

An Initiation Codon Mutation (AUG → GUG) of the Human α 1-Globin Gene

Structural Characterization and Evidence for a Mild Thalassemic Phenotype

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Abstract

α -globin is encoded by two adjacent genes, α 1 and α 2. Recent evidence suggests that these genes are not equally expressed and that the α 2-globin gene encodes the majority of α -globin. This finding would predict that a thalassemic mutation of the α 2-globin gene would result in a more severe loss of α -chain synthesis than a similar mutation in the α 1-globin gene. In a previous study we described a nondeletion α -thalassemia defect in the α 2-globin gene resulting from an AUG → ACG initiation codon mutation. In the present study we describe a different initiation codon mutation, AUG → GUG, present in the α 1-globin gene. The α 1- and α 2-globin gene initiation codon mutations result in similarly lowered levels of encoded mRNA. Despite the similarity of these two mutations, the α 2 mutant results in a more severe loss of α -globin synthesis and a more severe clinical α -thalassemia phenotype than the corresponding α 1-globin gene mutation. This difference reflects the dominant role of α 2-globin gene in overall α -globin synthesis.

Introduction

The α -globin gene cluster is located on the short arm of chromosome 16 (1). The genes in this cluster are arranged in the order 5'- ξ - ψ - ξ - ψ - α - α 2- α 1-3' (2-3). A variety of mutations within this cluster result in deficient or absent synthesis of α -globin and the consequent group of genetic disorders known as the α -thalassemias (4). Most commonly, α -thalassemia results from the deletion of one or both of the α -globin genes (5). Less commonly, α -globin gene function is altered by a defect that does not produce a gross gene deletion (6-8). Several such nondeletion α -thalassemias have now been defined at a molecular level. These include: termination codon mutations such as Hb Constant Spring (9), a splicing defect caused by a 5-basepair (bp) deletion of the first intervening sequence (10), Hb Quong Sze, an extremely unstable α -globin structural variant (11, 12), a single nucleotide substitution of the polyadenylation site (13), a two nucleotide deletion at position -1 and -2 in the 5' untranslated region preceding the AUG codon (14), an initiation codon mutation (AUG → ACG) (15) and a nonsense mutation (α 116 GAG → UAG) (16). Apart from the -1, -2 deletion that occurs in a single α -globin gene chromosome, each of these mutations affects the α 2-globin gene.

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Hemoglobin H (HbH)¹ disease, the most severe form of α -thalassemia compatible with life, commonly results from the deletion of three of the four α -globin genes (17-23). Less commonly, HbH disease can also result from the deletion of the two α -globin genes on one chromosome combined with a nondeletion defect affecting one of the two α -globin genes on the other chromosome. In Sardinians, the most common nondeletion α -thalassemia defect is the AUG → ACG mutation in the initiation codon of the α 2-globin gene (15, 24-26). This mutation destroys a recognition site of the enzyme NcoI. While screening a group of nondeletion HbH disease patients ($--/\alpha^{\text{Th}}\alpha$) for this mutations by Southern blot analysis, we found two siblings in whom the NcoI map suggested a mutation at the initiation codon of the α 1 rather than the α 2 locus. The severity of α -thalassemia in these individuals was significantly less than in those with the NcoI mutation in the α 2-globin gene. In the present study, we define the structure of this α 1-globin gene mutation and characterize its impact upon α -globin mRNA and protein synthesis.

Methods

Hematologic analysis. Hematologic measurements were made with a Coulter Counter (model S, Coulter Electronics, Hialeah, FL). Electrophoresis of hemoglobin was carried out on Titan III cellulose acetate plates, pH 8.6 (Helena Laboratories, Beaumont, TX). Hb A₂ was determined by DE-52 microchromatography (27). Globin chain synthesis analysis was carried out according to the method of Kan et al. (28).

DNA analysis. DNA was extracted from peripheral leukocytes as previously described (29). The α -globin cluster was mapped by Southern blot analysis using the restriction endonucleases BglII, HphI, NcoI, and HindIII in single and double digestion. The γ -globin gene probe was a 1.8-kb HinfI genomic fragment containing the entire pseudozeta gene excluding the 5' part of the first exon (30); the α -globin gene probe was a 1.5-kb PstI genomic fragment spanning the entire α -globin gene.

Gene cloning and sequence analysis. Total genomic DNA isolated from individual III-2 (Fig. 1) was digested to completion with the restriction enzyme HindIII. The fraction containing 3.7 Kb fragments was collected by density sedimentation through a continuous sucrose gradient, ligated to Charon 28 vector in the HindIII site and packaged in vitro. The recombinant phages were propagated in *Escherichia coli*. Three phage clones containing the 3.7-kb α -globin gene fragment were identified out of 75,000 recombinant phages screened with a ³²P-labeled α -globin specific probe. The 3.7-kb fragment, which spans from the HindIII site at codon 90-91 of the α 2-globin gene to the HindIII site at the same position within the α 1-globin gene, was subcloned in the HindIII site of the pSP64 plasmid (New England Nuclear, Boston, MA). Sequencing was performed by the primer extension method directly on supercoiled plasmid (31, 32) using as a primer for the Klenow fragment of DNA polymerase a 20-mer oligonucleotide (5' CCT TGA CGT TGG TCT TGT CG 3') complementary to the α -glo-

1. Abbreviations used in this paper: HbH, hemoglobin H.

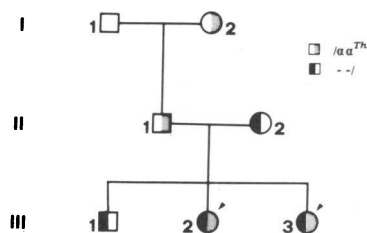


Figure 1. Pedigree of the index family. The segregation of the deletion and nondeletion α -thalassemic mutations is shown.

bin DNA sequence from nucleotide +13 to +32 (the A of the AUG initiation codon being designated as +1).

RNA isolation and analysis. The RNA was isolated from peripheral reticulocytes as previously detailed (33) and used for subsequent studies without further purification. 1 μ g of total RNA was translated in micrococcal nuclease treated rabbit reticulocyte lysate prepared from New Zealand white rabbits as previously described (34). Translations were done at 30°C in the presence of [³⁵S]methionine exactly as previously detailed (34). The labeled protein products were resolved on a Triton-acid-urea slab gel (35, 36) and visualized by autoradiography. The ratio of α 2- to α 1-globin mRNA was established by primer extension analysis as previously detailed (33) with the following exception: we have substituted for the previously described 31 nucleotide cDNA primer, a 20 nucleotide synthetic oligonucleotide (3'-CACCCGCCGTTTTTTTTTTT-5') that is complementary to the terminal 9 nucleotides of both α 1- and α 2-globin mRNAs and to 11 adenosines of the contiguous poly-A tail. This oligomer was 5' end-labeled with γ -(³²P)ATP (5,000 Ci/mM; Amersham Corp., Arlington Heights, IL) and polynucleotide kinase and purified by a single precipitation with three volumes of ethanol in the presence of 50 μ g/ml tRNA carrier and 0.2 M Na acetate. 1 ng of the end-labeled oligonucleotide primer was added to 0.5 μ g of reticulocyte RNA in a reverse transcription reaction containing 1 μ l of AMV reverse transcriptase (Life Science Associates, Bayport, NY, 15 U/ μ l). The subsequent HaeIII restriction nuclease digest of the 5' end-labeled single strand cDNA, and the 8% acrylamide/8 M urea gel analysis of the digest products were all carried out exactly as previously detailed (33). Band intensities on autoradiographs of the in vitro translation gels and the primer extension analysis gels were quantitated in the linear range by densitometry using a Zeineh soft laser scanner (model SL-504-XL; Biomed Instruments, Fullerton, CA).

Results

Family studies. Two sisters (III-2, III-3, indicated by the arrows in Fig. 1) with HbH disease and their family were stud-

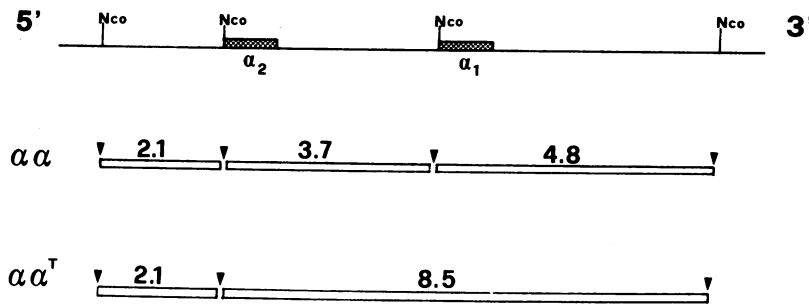
ied at the hematology laboratory of the Ospedale Regionale per le Microcitemie. The two patients presented with a similar history of chronic pallor and anemia. The spleen was barely palpable, the liver was slightly enlarged, and the children were of normal stature for their ages. There was no history of acute hemolytic crises. The results of the hematological evaluation of the family are shown in Table I.

α -Globin gene mapping. The α -globin genotype of each member of the family was determined by Southern blot analysis. The presence of a double α -globin gene deletion [(-)-chromosome] was inferred by digesting the genomic DNA with BglII and using both the α -globin and ζ -globin probes (8) (data not shown). The presence of the nondeletion mutation was detected by the loss of an NcoI restriction site. When normal DNA is cleaved with NcoI, three α -globin specific fragments of 4.8, 3.7, and 2.1 kb are produced (Fig. 2). The 4.8 and 3.7 fragments contain the α 1- and the α 2-globin genes, respectively. The 2.1-kb fragment spans from the initiation codon of the α 2-globin gene to a NcoI site located in the 5' flanking region. DNA from the patients investigated (III-2 and III-3) yielded the normal 2.1-kb fragment associated with a new 8.5-kb fragment that replaces the normal 3.7- and 4.8-kb fragments (Fig. 2). This pattern suggests the loss of the NcoI restriction site located in the α 1-globin gene. This interpretation was confirmed by double digestion of the DNA with NcoI and HindIII (data not shown). These findings indicate that our patient has a mutation in the α 1-globin gene that abolishes the NcoI site normally located in the 5' part of the gene. NcoI digestion of DNA isolated from the father and paternal grandmother yielded the 8.5-kb abnormal fragment in addition to the normal 4.8-, 3.7-, and 2.1-kb fragments which indicated the presence of the nondeletion defect in one chromosome and a normal α -globin gene complement in the other chromosome. A summary of the α -thalassemia inheritance in this family is presented in Fig. 1.

Sequence analysis of the α 1-globin gene initiation codon mutation. The absence of the normal NcoI site in the α 1-globin gene raised the possibility that the previously reported ATG \rightarrow ACT initiation codon mutation found in the α 2-globin gene of a Sardinian patient with HbH disease (15) may have been introduced at a homologous position in the α 1 gene by a gene conversion event (37). To determine the exact nature of the α 1-globin gene mutation that destroys the NcoI site, the

Table I. Hematologic Evaluation of a Family with Nondeletion HbH Disease

	I-1	I-2	II-1	II-2	III-1	III-2	III-3
Genotype	$\alpha\alpha/\alpha\alpha$	$\alpha\alpha/\alpha\alpha^{Th}$	$\alpha\alpha/\alpha\alpha^{Th}$	$--/\alpha\alpha$	$--/\alpha\alpha$	$--/\alpha\alpha^{Th}$	$--/\alpha\alpha^{Th}$
Age (yr)	86	84	58	53	22	12	10
RBC ($\times 10^{12}/liter$)	4.42	4.62	5.28	5.21	6.11	5.92	6.64
Hb (g/dl)	13.1	11.3	14.2	11.5	14.5	9.5	10.1
Hct (%)	39	35.5	40.2	34.7	44	30	31.7
MCV (fl)	87	75	77	67	72	52	49
MCH (pg)	29.5	24.4	28	23	24	16	15
MCHC (g/dl)	32.3	30.7	36	34	31	31	31
Electrophoresis	A+A ₂	A+A ₂	A+A ₂	A+A ₂	A+A ₂	H (3%)+A+A ₂	H(1.5%)+A+A ₂
Hb A ₂ (%)	2.92	2.84	2.96	2.62	2.38	1.45	1.60
Reticulocytes (%)	8	3	5	6	8	26	22
RBC with HbH inclusion bodies per 1000	Absent	2	0.19	0.04	0.8	140	60



mutant $\alpha 1$ -globin gene was cloned from individual III-2 and the 5' end from nucleotides -35 to +14 was sequenced. This sequence analysis demonstrated a single nucleotide substitution at the initiation codon: ATG \rightarrow GTG (Fig. 3).

mRNA analysis. The relative levels of $\alpha 1$ - and $\alpha 2$ -globin mRNA in total reticulocyte RNA was measured by primer extension mapping. The results of studies on a normal $\alpha\alpha/\alpha\alpha$, a previously reported $--/\alpha^{\text{Th}}\alpha$ individual, and one of the $--/\alpha\alpha^{\text{Th}}$ individuals (III-2) are shown in Fig. 4. In normal reticulocytes the $\alpha 2:\alpha 1$ mRNA ratio is 2.6 (33, 38). In four unrelated Sardinian patients with nondeletion hemoglobin H disease in whom the nondeletion defect was the T \rightarrow C substitution in the ATG initiation codon of the $\alpha 2$ gene ($--/\alpha^{\text{Th}}\alpha$) the average ratio was 0.9 (range 0.75–1.3) indicating that the level of steady state $\alpha 2$ -globin mRNA was decreased to approximately one-third of its normal level (Fig. 4 and Table II). This value is consistent with the previously reported value of 1.0 (15). In the two sisters III-2 and III-3 with the ATG \rightarrow GTG mutation in the $\alpha 1$ -globin gene ($--/\alpha\alpha^{\text{Th}}$) the $\alpha 2/\alpha 1$ ratios of 12 and 14, respectively, indicated a reduction in the steady-state level of $\alpha 1$ mRNA to approximately one-fourth of its normal level.

***In vitro* translation.** Unfractionated mRNA was translated in vitro and the labeled translation products were resolved and quantitated by electrophoresis and densitometry. The results of this analysis are shown in Fig. 5 and summarized in Table II.

In vitro translation of normal reticulocyte mRNA gives an α/β globin synthesis ratio (corrected for methionine content of two in α and one in β) of 1.5 while in four unrelated individuals with HbH disease and the nondeletion (ATG \rightarrow ACG) defect in the $\alpha 2$ -globin gene ($--/\alpha^{\text{Th}}$) had an average ratio of 0.095 (range 0.04–0.16; Table II). The α/β globin ratios of III-2 and III-3 ($--/\alpha^{\text{Th}}$) were 0.22 and 0.27, respectively.

In a parallel set of experiments α/β synthesis was measured in intact reticulocytes from each of these individuals. As in the *in vitro* translations the level of α -globin synthesized by those with the $\alpha 1$ mutation ($--/\alpha\alpha^{\text{Th}}$) was greater than those with

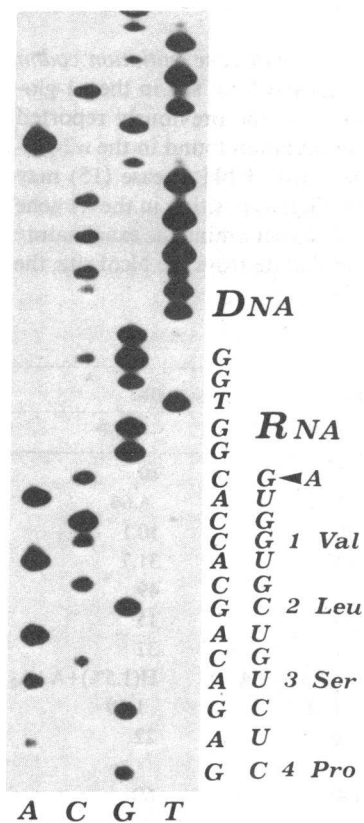


Figure 3. Sequence analysis of the region of the mutant $\alpha 1$ -globin gene surrounding the initiation AUG codon. The arrow indicates the position of the A \rightarrow G mutation.

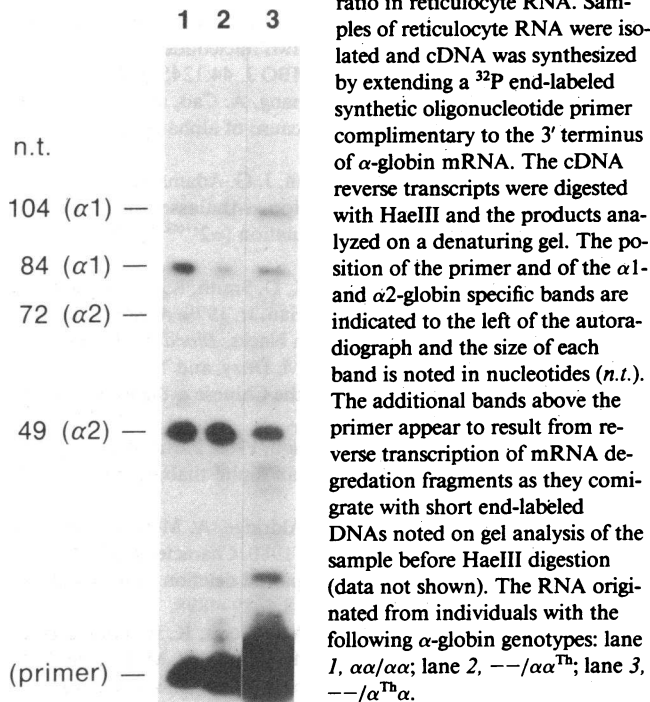


Figure 4. Primer extension analysis of the $\alpha 2:\alpha 1$ globin mRNA ratio in reticulocyte RNA. Samples of reticulocyte RNA were isolated and cDNA was synthesized by extending a ^{32}P end-labeled synthetic oligonucleotide primer complementary to the 3' terminus of α -globin mRNA. The cDNA reverse transcripts were digested with *Hae*III and the products analyzed on a denaturing gel. The position of the primer and of the $\alpha 1$ - and $\alpha 2$ -globin specific bands are indicated to the left of the autoradiograph and the size of each band is noted in nucleotides (n.t.). The additional bands above the primer appear to result from reverse transcription of mRNA degradation fragments as they comigrate with short end-labeled DNAs noted on gel analysis of the sample before *Hae*III digestion (data not shown). The RNA originated from individuals with the following α -globin genotypes: lane 1, $\alpha\alpha/\alpha\alpha$; lane 2, $-\alpha/\alpha^{\text{Th}}$; lane 3, $-\alpha^{\text{Th}}/\alpha$.

the $\alpha 2$ mutation ($-\alpha/\alpha^{\text{Th}}$). The uniformly lower α/β ratios measured by in vitro translation as compared to the reticulocyte incubations may reflect the ability of proteolytic systems in the intact cell to partially compensate for the chain imbalance. Such discrepancies between the α/β synthetic ratios obtained in vitro and during the labeling of intact reticulocytes are observed in a wide variety of deletion and nondeletion α -thalassemias (S. A. Liebhaber and F. E. Cash, unpublished data).

Discussion

In this study we have characterized a newly discovered mutation, ATG \rightarrow GTG, in the initiation codon of the $\alpha 1$ -globin

Table II. Synthesis of α - and β -Globin in Individuals with HbH Disease

Patient	Genotype	α/β Protein Synthesis		
		In vitro	Reticulocytes	$\alpha 2:\alpha 1$ mRNA
Normal	$\alpha\alpha/\alpha\alpha$	1.5	1.00	2.6
C.C. (III-2)	$-\alpha/\alpha^{\text{Th}}$	0.22	0.59	12.0
R.C. (III-3)	$-\alpha/\alpha^{\text{Th}}$	0.22	0.75	14.0
F.M.	$-\alpha^{\text{Th}}/\alpha$	0.04	0.49	1.3
V.A.	$-\alpha^{\text{Th}}/\alpha$	0.14	0.47	0.91
A.L.	$-\alpha^{\text{Th}}/\alpha$	0.04	0.22	0.75
G.A.	$-\alpha^{\text{Th}}/\alpha$	0.16	0.46	0.85

$\alpha^{\text{Th}}\alpha$, AUG \rightarrow ACG mutation at the initiation codon of the $\alpha 2$ -globin gene. $\alpha\alpha^{\text{Th}}$, AUG \rightarrow GUG mutation at the initiation codon of the $\alpha 1$ -globin gene.

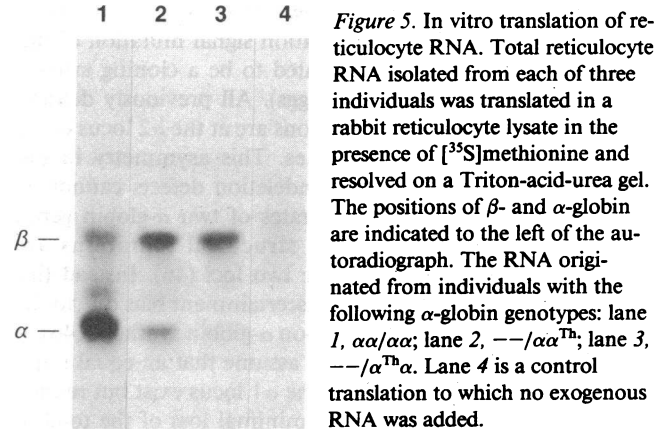


Figure 5. In vitro translation of reticulocyte RNA. Total reticulocyte RNA isolated from each of three individuals was translated in a rabbit reticulocyte lysate in the presence of [^{35}S]methionine and resolved on a Triton-acid-urea gel. The positions of β - and α -globin are indicated to the left of the autoradiograph. The RNA originated from individuals with the following α -globin genotypes: lane 1, $\alpha\alpha/\alpha\alpha$; lane 2, $-\alpha/\alpha^{\text{Th}}$; lane 3, $-\alpha^{\text{Th}}/\alpha$. Lane 4 is a control translation to which no exogenous RNA was added.

gene that, when combined with deletion of both α -globin genes on the sister chromosome, results in the clinical phenotype of α -thalassemia. In higher eucaryotes the ATG serves as the only functional initiation codon (39, 40). The only exception may be the use of ACG in certain viral systems (41). Whether the ATG \rightarrow GTG mutation in the $\alpha 1$ -globin gene, or the previously described ATG \rightarrow ACG mutation in the $\alpha 2$ -globin gene completely abolishes α -globin mRNA translation has not been directly determined. However, the clinical phenotypes of the HbH disease in both categories of patients (25; this study) suggest a functional loss of the affected α -globin gene. The initiation codon mutations in the $\alpha 1$ and $\alpha 2$ loci are associated with similar three- to fourfold decreases in the steady state level of encoded mRNA. This comparable effect suggests that the two mutations may decrease the steady state level of their encoded mRNAs by similar mechanisms. While effects upon transcription or RNA processing cannot be ruled out at present, mRNA instability linked to the block in translation would appear to be likely cause.

The severity of the α -thalassemia phenotype appears to relate both to the specific α -globin gene lost ($\alpha 1$ or $\alpha 2$) and the manner in which it is lost (deletion versus nondeletion). α -globin production during in vitro translation of reticulocyte RNA and during labeling of intact reticulocytes was less significantly depressed in the $-\alpha/\alpha^{\text{Th}}$ patients (III-2 and III-3), than in the patients with a similar initiation codon mutation in the $\alpha 2$ -globin gene ($-\alpha^{\text{Th}}/\alpha$) (Fig. 5 and Table II). The mild phenotype noted in these two patients with the $-\alpha/\alpha^{\text{Th}}$ genotype is very similar to that manifested by patients with the deletional form of HbH disease ($-\alpha/-\alpha$), and is significantly less severe than patients with HbH disease resulting from nondeletion defects (ATG \rightarrow ACG, Hb Constant Spring) in the $\alpha 2$ gene (25, 42, 43). Since the $\alpha 2$ -globin gene normally encodes two- to threefold higher steady state level of mRNA (33, 38, 44) and produces two- to threefold more α -globin (45, 46) than the $\alpha 1$ -globin gene, a nondeletion defect in the $\alpha 2$ -globin gene would be expected to result in the loss of two to three times more α -globin synthesis than a comparable mutation in the $\alpha 1$ gene. The rightward type α -thalassemia deletion ($-\alpha^{3,7}$) would be predicted to yield a mild phenotype comparable to an $\alpha 1$ nondeletion mutation since the loss of the $\alpha 2$ -globin gene is associated with a 1.8-fold compensatory increase in the expression of the remaining $\alpha 1$ -globin gene (38).

The initiation codon mutation described herein is the first α -thalassemia mutation to date identified in the $\alpha 1$ -globin

gene; the previously reported frameshift in the $\alpha 1$ -globin gene associated in *Cis* to a polyadenylation signal mutation of the $\alpha 2$ -globin gene is now demonstrated to be a cloning artifact (personal communication, D. Higgs). All previously defined nondeletion α -thalassemia mutations are at the $\alpha 2$ locus or on single α -globin gene chromosomes. This asymmetry in the distribution of α -globin gene nondeletion defects cannot be attributed to unequal mutation rates of two α -globin genes since nonthalassemic α -globin structural mutations are equally distributed between these two loci (46). Instead this distribution probably reflects an ascertainment bias due to the greater impact of $\alpha 2$ mutations upon α -globin synthesis (46). It is therefore entirely reasonable to assume that an equal number of thalassemia mutations of the $\alpha 1$ locus exist but remain phenotypically silent due to the minimal loss of the total α -globin synthetic capacity. It is only when the $\alpha 1$ mutation is associated with other α -thalassemic mutations in the genome that the small incremental loss of $\alpha 1$ -globin gene function can be appreciated.

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