncus*). This technique allows rough assessment of relative abundance of bacteria, allowing for classification of bacterial load, as well as the number of polymorphonuclear (PMN) cells, candidal spores, fungal hyphae, and sperm. It is based on a linear scale ranging from 0–10; a score of 0–3 is considered healthy, 4–6 as intermediate, and 7–10 as indicative of BV. While Gram staining is a common method of assessing BV in research studies, the scoring of specimens can be variant among reviewers (38). Gram-stained vaginal smears also require skilled personnel to perform the scoring and are not used in usual clinical practice. Nonetheless, with a sensitivity of 89% and specificity of 83% (39) compared with Amsel clinical criteria (described below), the Nugent Gram stain test

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remains a commonly used tool (40), and it can be performed with self-collected vaginal smears (41), facilitating longitudinal field-based studies (42, 43).

In clinical settings, BV is diagnosed by the presence of three of the following criteria described by Amsel et al.: elevated vaginal pH of more than 4.5; thin, homogeneous gray-white discharge; amine odor upon the addition of 10% potassium hydroxide (KOH) to vaginal fluid on a glass slide; and the presence of “clue cells” (vaginal epithelial cells with indistinct borders due to attached bacteria) on microscopic examination of vaginal fluid (2). The sensitivity and specificity of the Amsel criteria is 70% and 94%, respectively, when compared with Nugent Gram stain scores (39).

BV is not a reportable condition, and therefore, estimates of prevalence vary according to the population studied. Prevalence of BV based on Amsel criteria ranges between 24% and 30% in sexually transmitted disease (STD) clinic populations (44–46), and based on Gram-stained smears, estimates have ranged from 9% (47) to 18% (48) in United Kingdom (UK) clinic-based studies, and have been reported as 29% in a US population-based survey (40) and over 50% in rural Ugandan villages (49). A comparison of Gram stain versus clinical criteria reveals that both are effective for the diagnosis of symptomatic BV; however, the Amsel criteria do not convey information on the composition of vaginal microbiota, and the Gram stain provides only morphological information, which provides limited insight into the composition of the vaginal microbiota. For example, in a study using molecular methods, Fredricks et al. pointed out in 2005 that Mobiluncus morphotypes on Gram stain may reflect the detection of BVAB1 (a bacteria from the Clostridiales order) (28). While a high Nugent score slide is visually quite distinct from a low Nugent score slide, intermediate smears are more difficult to read and specific errors in classifying morphotype on Gram stain are likely. The Ison/Hay Gram stain criteria approach the difficult problem of scoring the intermediate state (50). A molecular diagnostic tool that combines aspects of symptomatology, host response, and vaginal microbial communities would certainly provide refinement for the diagnosis of BV and add an unbiased means for detecting changes in the vaginal ecosystem that put women at risk for reproductive health sequelae (51, 52).

Numerous epidemiologic investigations have been done to identify factors that increase a woman’s risk of BV. The concordance of BV status in monogamous lesbian couples ranges up to 95% (53, 54), strongly suggesting that BV is sexually associated, although an infectious correlate has not been identified (55). Menses, a new sexual partner, vaginal douching, receptive oral sex, and lack of condom use are among the strongest risk factors for BV (54, 56–63). In general, women with BV have more sex partners and an earlier age of sexual debut than women without BV (62). Results of studies on the treatment of male sexual partners to reduce BV incidence and recurrence have been mixed (64–67), which may be due to methodological issues, antibiotic dosing protocols, and limited sample size.

Despite over a century of work (68), we do not fully understand how protective vaginal microbiota are maintained, why frequent shifts in microbial composition occur, or how these shifts induce changes in the vaginal environment that relate to the dramatic increase in susceptibility to such a wide range of adverse health outcomes. These questions highlight the need for longitudinal sampling of a large number of women to significantly advance our understanding of the microbiological, biochemical, molecular, and behavioral contributions to the etiology of BV.

**Bacterial diversity of the human vagina**

Molecular studies have shown that bacterial communities of the human vagina vary in species composition (28, 30, 69), and therefore it is likely that they respond differently in the context of pathologic reproductive tract disease or extrinsic disturbances during menstruation, sexual activity, or feminine hygiene practices, among other events. In a recent study by Ravel et al. of 396 asymptomatic US women equally representing four ethnic/racial groups, 282 bacterial phylotypes were observed in the mid-vagina by pyrosequencing of barcoded 16S rRNA genes (30). In 73% percent of the participants, this pyrosequencing demonstrated that the vaginal microbiome was dominated (>50% abundance) by one or more species of Lactobacillus (Figure 1). From the 282 phylotypes, Ravel et al. found the vaginal microbiota clustered into five groups of bacterial communities; four were dominated by Lactobacillus species (L. iners, L. crispatus, L. gasseri, L. jensenii), but one lacked significant numbers of lactobacilli, accounting for 27% of women. The latter group (termed group IV community state type) was characterized by a greater and more equally represented abundance of strictly anaerobic organisms, including members of the genera Atopobium, Corynebacterium, Anaerococcus, Peptoniphilus, Prevotella, and Finegoldia.

It was not a surprise that four of the five community state types described by Ravel et al. (30) were dominated by lactobacillli. Lactobacilli have long been considered to be a dominant and a protective vaginal bacterium, although the specific mechanisms by which the vaginal ecosystem restricts the growth of nonindigenous organisms are unknown. It is hypothesized that lactobacilli benefit the vagina by producing lactic acid as a fermentation product that accumulates in the environment and lowers the pH to a protective level of 4.5 or lower (70). Lactobacilli also produce bacteriocins, low–molecular weight proteins that can inhibit the growth of a variety of bacteria including G. vaginalis, reinforcing the protective role of the lactobacilli (71–73). In addition, sialidas and prolidases, proteases produced by BV-associated bacteria, affect vaginal mucosal cell exfoliation and degradation of mucus glycoproteins, which are thought to be major components of the barrier against infection (74).

Interestingly, at any given time point, the Ravel et al. data suggest that approximately one-fourth of asymptomatic reproductive-age women lack a lactobacillus-dominated microbiota and almost half have a pH greater than 4.5 (30). As mentioned above, increased pH (>4.5) is one of the diagnostic features of BV (2). Lactic acid appears to be the primary vaginal acidifier; when lactobacilli predominate, vaginal secretions have been thought to have a pH of less than 4.2 and a lactic acid concentration of approximately 0.2% (75). Furthermore, O’Hanlon et al. presented compelling evidence suggesting that if measurements of vaginal secretions are made under hypoxic conditions that mimic the vagina, pH is lower and lactic acid concentrations are higher than in data previously reported under aerobic conditions (76). Lactic acid may also prove to be more effective than acidity alone in preventing overgrowth of bacteria and acquisition of sexually transmitted organisms (77, 78). Under aerobic conditions, some lactobacilli can produce hydrogen peroxide (another inhibitor of anaerobes and other organisms), but little if any hydrogen peroxide is detectable in vaginal secretions due to the hypoxic environment and the high antioxidant capacity of vaginal secretions (78, 79).

The malodorous discharge associated with BV results from the presence of volatile amines (particularly trimethylamine) (80) thought to be metabolic by-products of anaerobic bacteria.
The amines are also associated with increased vaginal transudation and squamous epithelial cell exfoliation, which lead to the vaginal discharge seen in the BV patient. As mentioned above, a noteworthy finding from the work of Ravel et al. was that Lactobacillus sp. were not the dominant species in 27% of the women who were surveyed (30). This was an intriguing result because the participants did not report any vaginal symptoms (including malodor and discharge) on direct questioning despite having a microbial community that was similar in composition to that of women diagnosed with clinically defined BV (28, 81). Interestingly, Klebanoff et al. demonstrated in a larger study of 2,888 women that as many as 50% of women with high Nugent scores (indicative of BV) are asymptomatic (24). Of course, the limitations of self reporting vaginal symptoms are that responses may be subject to reporting biases (82) or poor recognition of symptoms. The term BV, based on Amsel criteria or Nugent scores, does not necessarily distinguish a woman’s self-reported symptomatic or asymptomatic states, adding another layer of complexity in research studies seeking to lead to an understanding of the risk factors associated with a given health outcome. The factors leading to and the adverse outcomes resulting from symptomatic BV and asymptomatic BV are not fully understood and are an active area of research. In addition, Ravel et al. found that women presenting in the low-lactobacillus community state type tended to have higher Nugent scores, and Atopobium sp., another lactic acid–producing bacteria, was often found in relatively high proportions (30). The standard that women with healthy vaginal communities are always colonized with high numbers of lactobacilli has been disputed by Forney et al. (83). This group asserted that communities that lack Lactobacillus sp. may have high numbers of other lactic acid–producing bacteria, such as Atopobium spp., Megasphaera spp., or Leptothrix spp., which may serve the same ecologic function for maintaining lactic acid and acidity in the vagina. So, while it is well established that BV-positive women lack significant numbers of Lactobacillus sp. (28, 81), questions arise: are all women in the low-lactobacillus state, with relatively diverse microbiota, at risk for reproductive tract sequelae? Or are there other factors, specific communities of bacteria or host responses that elevate risk? Epidemiologic studies have clearly shown that both clinically defined BV and high Nugent scores, regardless of vaginal symptoms, are associated with adverse health outcomes in women. However, BV remains a very broad category that includes a substantial spectrum of host responses and vaginal microbial communities. Estimates derived from such epidemiologic studies may suffer from residual confounding because women are categorized together based on Gram stain or symptomatology, and additional biological information would further refine the estimates reported in these studies. Research is further complicated by the rapid fluctuation of vaginal microbiota discussed in the next section.

Dynamics of the vaginal microbiota: another layer of complexity

Understanding the dynamics of the vaginal ecosystem would refine our knowledge of the factors associated with increased risk of adverse outcomes. Studies using molecular tools, microscopy, and culture-based methods have documented frequent and rapid fluctuations in the composition of vaginal communities (61, 63, 84–87). Verstraalen et al. reported on vaginal microbiota of pregnant women at two time points: 9 and 32 gestational weeks (88). They concluded that L. crispatus promotes the stability of normal microbiota, while L. gasseri and/or L. iners are associated with the occurrence of abnormal microbiota based on Gram-stained smears. Srinivasan et al. reported on 22 women assessed daily for 7 or 14 days and then at 2, 3, and 4 weeks using a panel of 11 bacterium-specific quantitative PCR (qPCR) assays (89). They concluded that the microbiota of the human vagina can be highly dynamic and that women without BV were colonized with Lactobacillus sp., but that levels changed dramatically over the course of a month. G. vaginalis also increased in abundance during menses. However, these genomic studies have not yet incorporated time-varying behavioral and epidemiologic information or evaluated healthy nonpregnant women. Such data are forthcoming from the NIH Human Microbiome Project (90, 91).

In a 16-week observational study of reproductive-age women with twice-weekly Nugent Gram stain scoring of vaginal smears (42), our group found that menses in the 10 days prior to vaginal sampling, vaginal lubricant use reported the day prior, and rectal sex within two days prior were associated with incidence of high Nugent score when compared with a woman’s own intervals of persistently low Nugent score (ref. 61 and Figure 2), highlighting the fluctuation in Nugent scores observed among four of the 33 women studied over 16 weeks of observation. Nine women (27%) were observed to have persistently low Nugent scores, while the Nugent scores of 16 women (48%) fluctuated (61). The duration of high Nugent scoring varied widely, but often (51% of the time) it lasted less than the sampling interval of three days. Among women who had a high Nugent score episode, the mean number of episodes observed was 8.7, for an average of approximately two high scores per month. These Gram stain studies are certainly suggestive, and several groups, including ours, have ongoing genomic studies which are investigating why the vaginal microbiota fluctuate and the outcomes associated with such fluctuations. Menstrual bleeding, the hormonal fluctuation over the menstrual cycle, sexual behaviors, hygiene practices, new sexual partners, diet, and vaginal bacterial community composition are known to be contributors to fluctuation of vaginal bacterial communities based on microscopy and cultivation-based studies (63, 92–94); genomic studies will benefit the investigation of how these behavioral and extrinsic factors affect bacterial composition and abundance.

We conclude from these longitudinal studies that the vaginal microbiota fluctuate rapidly and that the intervals of fluctuation, or the increased frequency and duration of fluctuations, may provide discrete episodes of increased risk (61). In addition, longitudinal studies reveal a weakness in the use of cross-sectional study designs: dramatic fluctuations in abundance and composition of bacterial communities are missed if samples are infrequently collected. Future studies must use longitudinal designs in which large numbers of women are frequently sampled and time-varying data on menses and behaviors are collected rigorously, so changes in vaginal community composition and their association with adverse health outcomes can be fully gauged.
Field-based longitudinal studies require self sampling
The studies described below, which find an association between vaginal microbiota and STIs, relied on vaginal specimens from the mid-vagina. Self-collected vaginal swabs of the mid-vagina are the only realistic and economical method to use for sampling in large, field-based, longitudinal studies. Asking participants to be examined by a clinician (weekly or more often) is expensive, not feasible, and results in loss to follow-up in large epidemiologic studies. Women also report greater comfort with self-collected vaginal specimens instead of clinician-collected specimens (95).

Given the need for self sampling, at question then is reliability of the data. In 2009, Kim et al. published a culture-independent study of physician-collected swabs that reported heterogeneity in microbial population across the cervix, fornix, and outer vaginal canal within a woman (96). Kim et al. also reported heterogeneity by sampling method used (scraping vs. swabbing). There were some concerns that the differences found during swabbing versus scraping were due to sampling method or perturbations during sampling. In contrast, there are several studies that suggest little differences in community composition of the mid-vagina (97–99). In a well-designed and statistically rigorous study, Forney et al. reported in 2010 that vaginal microbial community comparative statistical analyses of both T-RFLP and 16S rRNA gene sequence data sets revealed that self-collected vaginal swabs sampled the same microbial diversity as physician-collected swabs of the mid-vagina (98). Using qPCR assays of BV-associated bacteria, Menard et al. also found a high level of agreement between self-collected and practitioner-collected vaginal swabs (99). Nelson et al. demonstrated that self-collected vaginal swabs demonstrate high overall and morphotype-specific validity compared with provider-collected swabs, based on Gram stain evaluation using the Nugent criteria (97). We would expect that if there were major differences in the vaginal community composition by anatomical site, we would see differences in physician-collected versus self-collected swabs.

Vaginal microbiota and risk for STIs
Both ulcerative (syphilis, chancroid, and herpes) and nonulcerative (gonorrhea and chlamydia) STIs have been associated with
higher rates of transmission and acquisition of HIV (100–102). Numerous longitudinal studies have also demonstrated that BV-associated vaginal microbiota (defined by low-lactobacillus states upon cultivation, Gram stain of mid-vaginal smears, or clinical criteria for BV) are associated with increased incidence of STIs (5, 6, 103, 104).

In the largest longitudinal cohort study (n = 3,620 women followed quarterly for one year) to evaluate vaginal microbiota as a risk factor for incidence of STIs, the NIH Longitudinal Study of Vaginal Flora (LSVF) study team found that intermediate and high Nugent scores were associated with a 1.5- to 2-fold increased risk for incident trichomonal, gonococcal, and/or chlamydial infection (104). A limitation to the study was that assessment for vaginal microbiota occurred three months prior to the detection of the incident STI. A more rigorously designed study would require daily or weekly vaginal sampling over an extended time frame (1 year) and in a large enough cohort of women to capture a sufficient number of incident STI cases for study. Such an intensive study design would also consume substantial funding resources. While the hazard ratio reported by the LSVF was in the 1.5- to 2-fold range, it is a crude estimate that is still suggestive that on the population level, women with high Gram stain scores are at increased risk for STIs. In addition, a woman who presents with a high Nugent score or a given vaginal microbiota at a single visit will likely maintain or return to the same state on any given day during the following interval when STI exposure occurs (105). In the worst epidemiologic scenario then, the hazard ratio from observational studies with such interval-censored sampling would result in underestimation of the true risk.

The LSVF study is consistent with other longitudinal studies of vaginal microbiota and STI risk. In a longitudinal study of 657 female sex workers in Kenya followed monthly for a median of six months, Martin et al. reported that absence of vaginal lactobacilli on culture was associated with an increased risk of acquiring HIV-1 infection and gonorrhea. High Nugent score was associated with increased risk of both HIV-1 acquisition and Trichomonas infection (5). In a study of 670 women at Pittsburgh-area health care clinics, Cherpes et al. found high Nugent score was associated with a 2-fold increased risk for HSV-2 seroconversion (6). In a study of 1,140 women recruited into the GYN Infections Follow-through (GIFT) Study in the US, clusters of BV-associated vaginal microbiota identified by bacteriologic cultures were associated with development of PID (11). In vitro data also reveal that *Trichomonas* grows better at elevated pH (106), such as occurs in a clinically defined BV state (2).

In contrast to the observational studies above, a randomized trial provides the methodology to intervene and alter the vaginal microbiota and observe whether the intervention reduces adverse sequelae. Schwebel et al. randomized 107 women with both high Nugent score and lack of report of vaginal discharge or odor (“asymptomatic”) to metronidazole prophylaxis or observation alone (107). Over 12 months of follow-up, they found metronidazole treatment of women with high Nugent scores was associated with significantly fewer cases of incident *Chlamydia trachomatis* infection compared with observation alone (no antibiotic) (P = 0.02). A large randomized trial of home screening of BV to prevent acquisition of STIs is ongoing and seeks to determine whether restoring the vaginal microbiota to a stable, low pH, high lactic acid and/or lactobacillus-dominated state may help prevent acquisition of STIs upon exposure (108).

Several studies have reported on the interaction between BV-associated microbiota and HIV shedding, indicating an increased risk for transmission of HIV by women with BV. Cu-Uvin et al. reported in 2001 that BV defined by Amsel clinical criteria was significantly associated with HIV-1 RNA expression in the female genital tract compared with HIV-positive women without BV (7). Similarly, Coleman et al. reported that women with diminished *Lactobacillus* (as defined by Gram stain) had 15.8-fold greater endocervical HIV-1 RNA load than women with normal *Lactobacillus* levels (14). In a study that quantified bacteria in cervicovaginal lavage (CVL) by PCR, *G. vaginalis* count, *M. hominis* count, Nugent score, and presence of another lower genital-tract infection were associated with increased CVL HIV RNA levels (13). Lactobacilli count was inversely associated with CVL HIV RNA level, at borderline significance (P = 0.07). Of note, and in contrast with other studies, the authors did not find an association between Amsel criteria and CVL HIV RNA level, which the authors attributed to Amsel criteria being a less sensitive measure of detecting alterations of the vaginal microbiota.

Only a few molecular studies and, to date, no longitudinal molecular studies have investigated the interaction between vaginal microbiota and STIs. Using 16S rRNA gene sequences obtained by pyrosequencing, Spear et al. recently showed that there was a trend (P = 0.07) toward higher microbial diversity in the vaginal secretions of HIV+BV⊂subject$ subjects versus HIV−BV⊂women (109). A cross-sectional study of 396 asymptomatic women in the US (30) revealed a higher prevalence of *T. vaginalis* using PCR targeting the 18S rRNA and β-tubulin genes among women who were found to have low-lactobacillus community state by 16S rRNA gene analysis (110).

These molecular studies highlight the strong interaction between vaginal microbiota and acquisition of and response to STIs. While the details of how the vaginal ecosystem provides protection against STI is still an active area of research, the lack of lactic acid–producing bacteria in vaginal microbiota of women with BV in addition to the high pH and local cytokine production that accompany BV appear to be the major factors affecting STI risk (74, 111, 112). Information obtained from future longitudinal molecular studies may form the basis for new intervention strategies to prevent STIs based on the protective features of the vaginal microbiome.

**Summary**

BV is no longer considered just a nuisance condition. It is now recognized that vaginal microbiota play a major role in women’s reproductive health. There is a large body of evidence that BV, whether it is defined clinically by cultivation, microscopy, or molecular methods and whether it is asymptomatic or symptomatic, is an independent risk factor for severe reproductive tract and obstetric sequelae, including preterm delivery and low birth weight, development of PID (11), acquisition of STIs (3–6, 104), and acquisition and transmission of HIV (5, 7, 8). Refinement of the biomarkers used to measure the vaginal microbiome and a better understanding of vaginal community dynamics may lead to interventions aimed at shifting to, and maintaining, more protective microbial communities. Multidisciplinary expertise in such fields as bioinformatics, epidemiology, gynecology, immunology, infectious diseases, microbial ecology, and molecular biology is necessary to exploit the data that will be generated on the vaginal microbiome, to identify new clinical interventions, and to assess these interventions rigorously.
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