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### Research Article

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## An Isoform-specific Mutation in the Protein 4.1 Gene Results in Hereditary Elliptocytosis and Complete Deficiency of Protein 4.1 in Erythrocytes but not in Nonerythroid Cells

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#### Abstract

Multiple protein 4.1 isoforms are expressed in a variety of tissues through complex alternative pre-mRNA splicing events, one function of which is to regulate use of two alternative translation initiation signals. Late erythroid cells express mainly the downstream initiation site for synthesis of prototypical 80-kD isoforms; nonerythroid cells in addition use an upstream site to encode higher molecular mass isoform(s). In this study, we examined the effects of a 5' gene rearrangement in a family with hereditary elliptocytosis and complete deficiency of erythrocyte 4.1 protein on 4.1 isoform expression in erythroid vs. nonerythroid cells. Patient 4.1 mRNAs from reticulocytes, fibroblasts, and B lymphocytes were amplified by reverse transcriptase/polymerase chain reaction techniques and shown to exhibit a 318-nucleotide deletion that encompasses the downstream AUG, but leaves intact the upstream AUG. Immunoblot analysis revealed a total deficiency of 4.1 in patient red cells and a selective deficiency of 80-kD isoform(s) but not high molecular weight 4.1 in patient nonerythroid cells. Thus, the 4.1 gene mutation in this family produces an isoform-specific deficiency that is manifested clinically in tissue-specific fashion, such that red cells are affected but other cell types are unaffected because of tissue-specific differences in RNA splicing and translation initiation. (J. Clin. Invest. 1993. 91:77-82.) Key words: erythrocyte • alternative splicing • protein 4.1 • translation initiation • hereditary elliptocytosis

#### Introduction

Studies on red cells from patients with abnormal red cell morphology and clinically significant hemolytic anemia have focused on the structure and function of the "membrane skeleton," a highly organized network of proteins supporting the inner surface of the cell membrane. Several red cell disorders, including hereditary spherocytosis and hereditary elliptocytosis (HE),<sup>1</sup> can result from disruption of membrane function by

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1. *Abbreviations used in this paper:* HE, hereditary elliptocytosis; PBGD, porophobilinogen deaminase; PCR, polymerase chain reaction; RFLPs, restriction fragment polymorphisms.

J. Clin. Invest. © The American Society for Clinical Investigation, Inc. 0021-9738/93/01/077/06 \$2.00 Volume 91, January 1993, 77–82 mutation of the gene(s) for major membrane skeletal proteins including protein 4.1,  $\alpha$ - and  $\beta$ -spectrin, ankyrin, and band 3 (1).

Although most of these skeletal proteins were originally thought to be restricted to erythroid cells, it is now known that closely homologous proteins are also found in most nonerythroid cell types. Interestingly, the nonerythroid homologs often vary with respect to size, sequence, or subcellular location compared to the red cell forms. These different but homologous forms (isoforms) of membrane proteins may arise as products of distinct genes, or by differences in expression of a single gene in erythroid versus nonerythroid cells. One well-known mechanism for differential gene expression is alternative premRNA splicing, a process by which a single gene can generate different isoforms in different tissues.

Protein 4.1 is a key membrane skeletal protein which plays an important role in determining red cell shape and integrity through specific interactions with other skeletal and membrane components. The major form of protein 4.1 in red cells is a polypeptide of  $\sim 80$  kD, while nonerythroid cells contain a heterogeneous array of 4.1 polypeptides that range in size from 30 to 210 kD (2). Despite the size and structural variations among these proteins, all appear to be encoded by a single large gene (> 100 kb)(3). Isoform variation is generated by alternative splicing of the 4.1 pre-mRNA transcribed from this gene, a complex process in which discrete exons are either spliced in (included) or spliced out (deleted) to produce an array of mature 4.1 messenger RNAs that can be translated into different protein isoforms (Fig. 1 A) (4–7). In addition, either of two potential translation initiation sites (denoted by the trinucleotide AUG) can be used depending on whether the upstream (more 5') or downstream sites are included in the mature mRNA(5,7)(Fig. 1 B). Reticulocyte 4.1 mRNAs possess only the downstream AUG and can synthesize only the 80-kD red cell isoform, while nucleated erythroid progenitors and nonerythroid cells also contain the upstream initiation sites and synthesize larger protein 4.1 isoforms having an elongated NH2-terminal sequences (Fig. 1 B).

Mutations in the protein 4.1 gene result in hereditary elliptocytosis. To date, mutations that result in elongated or shortened 4.1 polypeptides (8-12) or deficiency of red cell protein 4.1 (10, 13–17) have been described. We have previously reported that in an Algerian family, several siblings suffer from HE and severe hemolytic anemia caused by the complete absence of erythroid protein 4.1. These patients, the offspring of a consanguinous marriage, were homozygous for a structural rearrangement of the protein 4.1 gene located around the downstream AUG codon (3). Surprisingly, although the same gene encodes protein 4.1 isoforms in erythroid and nonerythroid tissue, no clinical manifestations other than the hemolytic ane-

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Figure 1. Major isoforms of protein 4.1 mRNA and protein encoded by a single 4.1 gene. (A) An array of 4.1 mRNAs can be produced by alternative splicing of RNA transcribed from the 4.1 gene. Boxes indicate individual coding units (exons) of the gene, whose length in nucleotides is indicated above each exon; lines represent splicing events between adjacent exons. Two translation initiation signals, located in two different 5' exons, are indicated by vertical arrows. The designations a-e indicate five possible splicing pathways among exons in the region of the downstream AUG. The exact nucleotide sequence of these exons has been reported previously (5), with the exception of the 42-nucleotide exon within the 10-kD domain (40). (B) Two size classes of protein 4.1 isoforms.

The 80-kD red cell protein 4.1 is translated from the downstream AUG, while utilization of the upstream site leads to synthesis of higher molecular mass isoforms in nucleated cells. The designations 30K, 16K, etc., refer to the major structural domains of protein 4.1 produced by mild proteolytic digestion with chymotrypsin (41).

mia were observed. In this study, we examined the structure of the protein 4.1 mRNA and polypeptide in the erythroid and nonerythroid cells of one of the homozygously affected siblings. The molecular lesion was shown to involve deletion of the downstream AUG in 4.1 mRNA and the absence of protein 4.1 in red cells. In contrast, isoforms that use the upstream AUG were detected in nonerythroid cells, thus explaining the absence of manifestations in other organ systems.

#### Methods

Subjects. The clinical and laboratory findings in this Algerian family with HE caused by deficiency of erythroid protein 4.1 has already been described (15) and its molecular basis partially characterized (3). The cells used for this study were obtained from one of the siblings who has no protein 4.1 in the red blood cells and who is homozygous for a 4.1 gene rearrangement.

Immunological analysis of protein 4.1 polypeptides in erythroid and nonerythroid cells. To analyze expression of protein 4.1 polypeptides in erythroid cells, washed red blood cells were lysed in 7 mM NaCl, 5 mM Tris, pH 7.4, 1 mM EDTA, 1 mM diisopropyl fluorophosphate (at 4°C), and proteins were solubilized in 62.5 mM Tris, pH 6.8, 2.3% SDS, 10% glycerol, and 5%  $\beta$ -mercaptoethanol. Skin fibroblasts (5 × 10<sup>6</sup> cells), cultured from the patient and a normal control, were rinsed with PBS before solubilization. Protein samples were fractionated on 7.5% SDS-PAGE, transferred onto nitrocellulose membranes, stained with anti-protein 4.1 antibodies, and counterstained with peroxidase-conjugated secondary antibody. Immobilized antigens were detected by enhanced chemiluminescence method (Amersham Corp., Arlington Heights, IL).

For immunofluorescence microscopy, fibroblast cells were grown on glass coverslips, fixed and permeabilized in  $-80^{\circ}$ C acetone and then incubated sequentially in primary antibody and in FITC-conjugated goat anti-rabbit IgG.

Sequence analysis of mutant protein 4.1 mRNAs in erythroid and nonerythroid cells. Erythroid RNA was extracted from normal control and patient reticulocytes as described previously (18). Nonerythroid RNA was prepared from skin fibroblasts and EBV-transformed B lymphocytes grown in culture. Total RNA was reverse transcribed into cDNA using MuMLV reverse transcriptase and random hexanucleotide primers; 4.1 cDNA was then specifically amplified by polymerase chain reaction (PCR) techniques under the following conditions: denaturation at 94°C for 30 s, annealing at 50°C for 30 s and extension at 72°C for 1 min and 45 s with initial denaturation at 94°C for 5 min and final extension at 72°C for 10 min. PCR products were electrophoresed on 5% polyacrylamide gel and then visualized with ethidium bromide staining. For nucleotide sequence analysis, PCR fragments were cloned into the EcoRI site of the plasmid vector Bluescript (Stratagene, La Jolla, CA) and sequenced using Sequenase (U.S. Biochemical Corp., Cleveland, OH) according to the manufacturer's specifications. Primers used in this study were as follows: sense strand = 5'-CAAAA-CAGACCCATCTTTGGATCTTCATTC-3'; antisense strand = 5'-TGTAAAATTCCAAGGGACACCACGAACCTG-3'.

#### Results

Immunological detection of protein 4.1 in nonervthroid tissues. We have previously shown that protein 4.1 is completely absent from the HE patient's red cells (15). To test for the presence of protein 4.1 in the patient's nonerythroid cells, we stained cultured fibroblasts with antibodies directed against different domains of the protein. Fig. 2 shows phase contrast photomicrographs of normal (A) and patient (D) fibroblasts, as well as immunofluorescence images of these cells stained with two different anti-4.1 antibodies. Antibody directed against a COOH-terminal domain of the protein (anti 24-2) fluorescently labeled both fibroblast samples (Fig. 2, B and E), indicating that patient fibroblasts do contain protein 4.1 isoform(s). Furthermore, antibody directed against the NH<sub>2</sub>-terminal extension (anti-N-1) also stained these fibroblasts (Fig. 2, C and F). This latter result demonstrates that the protein 4.1 isoforms present in patient fibroblasts arose from translation initiation at the upstream AUG, and predicts that they contain species larger than the 80-kD isoforms found in red cells.

To examine the molecular masses of protein 4.1 isoforms expressed in patient red cells and fibroblasts, we used an immunoblotting procedure on proteins that had been size-fractionated by PAGE (Fig. 3). Normal red cells exhibited immunologically crossreacting species of  $\sim 80 \text{ kD}$  (lane 1), but these proteins were absent from patient red cells (lane 2). Normal fibroblasts expressed several 4.1 isoforms including a major species of  $\sim 80 \text{ kD}$  that comigrated with the major red cell isoform (*arrow*), as well as higher and lower molecular mass isoforms (lane 3). In contrast, patient fibroblasts expressed no 80-kD 4.1 protein, but still contained the other isoforms (lane 4).

Structure of mutant protein 4.1 mRNA in reticulocytes and in nonerythroid cells. RNA analysis was focused on the region surrounding the downstream AUG, since our earlier Southern blotting studies indicated that this region of the gene was rearranged (3). Fig. 4 B (lane 1) shows that PCR amplification of 4.1 cDNA from normal reticulocytes yielded several DNA



extension. Neither fibroblast sample exhibited immunoreactivity when stained with preimmune rabbit IgG (not shown). The reason for the qualitative differences in the observed staining pattern is unclear at this time and may be related to effects of the mutation or perhaps even to variations in cell density during culture; however, the important point for the current study is that the patient sample clearly has protein 4.1 present. bands, representing alternatively spliced mRNA isoforms designated a-e (see Fig. 1 A). The most abundant isoform in normal cells is isoform b, which includes the downstream AUG. Normal cells also contain small amounts of 4.1 mRNA which has deleted the downstream AUG as a consequence of alternative splicing (isoform e). In contrast, 4.1 cDNA amplified from the HE patient yielded only PCR product e (Fig. 4 B, lane 2). DNA sequence analysis of this product revealed a 318-nucleotide deletion caused by the absence of two exons, including the one encoding the downstream AUG. Since this downstream site is required for translation initiation in late erythroid cells, the HE reticulocytes are incapable of synthesizing 80-kD protein 4.1.

The structure of protein 4.1 mRNA was next examined in both normal and HE fibroblasts, using an identical PCR strategy to amplify the region around the downstream AUG. As with reticulocytes, the normal RNA sample yielded predominantly isoform b (Fig. 4 B, lane 3), while the patient sample exhibited only isoform e lacking the downstream AUG (Fig. 2 B, lane 4). When PCR was repeated using oligonucleotide primers specific for the upstream AUG, normal and patient fibroblast 4.1 mRNA amplified equally well, suggesting that they retain the potential to express high molecular 4.1 isoforms (not shown). These results, loss of the downstream AUG coupled with retention of the upstream site in 4.1 mRNA, are consistent with the immunological data showing that patient fibroblasts have selectively lost expression of 80-kD isoforms, but not higher molecular mass isoforms (Fig. 3).

#### Discussion

The protein 4.1 gene is differentially regulated by a complex pattern of RNA splicing, as illustrated in Fig. 1. The major component in the red cell is an 80-kD protein translated from the downstream AUG. In contrast, nucleated cells, including immature erythroid precursors in the bone marrow, as well as nonerythroid cell types, use an upstream translation initiation site to produce larger 4.1 isoforms having NH<sub>2</sub>-terminal extensions of 209 amino acids. While the mature 80-kD isoform is an essential component of the membrane skeleton, where it



which differ slightly in molecular mass because of posttranslational modification. The results shown in this figure were confirmed with two additional antipeptide antibodies directed against other domains of protein 4.1.

plays an important role in maintaining red cell morphology and plasticity, the functions of the other 4.1 isoforms are not yet precisely known. Immunostaining of nucleated cells has shown that the larger isoforms appear localized to specific intranuclear structures including the centriole, and may be a component of a nuclear skeleton (19). In contrast to the sole manifestation of hemolytic anemia produced by 4.1 deficiency in red cells, we speculate that deficiency of nuclear 4.1 isoforms may disrupt some vital function required for maturation of all nucleated cells, and that homozygosity for such a mutation would be incompatible with life.

In the patient we studied, a gene rearrangement around the downstream AUG resulted in selective loss of 80-kD isoforms of 4.1 in erythroid and nonerythroid cells. Fig. 5 illustrates how the mutation produces this unusual phenotype. The upper panel represents a portion of the 4.1 gene containing the two translation initiation sites in two different 5' exons. The sequences shaded in grey are rearranged in the mutant gene and deleted from the corresponding mature mRNAs shown in the lower panel. Since the deletion encompasses only one of the two potential translation initiation sites, an isoform-specific deficiency arises in which 80-kD protein 4.1 synthesis is blocked, but other isoforms translated from the upstream site are unaffected. Reticulocyte 4.1 mRNA is not translatable because of the absence of a functional translation initiation site (the upstream site being excluded by splicing, the downstream site by mutation). Terminally differentiating red cells are thus completely deficient in the 80-kD 4.1 protein required to mechanically stabilize the red cell membrane, leading to the altered elliptical morphology and hemolytic anemia characteristic of HE. In contrast, fibroblast 4.1 mRNA contains the upstream AUG and retains the capability to synthesize other isoform(s) bearing an extended NH<sub>2</sub> terminus. These isoforms probably retain most of the protein 4.1 domains required for nuclear function.

The precise nature of the mutation in the protein 4.1 gene responsible for the unusual phenotype is not known. In a previous study, we showed that several restriction fragment length polymorphisms (RFLPs) cosegregated with HE and protein 4.1 deficiency in this family (3). These RFLPs, which have not been observed in other normal individuals, mapped to a 5' region of the gene around the downstream AUG. The most obvious interpretation of these results was that the mutant 4.1 gene had undergone a rearrangement, possibly involving a genomic deletion of one or more exons. Using radiolabeled single exon probes for hybridizing to genomic Southern blots, we have recently determined that the exon encoding the downstream AUG, although detected within an abnormal restriction fragment, is not deleted (results not shown). Nondeletional mechanisms that might produce an "exon-skipping" phenotype include at least two obvious possibilities. First, a gross genomic inversion spanning the affected exons would alter the regional restriction map and place the exons in an antisense orientation with respect to the remainder of the gene. During pre-mRNA processing, exons in the inverted region would be skipped because the splicing signals would all be inverted as well. Alternatively, even a relatively small deletion/rearrangement in an adjacent intron could, if it altered critical splicing signal(s), block splicing of neighboring exons and produce the same exon skipping phenotype. In the case of the downstream AUG, this exon is skipped at a low frequency, even during normal 4.1 pre-mRNA processing, and a splicing mutation could alter the balance so as to produce a very high frequency



Figure 4. Amplification of 4.1 mRNA sequences flanking the downstream AUG. (A) The top panel represents a composite 4.1 mRNA showing untranslated sequences (UT), the 80-kD coding sequences (wide box), and the NH<sub>2</sub>-terminal extension of high molecular mass isoforms (*narrow box*). The brackets enclose the region implicated in earlier studies as being deleted or rearranged in the mutant 4.1 mRNA. Arrowheads indicate the position of oligonucleotides used to amplify 4.1 mRNA sequences. (B) PAGE of the amplified DNA fragments. The designations b-e correspond to the isoforms shown in Fig. 1; isoform a amplifies very poorly and is not shown.

of skipping. Our results cannot unambiguously distinguish between these possibilities. However, the abundance of observed RFLPs (reference 3; data not shown) suggests that the rearrangement involves kilobases of DNA rather than a small sequence change near intron/exon boundaries.

Characterization of red cells from other HE individuals performed in several laboratories around the world indicate that defects in 4.1 function commonly underlie the HE phenotype. Two broad classes of mutation have been observed. One class, sometimes referred to as 4.1(-)HE, is characterized by quantitative deficiencies of protein 4.1 (10, 13–17). Generally these are only partial deficiencies and thus affected individuals do not suffer the severe hemolytic anemia observed in the homozygous deficient Algerian family members. In the other class of mutation, 4.1(+)HE, 4.1 protein is present but is qualitatively altered in structure (8–11, 20). Biophysical studies show that both classes of mutation decrease membrane stability and deformability (11, 12, 15, 20). Molecular analyses of protein 4.1 mutations have revealed an interesting spectrum of genetic defects affecting protein 4.1 gene expression, including apparent duplication and deletion of internal exons (8-11, 20).

Given the complex patterns in which many human genes are regulated, the potential for genetic mutations exhibiting pleiomorphic phenotypic effects is enormous. Even in the relatively uncomplicated red cell membrane, multiple examples of alternatively spliced genes exhibiting differential expression in erythroid versus nonerythroid cells have been found, including  $\beta$ -spectrin (21), ankyrin (22), band 3 (23), band 4.2 (24), and glycophorin C (25). Defects in these genes are known to produce disorders affecting red cell morphology and mechanical integrity, and may in some cases exhibit abnormal phenotypes in nonerythroid tissues as well. For example, deficiency of the erythroid ankyrin protein can generate spherocytosis in humans and normoblastosis in mice (26, 27). The erythroid ankyrin gene is spliced in several different patterns and is expressed in brain as well as erythroid cells. Interestingly, mice with the normoblastosis mutation have recently been shown to exhibit deficiency of certain ankyrin isoforms in brain in concert with neurologic abnormalities (28, 29). In the case of  $\beta$ spectrin (21), this protein is abnormal or deficient in a subset of human elliptocytosis patients and in the mouse mutant known as *jaundiced*. Since the  $\beta$ -spectrin gene is spliced differently in erythroid versus muscle cells, the potential exists for regulatory mutations which could exhibit unusual phenotypes.

Beyond the red cell membrane, alternative splicing has been described in a wide variety of other genes, some of which are also differentially expressed in erythroid vs. nonerythroid cells. One of the first reported examples involves the gene encoding porphobilinogen deaminase (PBGD), the third enzyme in the heme biosynthetic pathway. A variant form of acute intermittent porphyria, characterized by normal red cell levels of the PBGD enzyme, can be generated by a mutation having an effect converse to that described in this paper. That is, expression of an upstream AUG required for translation of nonerythroid PBGD is disrupted, while a downstream AUG used in erythroid cells is expressed normally (30, 31).

Many other variations on this theme are likely to be uncovered in the future, as the list of known alternatively spliced genes has grown enormously in the past few years. Vital intracellular structural proteins such as tropomyosin (32), myosin (33), and troponin (34), cell surface integrin (35) and growth factor receptors (36), cellular proto-oncogenes (37–39), and numerous others can be spliced in tissue- or development-specific patterns. Since many of these genes are required for cellular function, mutations that totally block gene expression



Figure 5. Model of the isoform-specific deficiency encoded by the aberrant 4.1 gene. The upper panel shows a 5' portion of the 4.1 gene, including the exons encoding the upstream and downstream AUGs. In the mutant gene, exons in grey are not spliced into 4.1 mRNAs. Reticulocyte 4.1 mRNA thus lacks any functional translation initiation site and cannot make any protein 4.1. Fibroblast 4.1 mRNA retains the upstream AUG and can therefore synthesize high molecular mass 4.1 isoforms with the NH<sub>2</sub>-terminal extension. might well be lethal. However, mutations in alternatively spliced exons can have more subtle effects. At the molecular level, genetic alterations of this type might disrupt expression of isoforms only in selected tissues; patients inheriting such a mutation might well exhibit an unusual phenotype with tissuespecific manifestations.

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