

# Quantitation of G<sub>0</sub> and G<sub>1</sub> Phase Cells in Primary Carcinomas

## Antibody to M<sub>1</sub> Subunit of Ribonucleotide Reductase Shows G<sub>1</sub> Phase Restriction Point Block

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### Abstract

Human cancers have an apparent low growth fraction, the bulk of cells presumed to be out of cycle in a G<sub>0</sub> quiescent state due to the inability in the past to distinguish G<sub>0</sub> from G<sub>1</sub> cells. The allosteric M<sub>1</sub> subunit of ribonucleotide reductase (M<sub>1</sub>-RR) is constitutively expressed by cycling cells (i.e., G<sub>1</sub>, S, G<sub>2</sub>-M). It is acquired during transition from G<sub>0</sub> to G<sub>1</sub>, lost during exit to G<sub>0</sub> and thus distinguishes G<sub>0</sub> from G<sub>1</sub> cells.

To estimate the proportion of G<sub>0</sub> and G<sub>1</sub> cells in primary human breast ( $n = 5$ ) and colorectal ( $n = 12$ ) adenocarcinomas, we used both analytical DNA flow cytometry (ADFC) and immunoperoxidase staining of sections with the monoclonal antibody to M<sub>1</sub>-RR (Mab M<sub>1</sub>-RR). ADFC of fresh tumors revealed a low percentage of cells in the S phase ( $4.0 \pm 3.4\%$ ) but immunoperoxidase staining for M<sub>1</sub>-RR revealed an unexpectedly high proportion of positive cells ( $52.4 \pm 12.7\%$ ) in the G<sub>1</sub>, S, G<sub>2</sub>-M phases indicating a high G<sub>1</sub> content of primary human tumors. Thus, human cancers are blocked in transition in G<sub>1</sub> and are not predominantly in a G<sub>0</sub> or quiescent differentiated state. This block was interpreted to mean that human cancers are responding to putative regulatory events at a restriction point in the G<sub>1</sub> phase, such as relative growth factor deficiency, density inhibition, antiproliferative cytokines, or gene products.

Using flow cytometry for both DNA and M<sub>1</sub>-RR content we found that human colon cancer cell lines arrest in the G<sub>1</sub> but not G<sub>0</sub> phase upon serum deprivation or density inhibition. Similarly, human breast cancer cell lines are arrested in G<sub>1</sub> but not G<sub>0</sub> phase by medroxyprogesterone acetate (MPA) or tamoxifen exposure. These findings match our *in situ* observations, and support the concept of a restriction point block in primary human tumors. (*J. Clin. Invest.* 1991; 87:519-527.) Key words: tumor • growth • kinetics • differentiation • inhibition

### Introduction

The cell cycle status of human cancers *in vivo* is poorly understood. Human cancers have been considered to have a low

growth fraction and proliferation rate, with a relatively small percentage of cells in the S phase compared to cultured cells in log phase growth (1-6). The bulk of tumor cells have been presumed to be "quiescent", i.e., out-of-cycle or in a G<sub>0</sub> state (7), reflecting our inability in the past to distinguish G<sub>1</sub> from G<sub>0</sub> cells.

The division of the cell cycle into specific phases (e.g., G<sub>1</sub>, S, G<sub>2</sub>-M) to describe the temporal relationship between DNA replication and cell division remains a focus for research in cell kinetics. Control of cell proliferation is believed to be achieved by modulating the progress of cells through G<sub>1</sub> and hence into the S phase (8, 9). Various metabolic perturbations may inhibit passage through G<sub>1</sub> or produce entry into a "quiescent" or G<sub>0</sub> state, although no biochemical events have been identified that uniquely characterise the G<sub>1</sub> period, nor distinguish it from G<sub>0</sub> (10). The molecular nature of the switch(s) that regulate the transit of cells from either G<sub>0</sub> or previous mitosis (M), through G<sub>1</sub> to the initiation of DNA replication remain obscure. When a cell moves into a quiescent and/or terminally differentiated state from G<sub>1</sub>, it clearly enters a different metabolic state. This state (G<sub>0</sub>) has not yet been given a precise molecular, biochemical, or genetic definition. The roles of regulatory processes such as oncogenes, growth factors, and their receptors in cell growth and reproduction have been extensively studied. In the past responses to putative G<sub>0</sub> and G<sub>1</sub> regulatory events could only be assessed by measuring events in the S phase such as DNA replication itself or increases in enzymes associated with DNA replication but such events are considerably downstream in the cycle and separated from G<sub>0</sub>-G<sub>1</sub> by at least several hours. This fact has bedeviled attempts to establish a nexus between cycle regulatory events, functional responses, and the mechanisms by which these are achieved.

As part of ongoing studies on cell cycle control we have developed methods to distinguish G<sub>1</sub> cells from those in the G<sub>0</sub> cell cycle phase, in primary human cancers and in tumor cell lines. Ribonucleotide reductase (RR)<sup>1</sup> catalyzes the first unique, rate-limiting step in DNA synthesis; both its large (M<sub>1</sub>) allosteric and small (M<sub>2</sub>) active site subunit are necessary for activity. Whereas direct studies of M<sub>2</sub> expression have previously shown a tight correlation with S phase, the kinetic features of M<sub>1</sub>-RR expressing cells have remained ill defined (11). Therefore, using immunofluorescence flow cytometry and the Mab M<sub>1</sub>-RR, we previously analysed changes in whole-cell M<sub>1</sub>-RR levels and DNA content during various cell cycle and differentiation events (12). The M<sub>1</sub>-RR, a protein of M<sub>r</sub> 170,000 dimer binds nucleotide substrates and allosteric

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1. Abbreviations used in this paper: ADFC, analytical DNA flow cytometry; BUdR, 5-bromo-2'-deoxyuridine; IMPO, PAP 4-layer immunoperoxidase; MPA, medroxyprogesterone acetate; M<sub>1</sub>-RR, M<sub>1</sub> subunit to the ribonucleotide reductase; PCNA, proliferating cell nuclear antigen.

effectors (deoxyribonucleoside triphosphates, dNTP) of the active site  $M_2$  subunit of ribonucleotide reductase. In asynchronous exponential cultures  $M_1$ -RR levels are sustained throughout the cell cycle, including  $G_1$  phase when  $M_2$ -RR levels and ribonucleotide reductase catalytic activity are known to be very low. By contrast  $M_1$ -RR is virtually absent from quiescent lymphocytes but is expressed following mitogenic stimulation, in  $G_1$  phase before S phase cells appear.  $M_1$ -RR declines to low levels in "plateau phase" cultures, the major reduction in  $M_1$ -RR expression occurring in cells with 2N ( $G_0$ - $G_1$ ) DNA content. HL-60 promyelocytic leukemia cells, induced into either myeloid or monocyte-macrophage differentiation, show a similar marked decrease in  $M_1$ -RR levels concomitant with the cessation of cell division, i.e., on entering  $G_0$ . We concluded that the  $M_1$ -RR subunit of ribonucleotide reductase is constitutively expressed by cycling cells. It is synthesized during stimulated transition from  $G_0$  to  $G_1$  and is lost during exit to  $G_0$  or terminal differentiation. This pattern of expression suggested that the determination of cellular  $M_1$ -RR content would be useful in distinguishing proliferating (including  $G_1$ ) from quiescent ( $G_0$ ) cells *in vivo* (13). In view of this we considered that quantitation of  $G_1$  and  $G_0$  in human tumors would yield information of considerable biologic and clinical value.

To differentiate cells in the  $G_0$  from  $G_1$  phase we studied fresh primary human colorectal and breast adenocarcinomas by immunoperoxidase staining of sections using MAb  $M_1$ -RR, combined with ADFC of dispersed nuclei. This revealed an unexpectedly high percentage of cells apparently in  $G_1$  phase associated with a low S phase content suggesting that human cancers are blocked at a putative "restriction" point in  $G_1$ . We have interpreted this block to mean that human cancers *in vivo* may be responding to a series of (undefined) regulatory factors acting predominantly in the  $G_1$  phase, e.g., contact inhibition, relative growth factor (or receptor) deficiency or antiproliferative cytokines or gene products. We have also studied *in vitro*, cell culture models to explore mechanisms of  $G_0$ - $G_1$  transit and control by metabolic modulation and the results support the above hypothesis.

## Methods

### Immunohistochemistry

(a) *Fresh tissue specimens.* Macroscopically viable colorectal ( $n = 12$ ) and breast ( $n = 5$ ) tumor tissue was obtained from fresh surgical specimens, including macroscopically normal colorectal mucosa, obtained from resection margins away from the tumor. Rectal mucosal biopsies were obtained at colonoscopy by Dr. F. Macrae from three healthy human volunteers as part of a separate but concurrent project (The Australian Polyp Prevention Project) and placed immediately in ice-cold 10% FCS (Flow Laboratories, Sydney, Australia) in RPMI 1640 culture medium (Flow Laboratories).

(b) *Fixation for  $M_1$  subunit of the ribonucleotide reductase ( $M_1$ -RR).* For the detection of the  $M_1$ -RR antigen, 2-mm-thick tissue slices were fixed in 4% paraformaldehyde at room temperature for 2 h; left overnight in a solution of 7.5% sucrose in PBS at 4°C, and embedded in OCT (Miles Laboratories Inc., Naperville, IL) before freezing in isopentane chilled in liquid nitrogen. Cryostat sections of the tissue of 2–3  $\mu$ m in thickness were cut at –20°C for immunoperoxidase studies.

(c) *Incubation with 5-bromo-2-deoxyuridine (BUDR).* The mucosal biopsy specimens were placed on pieces of Millipore paper (Millipore Corp., Bedford, MA), mucosa side up, rinsed twice in 10  $\mu$ M BUDR in 10% FCS in RPMI 1640 and incubated with 5 ml of 10  $\mu$ M BUDR (Sigma Chemical Co., St. Louis, MO) in 35-mm petri dishes.

The incubations for 2 h were performed in an anaerobic jar in 5%  $CO_2$  and 95%  $O_2$  at 1.6 atm pressure, at 37°C, protected from light. The mucosal strips were then rinsed in PBS, fixed in Carnoy's fixative for 2 h, and processed to paraffin blocks.

Paraffin sections 2–3  $\mu$ m thick were cut serially, 20  $\mu$ m apart and mounted onto slides. Before immunohistochemical staining, the sections were placed in a 60°C oven for 30 min. The sections were further dewaxed in two changes of xylene and rehydrated through two changes of absolute alcohol and one change of 70% (vol/vol) alcohol for 3–5 min in each solution. After a rinse in tap water, the sections were treated with 1 M HCl at 60°C for 8 min (14). The slides were rinsed well in tap water, before immunohistochemical staining.

(d) *PAP four-layer immunoperoxidase (IMPO) method.* The immunoperoxidase procedure used was based on a method developed by Sternberger (1979) (15). Sections were incubated with 10% noninactivated swine serum (Commonwealth Serum Laboratories, Melbourne, Australia) and 1% FCS in PBS (diluent 1) for 45 min to block nonspecific protein binding before incubation for 2 h with the primary antibody of interest. The MAb  $M_1$ -RR (In Ro Biomedtek, Umea, Sweden) was diluted to 50  $\mu$ g/ml and the MAb BUDR (Becton Dickinson, CA), 1:5, in diluent 1. The slides were given 3  $\times$  5-min washes in PBS followed by a 15-min incubation with a 1/100 dilution of rabbit anti-mouse antibody (Dakopatts A/S, Glostrup, Denmark), in 10% noninactivated swine serum, 1% FCS, 1% pooled human AB sera in PBS (diluent 2). Following 3  $\times$  5-min rinses in PBS (pH 7.4), the sections were treated with 0.9%  $H_2O_2$  (wt/vol) in PBS (pH 7.4) for 30 min, to block endogenous peroxidase activity. After 2  $\times$  5-min rinses in PBS, the sections were incubated for 30 min in a 1/40 dilution of swine anti-rabbit antibody (Dakopatts A/S) in diluent 2. Following 3  $\times$  5-min rinses in PBS (pH 7.4), the sections were incubated for 30 min in a 1/40 dilution of rabbit peroxidase-anti-peroxidase complex (Dakopatts A/S) in diluent 2. 3  $\times$  5-min rinses followed, in PBS buffer. Demonstration of the peroxidase complex was achieved by incubating the slides for 5 min in 0.06% (wt/vol) 3,3'-diaminobenzidine tetrahydrochloride (Sigma Chemical Co.) in PBS with 0.03% (wt/vol) of hydrogen peroxide ( $H_2O_2$ ). The slides were counterstained in Lillie-Mayer's hematoxylin (16), cover slipped, and mounted in DePeX (BDH).

(e) *Analysis of immunohistochemically stained sections.* The percentage of positive cells stained with the  $M_1$ -RR antibody in the tumor sections was evaluated by manual counting of the cells from color photomicrographs projected onto a screen. The areas to be counted were photographed at 400 $\times$  magnification as follows. Parallel lines 0.5 cm apart were marked on the underside of the histological glass slide over the tissue section. Another set of lines were then drawn perpendicular to the first set of lines, also 0.5 cm apart. Using these lines as a guide, every alternate square was photographed without any overlap between adjacent frames, to avoid counting cells twice. Once all the frames on a line were photographed, photography would commence on the next line, and similarly along the other set of perpendicular lines. Overlapping would occur again where the two perpendicular lines cross each other, and at areas where these perpendicular lines were encountered, this frame was ignored and photography was resumed only at the next frame.

Based on their staining intensity the cells were manually scored, as "++" (very positive), "+" (clearly positive but less intense), and "–" (negative) for the  $M_1$ -RR antigen. The total number of cells counted per sample for the  $M_1$ -RR antigen ranged from 1,725 to 11,702 cells, with an average of 5,625 cells.

### Flow Cytometric DNA Analysis

(a) *Preparation of archival material.* A representative paraffin block was chosen from the tumors studied immunohistochemically to include the invasive margin of the tumor and the maximum amount of viable tumor tissue. For each case, a nuclear suspension was prepared from the block, their DNA content stained and analyzed, using the method developed by Hedley et al. (17). The pellet was resuspended and washed in PBS (pH 7.2) at 1,500 rpm, 20°C for 10 min before

staining with propidium iodide (PI; Calbiochem-Behring Corp., La Jolla, CA) at a concentration of 50  $\mu\text{g/ml}$  in RPMI 1640 culture medium. Approximately  $1 \times 10^6$  nuclei were stained per milliliter of dye solution for 30 min at room temperature before their fluorescence was analyzed.

(b) *Preparation of cell culture samples.* The portion of cells allocated for ADFC harvested from cell cultures were fixed in 25% (vol/vol) ethanol and stored at 4°C in the fixative until the commencement of staining with PI. After a wash in PBS, the cell pellet was incubated in 100  $\mu\text{l}$  of 1 mg/ml ribonuclease 1A (Pharmacia LKB, Uppsala, Sweden) in PBS at 37°C for 20 min. Approximately  $1 \times 10^6$  cells were stained with 50  $\mu\text{g/ml}$  PI with 0.2% Triton X-100 (BDH) for at least 10 min before any flow cytometric analysis.

(c) *Analytical DNA flow cytometric analysis (ADFC).* Samples stained with PI were analyzed using a standard EPICS 751 Flow Cytometer (Coulter Electronics Inc., Hialeah, FL) equipped with an argon laser (Coherent Inc., Palo Alto, CA). Dye excitation was achieved at 488 nm wavelength with 500 mW power. Filter combinations for measuring red linear fluorescence were a 488-nm dichroic mirror and a 575-nm band pass filter. The fluorescence intensities of at least  $10^5$  nuclei per sample were measured on a linear scale of 256 channels and the data displayed and stored as a single parameter histogram, using a MDADS/86 V 2.0 software package (Coulter Electronics Inc.).

The cell cycle distribution based on DNA content derived from the archival material of the breast and colorectal carcinomas were analyzed on an IBM AT compatible computer using the Cytologic software package (Coulter Electronics Inc.). This allowed enumeration of cells in the  $G_0$ - $G_1$ , S, and  $G_2$ -M compartments.

### Culture and Treatment of Tumor Cell Lines

The human colonic adenocarcinoma HT-29 cell line (18), was a gift from Ms. Virginia Leopold (Peter MacCallum Cancer Institute, Melbourne, Australia), while the human breast adenocarcinoma cell lines, MCF-7 (19) and T-47D (20), were kindly given by Dr. R. Sutherland (Garvan Institute, Sydney, Australia).

All cell lines were cultured in 20 mM Hepes-buffered RPMI 1640 culture medium (pH 7.2) which was supplemented with 9.5 mM  $\text{NaHCO}_3$  (BDH), 2 mM glutamine (BDH), 50 IU/ml penicillin, and 50  $\mu\text{g/ml}$  of streptomycin (Flow Laboratories) and FCS. The HT-29 cell line was cultured in 15% (vol/vol) FCS in RPMI 1640 culture medium, whereas the other cell lines were grown in 10% (vol/vol) FCS in RPMI 1640; the T-47D and MCF-7 cells were further supplemented with 12.5  $\mu\text{g/ml}$  bovine pancreatic insulin (Commonwealth Serum Laboratories). All cultures were maintained at 37°C in a humidified atmosphere of 5%  $\text{CO}_2$  and 95% air.

The population doubling times for the cell lines in log phase growth were ~ 20–24 h except for the MCF-7 cell line, which doubled every 40–48 h.

For the density inhibition and serum deprivation experiments, the HT-29 cells were subcultured at densities of  $8 \times 10^4/\text{cm}^2$ , and for the former, cells were allowed to grow to confluence. In this experiment, by 3 d, 75% confluence was reached and thereafter, the medium was renewed daily in these flasks; for the serum deprivation experiments, 24 h after subculturing, the 15% FCS in RPMI 1640 media was replaced with RPMI 1640 with all the supplements described above but omitting the FCS.

Tamoxifen (ICI Pty Ltd., Melbourne, Australia) was added to the MCF-7 cells at a concentration of 5  $\mu\text{M}$  and MPA (Farmitalia Carlo-Erba Pty Ltd., Melbourne, Australia) to T-47D cells at concentration of 0.1 nM, 24 h after subculturing at densities of  $5 \times 10^5$  cells/175  $\text{cm}^2$  flask. No further addition of the drugs to the cells occurred throughout the length of the 4-d experiment.

### Flow Cytometric Immunofluorescence Labeling Using the Monoclonal Antibody to the $M_1$ Subunit of the Ribonucleotide Reductase

The portion of cells for flow cytometric immunofluorescence was washed in PBS and the cell pellet fixed in 4% paraformaldehyde for 5

min. The cells were permeabilized in 0.1% Triton X-100 (BDH) solution for 20 min, and resuspended in an ice-cold solution of 1% BSA (Sigma) and 1% Tween-20 (BDH) in PBS. The cells were stored in this solution at 4°C until the commencement of immunofluorescent staining.

The cell pellet with 100  $\mu\text{l}$  of the MAb  $M_1$ -RR, diluted to 100  $\mu\text{g/ml}$  in 1% BSA in PBS (diluent buffer) was incubated for 1 h at 37°C in a shaking water bath, set at 20 rpm. No more than  $2 \times 10^6$  cells were stained per 100  $\mu\text{l}$  of antibody. The cells were washed three times in 4 ml of 1% BSA and 1% Tween-20 in PBS (wash buffer) and incubated at 37°C in a shaking water-bath set at 20 rpm, for 1 h in 100  $\mu\text{l}$  of FITC-conjugated sheep anti-mouse F(ab)<sub>2</sub> fragment (NEN Research Products, Du Pont Co., Wilmington DE) diluted 1:40 with the diluent buffer. The FITC-labeled cells were given three washes in buffer, and resuspended in 1 ml of PBS for flow cytometric analysis. The samples were analyzed on the EPICS 751 flow cytometer. Fluorescein excitation was achieved using an argon laser, at wavelengths of 488 nm, 500 mW power. The filter combinations used were a 488-nm dichroic mirror and a 525-nm bandpass filter. Single parameter log green fluorescence signals were measured and recorded over a three-log range at a resolution of 85 channels per decade. The software package used for the analyses was the MDADS/86 V 2.0 (Coulter Electronics Inc.).

### Dissection of the Cell Cycle into Separate $G_0$ , $G_1$ , S, $G_2$ -M Compartments

The  $M_1$ -RR antigen is present in cells that are in the  $G_1$ , S,  $G_2$ -M phases but not in cells that are in the  $G_0$  phase of the cell cycle. Therefore, a differential cell count as described above permits the estimation of (a) the percentage of cells in the  $G_0$  phase which stains negative for the  $M_1$ -RR antigen, and (b) the percentage of cells which stains positive for the  $M_1$ -RR antigen representing all the cells in  $G_1$ , S,  $G_2$ , and M phases. By combining the two different techniques of estimating the number of cells containing the  $M_1$ -RR antigen and ADFC on the same specimen, the percentage of cells in  $G_1$  was obtained by

$$G_1 = G_0 - G_{1(\text{ADFC})} - G_{0(M_1-RR)},$$

where  $G_1$  = derived percentage of cells in the  $G_1$  compartment,  $G_0 - G_{1(\text{ADFC})}$  = percentage of cells in the  $G_0$ - $G_1$  compartment, as derived from analytical DNA flow cytometry, and  $G_{0(M_1-RR)}$  = percentage of cells in  $G_0$ , as derived from immunohistochemical methods, using the MAb  $M_1$ -RR.

### Statistical Analyses

All data referring to the percentage of  $M_1$ -RR-positive, S phase and  $G_1$  phase cells, and the  $G_1$ /S ratios of the breast and colorectal tumors are presented as mean  $\pm$  SD. The statistical significance of differences between groups was determined using the *t* test and Mann-Whitney test.  $P > 0.05$  was considered not significant.

## Results

### Proliferation Kinetics of Normal Human Rectal Mucosa

(a) *Use of monoclonal antibody to  $M_1$  subunit of ribonucleotide reductase.* The longitudinal section of a crypt from normal human rectal mucosa stained immunohistochemically with the MAb  $M_1$ -RR using the IMPO method is shown in Fig. 1.  $M_1$ -RR is clearly located in the cytoplasm of cells lining the crypt. A small cluster of cells at the base of the crypt which did not stain for the  $M_1$ -RR, appear to correspond to stem cells in  $G_0$ .

The staining intensity was most intense in the lower one-third of the crypt and gradually faded towards the upper portion of the crypt. The lower two-thirds of the crypt where staining for the  $M_1$ -RR occurred and the base of the crypt, comprised the "proliferative compartment" (Fig. 1 A), whereas the upper one-third of the crypt where no staining for the  $M_1$ -RR

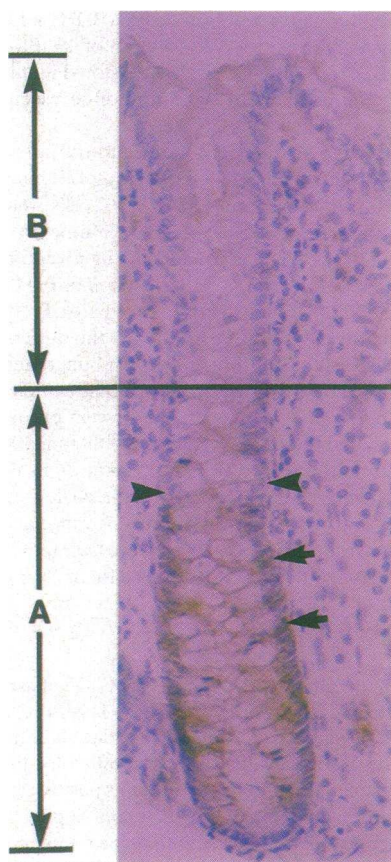


Figure 1. A full crypt from normal, human rectal mucosa, stained immunohistochemically with the MAb M<sub>1</sub>-RR using the IMPO method. Region A represents the proliferative compartment, region B, the nonproliferative compartment. (Arrows) Cells staining positive for M<sub>1</sub>-RR and (arrowheads) negative cells. Magnification, 256.

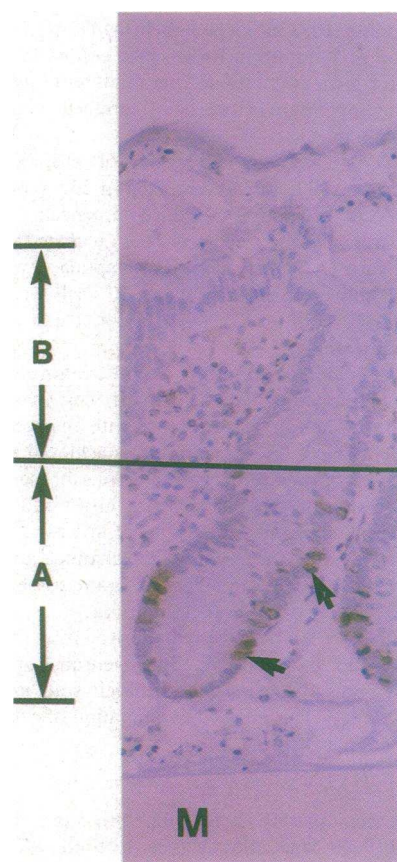


Figure 2. A full crypt from normal, rectal mucosa which has been labeled with BUDR, under hyperbaric conditions. Regions A and B are the proliferative and nonproliferative compartments, respectively. (Arrows) BUDR labeled cells. (M) Millipore paper. Magnification, 256.

occurred, comprised the "nonproliferative/differentiated" compartment region "B" (Fig. 1 B). These findings were made in normal colonic epithelium obtained from surgically resected specimens and confirmed in three endoscopic rectal biopsy specimens.

(b) *Incorporation of 5-bromo-2-deoxyuridine (BUDR).* The longitudinal section of a normal human rectal mucosal crypt, after BUDR labeling under hyperbaric oxygen conditions is shown in Fig. 2. BUDR labeling was observed only in the nuclei of cells which were distributed in the region confined to the lower two-thirds of the crypt, defined as the proliferative compartment. The location and area of the crypt occupied by the BUDR labeled cells or the proliferative compartment were very similar to that found by the M<sub>1</sub>-RR, confirming the specificity of the MAb M<sub>1</sub>-RR for cycling cells. Within this compartment, it was observed that the total number of cells labeled with BUDR was less than that labeled with M<sub>1</sub>-RR. This was expected, because a 2-h pulse of BUDR would only label cells in the S phase at the time of labeling, which only comprises a fraction of the cycling cells found within the proliferative compartment. By contrast the MAb M<sub>1</sub>-RR would be expected to label cells in the G<sub>1</sub> and G<sub>2</sub>-M phases of the cell cycle, in addition to those in the S phase. Thus, the MAb M<sub>1</sub>-RR elegantly dissects the proliferative-differentiation status of the colon and rectal mucosa.

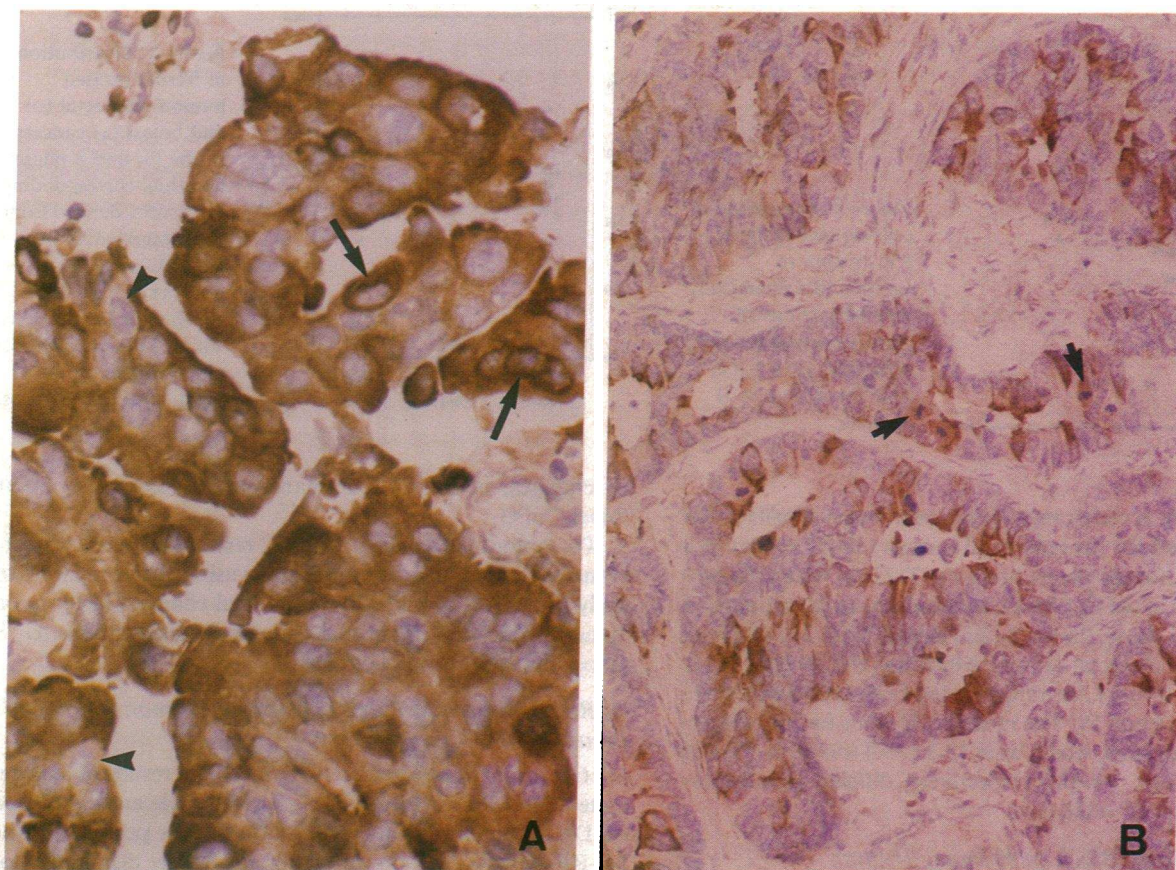
#### *Proliferation Kinetics of Primary Human Colorectal and Breast Adenocarcinomas*

(a) *Use of monoclonal antibody to M<sub>1</sub> subunit of the ribonucleotide reductase.* An immunohistochemical study of a series of 17 primary human colorectal and breast adenocarcinomas was undertaken using the MAb M<sub>1</sub>-RR. A section of primary breast tumor and colorectal tumor stained immunohistochemically with the MAb M<sub>1</sub>-RR using the IMPO method, is shown in Fig. 3, A and B, respectively. In both sections, the cytoplasmic localization of the M<sub>1</sub>-RR is clearly seen. In the section of breast tumor (Fig. 3 A), a strikingly high proportion of cells staining positive for M<sub>1</sub>-RR is observed, whereas in the section of colorectal tumor, there is a much lower proportion of tumor cells staining positive for M<sub>1</sub>-RR (Fig. 3 B).

The percentage of cells staining positive for M<sub>1</sub>-RR, which varied from tumor to tumor, is shown in Fig. 4. The values for colorectal tumors, which ranged from 22 to 64% ( $48 \pm 14.4\%$ ) and for the breast, ranging from 46 to 77% ( $57.7 \pm 12.6\%$ ), did not differ significantly from each other.

In addition, in the colorectal tumors studied, the percentage of the M<sub>1</sub>-RR positive cells did not correlate with the percentage of cells in the S phase, nor with tumor site, histological grade, or pathological staging (results not shown). For the breast tumors, a similar lack of correlation was found between the percentage of cells staining positive for M<sub>1</sub>-RR, the percent-





**Figure 3.** Breast (A) and colorectal (B) adenocarcinomas stained immunohistochemically with the MAb M<sub>1</sub>-RR. In A, a high proportion of cells are positive for the M<sub>1</sub>-RR (large arrows); there are only a few negative-staining cells, (arrowheads) magnification, 512. A tumor with a much lower proportion of cells stained with MAb M<sub>1</sub>-RR is shown for contrast in B. (Small arrows) Cells in mitoses showing positive cytoplasmic staining. Magnification, 256.

age of cells in the S phase, tumor site, and histological grade (results not shown).

On the basis of previous analytical flow cytometry data using MAb M<sub>1</sub>-RR (12, 21) and from the normal rectal mucosal studies above, we concluded that the cells staining positive for the M<sub>1</sub>-RR represent cells in the G<sub>1</sub>, S, and G<sub>2</sub>-M phases collectively, and we therefore assigned negatively stained cells to the G<sub>0</sub> fraction of cells. The high percentages of breast and colorectal tumor cells apparently in the G<sub>1</sub>, S, and G<sub>2</sub>-M cell cycle phases were unexpected.

(b) *Analytical DNA flow cytometric analysis (ADFC).* When nuclei from this series of primary colorectal and breast tumors were analyzed for cell cycle phase distributions based on DNA content using flow cytometry, the percentages of cells in the G<sub>0</sub>-G<sub>1</sub> phases were markedly higher than the percentages of cells in the S phase (Fig. 5). Although a significant difference ( $P < 0.01$ ) was found between the mean percentage of cells in S phase for the colorectal versus breast tumors which was  $6.2 \pm 3.7\%$  and  $2.1 \pm 0.9\%$ , respectively, this may be a reflection of the relatively small numbers studied in this series. The range for the percentage of cells in the S phase for the colorectal tumors was from 1 to 14%, whereas that for the breast tumors was smaller, 1–3%.

No significant correlations were found between the percentage of cells in the S phase and DNA ploidy, nor with tumor site, histological grade, and pathological stage of the colorectal tu-

mors; neither were any significant correlations found between the percentage of cells in the S phase and DNA ploidy, tumor site nor histological grade in the breast tumors (results not shown).

(c) *Integrated DNA flow cytometric-M<sub>1</sub>-RR cell cycle analysis.* In view of the inherent problems involved in dissociating all the cells from the tumor stroma and obtaining an intact cell suspension, immunofluorescent flow cytometric measurements on these tumors using the MAb M<sub>1</sub>-RR were not attempted; instead, M<sub>1</sub>-RR was detected using IMPO on sections of the freshly excised human tumors. The immunohistochemical study of the primary tumors using the M<sub>1</sub>-RR suggested an apparently high percentage of cells in cycle, i.e., in the G<sub>1</sub>, S, and G<sub>2</sub>-M phases. This appeared paradoxical in view of data from the ADFC studies demonstrating the expected low percentage of cells in the S phase, as previously reported in other studies (22–24). We therefore used data obtained from the M<sub>1</sub>-RR studies to distinguish between the cells in the G<sub>0</sub> phase from the G<sub>1</sub> phase, which was not achievable by analytical DNA flow cytometry alone. Furthermore, this also enabled the derivation of a G<sub>1</sub>/S ratio for each tumor, as explained in Methods.

The percentage of cells in the G<sub>1</sub> phase and their corresponding G<sub>1</sub>/S ratio, derived from the integrated DNA flow cytometric-M<sub>1</sub>-RR cell cycle analysis, compared to the percentage of cells in the S phase which was derived from ADFC, are

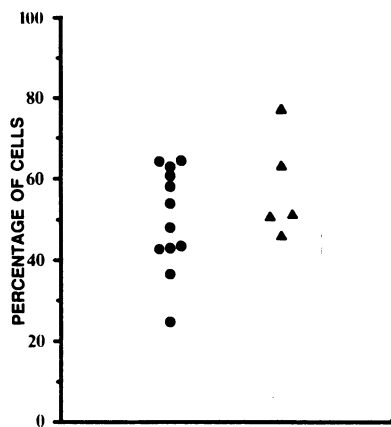


Figure 4. Percentage of cells expressing the M<sub>1</sub>-RR antigen in immunohistochemically stained sections of freshly excised human colorectal (●; *n* = 12) and breast (▲; *n* = 5) cancers.

shown in Fig. 6. A wide range for the percentage of cells in the G<sub>1</sub> phase existed for the colorectal tumors (12–57%) and to a smaller extent, for the breast tumors (42–73%). When the proportion of cells in the G<sub>1</sub> phase was compared to the proportion of cells in the S phase, the G<sub>1</sub>/S ratio ranged from 2 to 18 for all but one of the colorectal tumors, which had a G<sub>1</sub>/S ratio of 90. For the breast tumors, the range for the G<sub>1</sub>/S ratio was distributed between 14 and 59. The mean G<sub>1</sub>/S ratios for the colorectal and breast tumors, which were  $13.3 \pm 23.4$  (range, 2–90) and  $33.1 \pm 17.7$  (range, 14–58), respectively, differed significantly from each other ( $P < 0.01$ ).

#### Restriction Point Block in Primary Human Adenocarcinomas

The integrated DNA flow cytometric-M<sub>1</sub>-RR cell cycle analysis indicated that a high content of G<sub>1</sub> cells associated with a low percentage of cells in the S phase, exists in human primary cancers. This contrasts with the widely held view that primary human cancers are predominantly in a quiescent or G<sub>0</sub> state. Such a concept was based on the slow clinical growth and the low S phase content of tumors (and an inability to distinguish G<sub>1</sub> cells from G<sub>0</sub> cells). We have interpreted this high percentage of cells in G<sub>1</sub> to indicate a “block” or a marked slowing down in the transit of cells in or out of G<sub>1</sub>, into the S phase. Thus, human cancers in situ may be responding to a series of regulatory events which could include intrinsic factors such as the products of tumor suppressor genes or microenvironmen-

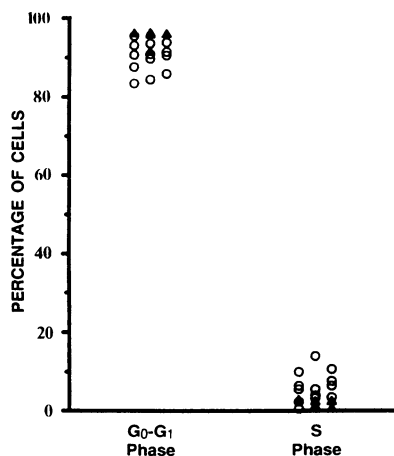


Figure 5. Percentage of cells in G<sub>0</sub>-G<sub>1</sub> and S phases determined by DNA content in freshly excised human colorectal (○) and breast (▲) cancers.

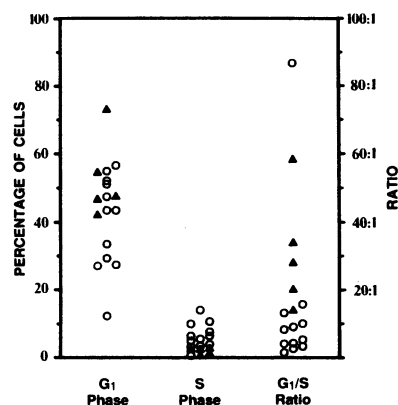


Figure 6. Distribution of freshly excised human colorectal (○) and breast (▲) cancers in the G<sub>1</sub> and S phases and their corresponding G<sub>1</sub>/S ratio, derived from the integrated DNA-M<sub>1</sub>-RR cell cycle analysis. The ordinate on the left hand side is for the G<sub>1</sub> and S phases; the ordinate on the right, for the G<sub>1</sub>/S ratios.

tal changes, acting predominantly at a restriction point “block” in G<sub>1</sub>.

To explore the concept of a G<sub>1</sub> restriction point we performed a series of in vitro experiments using tumor cell lines to study the effects of microenvironmental changes, likely to be found in solid tumors, on cell cycle phase distributions, G<sub>1</sub>/S ratios, and expression of M<sub>1</sub>-RR.

#### G<sub>1</sub> Restriction Point Block in Cultured Human Colon and Breast Cancer Cell Lines

(a) *G<sub>1</sub>/S ratio in unperturbed cell lines.* The results of an integrated DNA flow cytometric-M<sub>1</sub>-RR cell cycle analysis, based on DNA content and the proportion of cells positive for the M<sub>1</sub>-RR detected via immunofluorescent flow cytometry, for the cultured cell lines (HT-29, MCF-7 and T-47D) at exponential growth are shown in Tables I and II. In these exponentially growing cells, < 3% of cells stained negatively for the M<sub>1</sub>-RR antigen, and the G<sub>1</sub>/S ratios obtained for these cells were all between 1 and 3.5.

(b) *Density inhibition of HT-29 cells.* HT-29 cells were allowed to grow to a maximal monolayer density with daily medium changes after cell density had reached 75% confluence at 3 d. Cell counts and viability, measured by the trypan blue dye exclusion method and cell cycle phase distribution and the expression of M<sub>1</sub>-RR as measured by analytical flow cytometry, were performed daily. For these cells, a plateau phase-growth was achieved at 7 d, although at 2 d, there was an increase in the proportion of cells with a G<sub>0</sub>-G<sub>1</sub> DNA content (results not shown), with a corresponding decline in the percentage of cells in the S phase. However, the percentage of cells staining positive for the M<sub>1</sub>-RR did not fall even by 6 d (Table I) and indeed, through the whole period of observation of 9 d (results not shown), indicating that density inhibition induced a “restriction point block” with the cells accumulating in the G<sub>1</sub> phase, and that these blocked cells did not enter a metabolically quiescent phase, i.e., the G<sub>0</sub> phase.

(c) *Serum deprivation of HT-29 cells.* In a similar experiment where HT-29 cells were grown in serum-free medium, it was observed that after 2 d, cell growth had ceased; this cytostatic effect was maintained throughout the experiment until 9 d (results not shown). Data obtained from ADFC indicated a rise in the proportion of cells in the G<sub>0</sub>-G<sub>1</sub> phase, commencing immediately after serum deprivation and becoming maximal by 2 d (results not shown). Representative results obtained at 6 d, are shown in Table I. In a manner analogous to the density inhibition study, the percentage of cells staining positively for

Table I. Cell Cycle Distribution of Density-inhibited, Serum-deprived HT-29 Cells in the G<sub>1</sub> and S Phases, their G<sub>1</sub>/S Ratios and the Percentage of Cells Expressing the M<sub>1</sub>-RR Antigen

	Exponential growth*	Density inhibition†	Serum deprivation‡	Serum replenishment§
G <sub>1</sub> (%)	34.7±5.6	78.3±3.8	85.4±1.4	44.9±6.1
S (%)	35.5±5.2	14.5±2.0	4.5±0.1	39.2±3.9
M <sub>1</sub> -RR				
positive (%)	99.5±0.2	99.6±0.2	97.0±2.1	97.7±1.4
G <sub>1</sub> /S ratio	1.0±0.43	5.4±1.1	19.0±0.8	1.2±0.3

All values are derived from triplicate flasks.

\* Doubling time, ~ 24 h.

† 6 d after treatment.

§ Cells were deprived of serum for 6 d before serum replenishment; data show results 24 h after serum replenishment.

the M<sub>1</sub>-RR did not fall, even by 9 d (results not shown). This was interpreted to mean that the growth inhibition observed represented a block in G<sub>1</sub>, and that these serum-deprived cells remained in the G<sub>1</sub> phase, without entering the G<sub>0</sub> phase.

This view was further reinforced by serum replenishment experiments. HT-29 cells which were deprived of serum for 6 d rapidly overcame their apparent G<sub>1</sub> block when serum was reintroduced into the culture medium. At the time of serum replenishment, the percentage of cells in the S phase was 5%; after a lag period of 12 h, the percentage of cells in the S phase began to increase, reaching 40% by 24 h, following serum replenishment (Table II).

(d) *Hormone-induced growth inhibition of T-47D and MCF-7 cells.* When the T-47D and MCF-7 breast adenocarcinoma cell lines were treated with MPA or tamoxifen, they ceased to proliferate. Analytical flow cytometric analysis of both DNA content and the percentage of cells expressing the M<sub>1</sub>-RR demonstrated a "block" similar to that observed in the density-inhibited and serum-deprived HT-29 cells: a decrease in the percentage of S phase cells with a concomitant accumulation of cells with a G<sub>0</sub>-G<sub>1</sub> DNA content, and the unabated expression of M<sub>1</sub>-RR in virtually all of the cells (Table II). The

Table II. Cell Cycle Distribution of MCF-7 and T-47D Cells in the G<sub>1</sub> and S Phases, G<sub>1</sub>/S Ratios and the Percentage of Cells Expressing the M<sub>1</sub>-RR Antigen, after Treatment with Tamoxifen and MPA, Respectively

	MCF-7		T-47D	
	Exponential growth*	Tamoxifen treatment†	Exponential growth‡	MPA treatment§
G <sub>1</sub> (%)	62.6±2.7	78.1±0.6	66.9±0.54	77.2±0.5
S (%)	23.4±2.0	7.0±0.6	19.1±0.4	9.3±0.8
M <sub>1</sub> -RR				
positive (%)	97.1±0.3	89.5±3.6	98.5±0.8	98.0±0.7
G <sub>1</sub> /S ratio	2.7±0.3	11.2±1.1	3.5±0.1	8.6±0.6

All values are derived from triplicate flasks.

\* Doubling time, 40–48 h.

† Doubling time, 24 h.

§ 3 d after drug treatment.

degree of block was not as complete as in the HT-29 cells, with the G<sub>1</sub>/S ratio increasing by two- to fourfold. Therefore, growth factor deprivation or density inhibition or hormonal suppression can induce, albeit in different cell lines, a G<sub>1</sub> arrest, which has a kinetic state similar to that of primary human cancers.

## Discussion

This study adequately demonstrates that in the normal human colorectal mucosa, the MAb M<sub>1</sub>-RR specifically stains cycling cells in the proliferative compartment of the crypt. Cells stained by the MAb M<sub>1</sub>-RR were in the same now well-established proliferative compartment (25–28) of the crypt, i.e., in the lower two-thirds of the crypt as cells pulse-labeled with BUDR. However, there were consistently more cells labeled with M<sub>1</sub>-RR than BUDR, indicating that the former stained cells other than in the S phase, i.e., in the G<sub>1</sub>, S, and G<sub>2</sub>-M phases. The M<sub>1</sub>-RR was absent in the mucosal cells lining the upper one-third of the crypt, which corresponds well with the fact that these are nondividing mature cells, which have ceased proliferative activity (25, 26, 29, 30) and therefore, are terminally differentiated. Hence, using the MAb M<sub>1</sub>-RR, the proportion of cells in cycle, i.e., in the G<sub>1</sub>, S, and G<sub>2</sub>-M phases collectively can be estimated confirming our previously published results in another cell line using immunofluorescent analytical flow cytometry (12).

The integrated results of the MAb M<sub>1</sub>-RR immunofluorescence and DNA flow cytometry on primary human tumors revealed an unexpectedly high proportion of G<sub>1</sub> cells. This was interpreted to mean that such tumors are blocked in transition in G<sub>1</sub> and are not predominantly in a G<sub>0</sub> or quiescent, differentiated state.

In most tumors it has been assumed that the greater majority of cells are nonreplicative (31). Estimates of a growth fraction (GF) in the order of 20–30%, supported the view that 70–80% of tumor cells are in the nonproliferative, or G<sub>0</sub> state. This was further reinforced by studies on several tumor types which compared the mean total cell cycle time ( $T_C$ ) of approximately 2–4 d, with the typical mean tumor doubling time ( $V_D$ ) of solid tumors, usually of 2–3 mo (7). The difference between the mean  $T_C$  and mean  $V_D$  was attributed to a high proportion of nonproliferating cells (31) and/or a high rate of cell loss (7). However, the methods used to approximate the proportion of cycling cells was by continuous labeling techniques, such as the percent labeled mitoses (PLM) based on the incorporation of nucleosides such as <sup>3</sup>H-thymidine (32) or more recently BUDR (33), of cells during the S phase. The accuracy of these methods in determining the GF are dependent on the continuous transit of cells throughout the cell cycle. Thus, cells blocked in the G<sub>1</sub> phase, which cannot transit into the S phase readily or are slowly cycling, will not be detected nor distinguishable from the noncycling fraction of cells in the G<sub>0</sub> phase. Also, using these methods, cells that are arrested in any of the other cell cycle phases will not be detected.

When the integrated DNA-M<sub>1</sub>-RR cell cycle analysis, developed in the present study, was applied to freshly excised human primary breast and colorectal tumors, a low percentage of S phase cells was observed, ranging from 1 to 14%. However, the relatively high proportion of cells in the G<sub>1</sub> phase compared with the low percentage of S phase cells, suggests the presence of a G<sub>1</sub> block operating in these tumors. The situation was



more obvious in the breast tumors where the percentage of cells in the S phase was about threefold less than that found in the colorectal tumors while still maintaining similar percentages of cells in the G<sub>1</sub> phase. This was reflected in the G<sub>1</sub>/S ratio of the breast tumors which was about threefold higher than that found in the colorectal tumors. Although our S phase values are lower than those found using <sup>3</sup>H-thymidine S phase labeling in tumor slices where the mean percentage of S phase cells for breast and colorectal carcinoma has been near 8 and 18%, respectively (1–6), these differences do not alter the conclusions made in this study. It is possible our lower S phase values using ADFC may reflect technical errors such as debris subtraction, host cell infiltrate, and the specific computer analysis program used (22, 34).

Immunohistochemical studies reported by others support our interpretation (although not made by those authors) of a high G<sub>1</sub> cell content (rather than G<sub>0</sub>) in primary human adenocarcinomas (35, 36). In these studies of primary human cancers using antibodies to the *ras* oncogene products and to the proliferating cell nuclear antigen (PCNA or cyclin or DNA polymerase delta cofactor) the observed cell staining frequency (and variation) was almost identical to those for M<sub>1</sub>-RR. Furthermore, other studies have found that the synthesis of *ras* mRNA occurs in mid-G<sub>1</sub> phase (13). Also, in work to be published separately, we have shown that both PCNA and M<sub>1</sub>-RR mRNA synthesis occurs in the G<sub>1</sub> phase of PHA-activated peripheral blood lymphocytes (Parker, N., and R. M. Fox, manuscript in preparation). In addition, we note that the Ki-67 nuclear antigen has been used as a marker of the proliferative status of tumors (37, 38). We have shown, in a separate study, that the Ki-67 antigen is synthesized considerably later in G<sub>1</sub> or at the G<sub>1</sub>/S interphase. The percentage of cells positive for Ki-67 in tumors has been markedly lower than that observed for the M<sub>1</sub>-RR (Tay, D. L. M., manuscript in preparation), approximating that of the S phase fraction. Similarly, other workers have shown a low proportion of cells positive for the Ki-67 antigen (38–40).

We believe that the high percentage of G<sub>1</sub> cells in primary cancers reflects a major regulatory “block” or a marked slowing of transit in the G<sub>1</sub> phase. Such blocked cells are then apparently unable to enter either a G<sub>0</sub> phase or S phase. We have further hypothesized this G<sub>1</sub> block to be a reaction of the cells towards extrinsic microenvironmental phenomena and/or an inherent cancer cell property. In support of this, we have shown that tumor microenvironmental factors such as relative growth factor deficiency or density inhibition can produce a block in G<sub>1</sub>, consistent with the concept of a “restriction point” in G<sub>1</sub>, a concept which has been developed in some detail by Pardee and co-workers (9, 41, 42). According to them, the “restriction point” is a critical commitment event in G<sub>1</sub>, which can only be overcome under the influence of appropriate growth factor and nutritional conditions. Pardee showed in several models, when cells were restimulated into the cycle after a block at the restriction point in G<sub>1</sub>, DNA synthesis starts some 2 h after the restriction point, with increases in the enzymes essential for DNA replication. Thus, cells deprived of growth factor(s) would arrest in G<sub>1</sub>, whereas cells beyond a certain critical point in G<sub>1</sub> would be committed to complete the rest of the cycle of DNA replication and arrest only upon reentering G<sub>1</sub> after mitosis.

In this study the G<sub>1</sub> block concept was modeled in vitro using serum-deprived or density-inhibited HT-29 cells, or

MCF-7 and T-47D cells which had been subjected to tamoxifen and MPA treatment. The continued expression of M<sub>1</sub>-RR after at least 6 d of serum deprivation and their rapid reentry into cycle (on refeeding) indicates that in certain cell systems at least, the transition from G<sub>1</sub> into a G<sub>0</sub> state is not an inevitable event upon growth factor deprivation, and as a result, the prolonged maintenance of a G<sub>1</sub> state can occur. An alternative to the prolonged G<sub>1</sub> state could be the relative failure of expression or synthesis of adequate growth factor receptor sites, or the effects of density inhibition. This was later tested in vitro.

Apart from the negative influences of growth factor deficiency we also considered the possibility of a positive metabolic perturbation being responsible for the G<sub>1</sub> arrested state. Antiproliferative cytokines such as interferons and tumor necrosis factor and in the case of breast cancer, hormones such as those of the estrogen/progesterone group could be appropriate mediators. Accordingly, when we treated human breast cancer cell lines with tamoxifen or the antiprogesterone MPA, a G<sub>1</sub> block, comparable to that obtained in the serum deprived HT-29 cells was observed. Previous studies by Osborne et al. (43, 44) using ADFC have also shown that tamoxifen induced a G<sub>1</sub> phase arrest in cultured cell lines. Our findings using MAb M<sub>1</sub>-RR demonstrates that these cells maintain their G<sub>1</sub> status throughout the duration of the block and do not enter the G<sub>0</sub> phase. The concept of a hormonally induced and maintained G<sub>1</sub> arrest state in human breast cancer would accord well with the long and heterogeneous natural history of breast cancer.

An alternative (though not mutually exclusive) explanation for the apparent G<sub>1</sub> block would be an inherent genetic property of primary adenocarcinoma cells such as the recently recognised tumor suppressor genes in colorectal cancer (45). Such genes appear to operate by opposing or inhibiting “dominantly acting” activated cellular oncogenes and such a function in G<sub>1</sub> could in principle, block cell transit into the S phase. Some examples are the wild-type p53 gene product which can inhibit *myc* and *ras* functions, and transforming growth factor type- $\beta$  which stimulates the synthesis of a protein inhibiting the transcriptional initiation of *c-myc* (46–48).

The apparent high content of cells blocked in the G<sub>1</sub> phase in primary human cancers implies a dynamic situation not previously realized. It poses fundamental questions which extend beyond the proliferation/suppressor balance in primary cancers, such as the mechanism(s) preventing cancers from entering G<sub>0</sub> and/or a terminally differentiated state.

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