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### Research Article

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## Low Doses of Interferon Alpha Result in More Effective Clinical Natural Killer Cell Activation

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#### Abstract

To define critical parameters concerning interferon (IFN) effects upon natural killer (NK) cells in vivo, we gave cancer patients serial weekly intramuscular injections of purified lymphoblastoid IFN in six doses ranging from  $10^5$  to  $3 \times 10^7$  U. Dose sequences were determined by randomly allocating patients to one of six levels in a latin square ordering scheme. NK cell stimulation, a threefold peak increase above preinjection levels of cytolysis (P = 0.022), occurred in peripheral mononuclear cells (PMC) sampled 24 h postinjection, of  $3 \times 10^6$  U, but was not detectable at any dose in PMC sampled 7 d postinjection. No blunting occurred in NK cell responsiveness to repeated injection of IFN dosages a second time at or several weeks after study completion. At IFN doses of  $3 \times 10^6$ ,  $10^7$ , and  $3 \times 10^7$  U, a negative correlation existed between the amount of IFN injected and the average extent of NK cell activation (r -0.423, P < 0.05). This contrasted with the progressively increasing response of NK cells to in vitro incubation with increasing concentration of up to 3,000 U/ml of IFN. Overnight culturing of PMC sampled before IFN injections resulted in a mean 1.9-fold increase in cytolytic activity (P = 0.0005) and a mean 53% decrease in variance (P = 0.024) between serial preinjection NK cell activity determinations. Cell separation procedures may, therefore, have resulted in NK cell inactivation, from which overnight culturing permitted recovery. We found that maximal NK cell activation at a low IFN dose, decreasing NK cell responsiveness at higher doses, and the need to culture PMC to efficiently detect NK cell boosting may account for disparaties in reported effects of IFN on NK cell function.

#### Introduction

The natural killer  $(NK)^i$  cell system appears to be an immunological mechanism by which some tumor cells, virus-infected cells, and certain stem cells arising normally in bone marrow and thymus may be detected and eliminated in vivo (1, 2). Recent evidence suggests an additional immunoregulatory role of NK cells in termination of conventional antibody responses (3, 4) In in vitro systems, human NK cell cytolytic activity is

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J. Clin. Invest.

consistently up-regulated in the presence of interferon (IFN). However, there is conflicting evidence regarding the NK cellactivating effects of clinically administered IFN. Many studies document significant IFN-induced increases in NK cell activity (5-11), whereas others report absence of an IFN effect (9, 12) or occasional IFN-mediated depression of cytolytic activity (12, 13).

Complexities of NK cell response regulation or kinetics in vivo may underlie these apparent discrepancies. For example, a single IFN injection may result in decreased NK cell activity several hours postinjection but lead to elevated levels of NK cell activity 24–48 h later (5, 7). On the other hand, NK cells may be initially activated, but become progressively less responsive to IFN after repeated daily injections over a course of several weeks (7, 8). In addition, there exists in individuals a normal degree of variation over time in basal unstimulated levels of NK cell activity that must be taken into account when assessing immunomodulatory effects of an IFN regimen (11, 12, 14).

Although a substantial body of evidence exists that NK cells inhibit tumor growth in vivo in animals, evidence that they are involved in tumor immunity in humans is thus far indirect and somewhat less consistent (1, 2). The use of biological response-modifying drugs such as IFN in controlled clinical trials under conditions in which NK cell responses are known to be optimally modulated may provide a means of clearly defining the importance of NK cells in control of human tumor growth. This study was, therefore, undertaken to define an IFN dose that would result in optimal NK cell activation. Cancer patients were given a series of sequential injections of different IFN dosages, the specific order of which was varied from one patient to the next according to a latin square experimental design. We assessed the effects of culturing mononuclear cells in vitro overnight before performance of NK cell assays with respect to variance in serial preinjection NK cell activity determinations and detectability of NK cell immunomodulation resulting from IFN.

#### Methods

*IFN.* Human lymphoblastoid IFN was provided by the Burroughs Wellcome & Co., Greenville, NC, through the Biological Response Modifiers Program of the National Cancer Institute. This preparation constituted 75-85% IFN protein representing eight distinct species of IFN- $\alpha$  as determined by polyacrylamide gel electrophoresis (15). Specific antiviral activity was  $1.0-1.7 \times 10^8$  U/mg protein. All antiviral units were expressed by comparison with the international standard for leukocyte IFN (G023-901-527).

Study design. Seven patients with metastatic malignancy (two, renal carcinoma; one each, breast; ovarian; head and neck carcinoma; malignant hemangiopericytoma; and non-Hodgkin's lymphoma, stage IV) were given intramuscular injections of  $10^5$ ,  $3 \times 10^5$ ,  $10^6$ ,  $3 \times 10^6$ ,  $10^7$ , and  $3 \times 10^7$  U of lymphoblastoid IFN. Sequential injections were separated by a washout period of at least 7 d. Six different predetermined

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<sup>1.</sup> Abbreviations used in this paper: IFN, interferon; LU, lytic units; NK, natural killer; PMC, peripheral blood mononuclear cells; TCM, tissue culture medium.

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dose sequences were randomly assigned to patients. The designation of sequences was based upon a  $6 \times 6$  latin square design (16), such that each dose was preceded and followed by each of the other doses at least once (Table I). In this manner, any specified dose was administered as the first injection in one patient, the second injection in another patient, the third injection in yet another patient, and so on. Thus, at each weekly injection during the first 6 wk of the study, six patients received six different doses. A seventh patient received the same sequence of injections as one of the others. In the 7th week of the study, each patient was given the same IFN dose received the previous week. Four patients received one additional injection of 10<sup>6</sup> U at a time point 3 wk or more after completion of the 7-wk study.

Detailed clinical findings in this study are to be published separately (Edwards, B. S., J. A. Merritt, R. C. Fuhlbrigge, and E. C. Borden, manuscript in preparation).

Cell preparations. As previously described (11), peripheral blood mononuclear cells (PMC) were obtained from patients just before and 24 h after each IFN injection, separated on Ficoll-Hypaque gradients, and washed three times to remove IFN that might have been carried over in serum. PMC were tested for NK cell activity immediately thereafter. In addition,  $5-10 \times 10^6$  PMC were added to 25 cm<sup>2</sup> upright plastic tissue culture flasks (Corning Glass Works, Corning Science Products, Corning, NY) as a suspension in 2 ml of tissue culture medium (TCM): RPMI 1640 (Gibco Laboratories, Grand Island, NY) containing 24 mM Hepes buffer, 0.01 mg/ml gentamicin, and 10% fetal calf serum (HyClone Laboratories, Sterile Systems, Inc., Logan, UT). After 18-20 h incubation at 37°C under 5% CO<sub>2</sub>, these PMC were washed two times with TCM and tested for NK cell activity. To assess in vitro IFN effects, IFN was added at various concentrations to PMC suspensions for the duration of the 18-20-h incubation.

The single cell complement fixation method of Perussia et al. (17) was used to determine the phenotype of active NK cells (i.e., lymphocytes bound to dead K562 target cells) resident in overnight-precultured cell preparations. We determined them to be  $OKT3^-$ , 40-50%  $OKT8^+$ , 60-80%  $OKM1^+$ , and 70-80%  $OKT11^+$ . The NK cell-specific monoclonal antibody B73.1 plus complement has been reported to completely eliminate NK cell activity in both freshly prepared cells and cells incubated overnight with IFN- $\alpha$  (18). Thus, by these criteria, overnight-cultured NK cells were phenotypically similar to fresh NK cells.

K562 target cells were routinely screened for mycoplasma contamination by the Wisconsin State Public Health Laboratories, Madison, WI, using the method of Hayflick (19) and were consistently negative.

Chromium-release assay. Standard <sup>51</sup>Cr release assays were performed as previously described (20) in a total volume of 200  $\mu$ l/well using 96-well round bottom microplates (Linbro Chemical Co., Hamden, CT). Briefly, K562 target cells were labeled for 2 h at 37°C with 100– 200  $\mu$ Ci Na<sup>51</sup>CrO<sub>4</sub> (New England Nuclear, Boston, MA), washed twice, and 2 × 10<sup>3</sup> cells suspended in TCM were added to each well. Graded

 Table I. Sequence of IFN Dosages Given to Individual Patients

concentrations of PMC were added to wells in quadruplicate and incubated 6 h at 37°C in 5% CO<sub>2</sub>, after which supernatants were harvested, using a Titertek supernatant collection system (Flow Laboratories, Inc., Flow General Inc., McLean, VA), and counted in a gamma counter. Percent specific <sup>51</sup>Cr release mediated by NK cells was calculated as follows: (cpm test well release -S)/(M - S) × 100, in which S is counts per minute spontaneously released and M is maximum counts per minute released by target cells incubated with detergent. Results at six different effector/target ratios were routinely assessed (3.125:1, 6.25:1, 12.5:1, 25:1, 50:1, and 100:1).

A modification of the exponential fit equation of Pross et al. (21), which was used to calculate lytic units (LU), is as follows: cpm test well release =  $M(1 - e^{-k(E/T)}) + S$ , in which E is the number of effector cells, T the number of target cells (2,000/well), and M and S are as above. The cytotoxicity constant k describes the relationship between the number of effector cells present and the number of target cells lysed and is proportionally related to the number of LU present in an effector cell preparation. One LU was defined as the number of lymphocytes required to result in 30% specific <sup>51</sup>Cr release. Consistent with findings of others using this type of curve-fitting methodology (21, 22), the ratio of LU values calculated for any two fitted cytotoxicity curves was the same regardless of the LU definition.

Statistical analysis. LU determinations were approximately lognormal in distribution. Therefore, logarithms were taken of LU values before performance of statistical evaluations. Paired comparisons were performed using a two-tailed paired t test. For correlation analysis of IFN dosage effects, NK cell responses to IFN treatment were quantitated as the difference:  $log_{10}$  LU in cells sampled 24 h postinjection of IFN minus  $log_{10}$  LU in cells sampled just before injection. Correlation determinations were made using Spearman's product-moment correlation coefficient.

#### Results

Dose-response relationship. Patients received six different doses of IFN in an order predetermined by the level of a latin square dosing regimen to which each patient was randomized (Table I). As in a previous study (11), mononuclear cells were sampled just before and 24 h after each injection, washed extensively to remove any IFN that might have been present in the patient's serum, cultured overnight at 37°C, and assayed the following day for the presence of NK cell activity. Peak NK cell activation, corresponding to a mean threefold increase above preinjection levels of NK cell activity, resulted from injection of  $3 \times 10^6$  U (P = 0.022, Table II). A reciprocal dose-response relationship occurred at higher dosages, such

Patient	IFN dosage injected								
	First week	Second week	Third week	Fourth week	Fifth week	Sixth week	Seventh week		
	U	U	U	U	U	U	U		
A	105	10 <sup>6</sup>	$3 \times 10^{5}$	10 <sup>7</sup>	$3 \times 10^{7}$	$3  imes 10^{6}$	$3  imes 10^{6}$		
В	10 <sup>6</sup>	107	$3 \times 10^{6}$	10 <sup>5</sup>	$3 \times 10^{5}$	$3 \times 10^7$	$3 \times 10^{7}$		
С	$3 \times 10^{5}$	$3  imes 10^{6}$	106	$3 \times 10^7$	10 <sup>5</sup>	10 <sup>7</sup>	107		
D	$3 \times 10^7$	$3 \times 10^{5}$	10 <sup>5</sup>	$3  imes 10^{6}$	107	106	106		
Е	107	10 <sup>5</sup>	$3 \times 10^7$	106	$3  imes 10^{6}$	$3 \times 10^{5}$	$3 \times 10^{5}$		
F	$3 \times 10^{6}$	$3 \times 10^7$	107	$3 \times 10^{5}$	106	10 <sup>5</sup>	10 <sup>5</sup>		
G	$3 \times 10^{6}$	$3 \times 10^7$	10 <sup>7</sup>	$3 \times 10^{5}$	ND*	ND	ND		

\* ND, not done.

Patient	Sample time	NK cell activity (LU/10 <sup>7</sup> cells)						
		10 <sup>5</sup> *	3 × 10 <sup>5</sup> *	10 <sup>6</sup> *	3 × 10 <sup>6</sup> *	10 <sup>7</sup> *	3 × 10 <sup>7</sup> *	
		U	U	U	U	U	U	
A	Pre-IFN	18.3	29.6	18.5 (21.8)	22.9 (36.1)	23.6	29.9	
	24 h Post-IFN	38.8	13.3	78.2 (34.4)	176.4 (113.6)	23.3	27.9	
В	Pre-IFN	68.1	170.3	54.4	24.2	73.2	67.5 (61.5)	
	24 h Post-IFN	26.5	61.8	61.7	65.1	53.3	105.1 (33.9)	
С	Pre-IFN	145.8	92.6	242.2 (243.1)	364.6	178.1 (116.7)	143.2	
	24 h Post-IFN	286.0	154.4	142.8 (722.6)	284.0	117.2 (133.3)	163.3	
D	Pre-IFN	74.6	78.3	63.2 (60.6)	78.6	31.5	54.0	
	24 h Post-IFN	117.9	42.8	161.8 (122.4)	56.8	433.3	7.5	
E	Pre-IFN	103.3	96.9 (66.7)	219.9 (117.8)	76.9	92.5	74.6	
	24 h Post-IFN	114.6	248.8 (103.3)	94.7 (337.8)	479.4	98.5	190.8	
F	Pre-IFN	32.4 (31.1)‡	17.6	36.3 (20.4)	16.4	27.4	115.0	
	24 h Post-IFN	53.6 (18.3)	32.9	15.7 (98.8)	32.2	42.2	107.9	
G	Pre-IFN	ND§	24.0	ND	17.2	23.1	18.2	
	24 h Post-IFN	ND	28.9	ND	229.7	71.5	63.3	
Geometric	Pre-IFN	54.5	56.2	65.5	43.4	53.5	59.2	
means	24 h Post-IFN	63.0	58.2	105.0	128.5	84.1	59.6	

Table II. Dose-Response Relationship of NK Cell Activation by Intramuscular IFN Injections

\* IFN dose. ‡ Numbers in parentheses represent cytolysis by NK cells sampled before and after IFN injections given during week 7 or 3 or more weeks later. § ND, not done.

that a mean 1.6-fold increase resulted from  $10^7$  U and a mean increase of only 1.01-fold from  $3 \times 10^7$  U (Fig. 1). When responses obtained in all patients to injection of  $3 \times 10^6$ ,  $10^7$ , and  $3 \times 10^7$  U were examined together, there existed a significant negative correlation between the IFN dosage administered and the extent of change in NK cell activity that resulted (r = -0.423, P < 0.05). The greatest relative increase above preinjection levels of NK cell activity resulted from  $10^6$  U in two patients (C and F),  $3 \times 10^6$  U in four patients (A, B, E, and G), and  $10^7$  U in one patient (D).

Analysis of carry-over effects of multiple IFN injections. Multiple daily IFN injections given over a course of several weeks result in a progressive blunting of the capacity of NK cells to be activated by IFN (7, 8). The latin square design for administering IFN dosages was specifically used to minimize effects of such blunting, if it occurred, upon the analysis of IFN dosage effects. However, it was of interest to determine if impairment in stimulation resulted from multiple weekly IFN



Figure 1. Dose response of acute stimulatory effects of intramuscular injection of IFN- $\alpha$  upon NK cell activity. NK cell activation was quantified as the ratio of LU in PMC sampled 24 h postinjection to LU in cells sampled just before each injection (±SEM). One LU was defined as the number of effector cells required to result in lysis of 30% of K562 target cells. injections. Six patients (A–F) received the same dose in week 7 as in week 6. Four patients (A, C, E, and F) received one additional injection of  $10^6$  U 3 wk or more after completion of the 7-wk study. NK cell activity increased from a mean of 69.6 to 97.5 LU/10<sup>7</sup> cells after the first injection and from 58.7 to 99.8 LU/10<sup>7</sup> cells after the second injection. In 6 of these 10 paired equal dose-response assessments, NK cell activity resulting from the second injection was lower than that resulting from the first injection, whereas the opposite relationship occurred in the remaining 4 comparisons (Table II). Therefore, no general trend toward diminished NK cell responsiveness to repeated weekly IFN injections occurred within the time period examined.

A degree of variability existed in preinjection NK cell activity from one week to the next in a number of patients. To determine if this variability reflected carry-over effects from previous IFN injections, comparisons were made between levels of NK cell activity present just before injection of a specified dosage and 7 d later just before the next injection. Consistent with results of a similar analysis made in a separate study (23), no extended activating effects of single IFN injections at any of the tested dosages were detectable by this method of analysis (Table III). Variability in preinjection NK cell activity may, therefore, have reflected normal day to day differences in basal cytolytic potential (11). It was noteworthy that weekly preinjection levels of NK cell activity varied to a remarkably small extent in two patients (A and G) in both of whom 3  $\times 10^6$  U resulted in maximal boosting (Table II).

Effects of culturing cells upon the analysis of NK cell potentiation. To determine what effect, if any, overnight culture

Sample time	NK cell activity (LU/10 <sup>7</sup> cells)*							
	10 <sup>5</sup> ‡	$3 \times 10^{5}$ ‡	106‡	3 × 10 <sup>6</sup> ‡	107‡	3 × 10 <sup>7</sup>		
Pre-IFN	59.7	63.0	70.5	44.5	47.8	58.8		
7d Post-IFN	68.4	68.7	60.0	62.7	42.1	65.4		

Table III. Evaluation of Residual IFN Effects at the End of the Washout Period

\* Geometric means over all patients tested at the indicated dosage. # IFN dose.

of mononuclear cells in vitro might have had in the analysis of NK cell potentiation, cells were also tested for the presence of cytolytic activity just after their separation on Ficoll-Hypaque gradients. NK cell activity in cells sampled before IFN injections was higher when it was assayed after culture of the cells overnight at 37°C as compared with when it was assayed just after cell separation (Table IV). The average increase in cytolysis was ~1.9-fold (P = 0.0005) and ranged between 1.4and 2.6-fold in individual patients. The estimated variance of serial weekly preinjection NK cell activity determinations decreased in six of the seven patients as a result of culturing cells before assay (Table IV). The mean decrease in variance was 52.5% (P = 0.024).

When effects of IFN injections were assessed using freshly prepared cells, results sharply contrasted with those obtained in parallel experiments using overnight precultured cells. No mean augmenting effects of IFN treatment upon NK cell activity were detectable at any IFN doses (Table V). Thus, overnight culturing of mononuclear cells was of critical importance in NK cell expression of IFN-mediated effects.

In vitro dose-response relationship. When incubated in vitro with mononuclear cells, the same IFN preparation used in treatment of patients resulted in NK cell activation that approached a plateau of 300 U of IFN (Fig. 2). A 10-fold greater IFN concentration, in excess of serum IFN concentrations measured 8 h after injections of  $3 \times 10^6$  U (range = 270-

1,000 U/ml; Merritt, J. A., L. A. Ball, K. M. Sielaff, D. M. Meltzer, and E. C. Borden, manuscript in preparation), resulted in a slight further increment in cytolytic activity. This asymptotic increase in NK cell activity that resulted from in vitro treatment with high concentrations of IFN was in distinct contrast to the reciprocal dose response of NK cells to injection of high doses.

#### Discussion

Maximal stimulation of NK cell activity, approximating an average threefold increase above preinjection background levels of cytolytic activity, resulted from single intramuscular injections of  $3 \times 10^6$  U of IFN. At a 10-fold greater dosage, although boosting appeared to occur in some individuals (patients E and G in Table II), the mean IFN effect over all patients was nil. A latin square dose sequencing schedule was used to minimize the probability that differences in NK cell activation could be attributed to experimental variables unrelated to IFN dosage differences. Moreover, retrospective analysis revealed no evidence for interinjection carry-over effects, the putative existence of which stimulated our use of the latin square dosing approach. NK cell activation measurable 24 h after injection of  $3 \times 10^6$  U was no longer detectable 7 d postinjection (Table III). No detectable "exhaustion" of NK cell responsiveness to IFN occurred in patients as a result of their receiving multiple weekly IFN injections over the 7-wk

Patient	n	NK cell activity (LU/10 <sup>7</sup> cells)*		Variance‡			
		Fresh	Cultured	Fresh	Cultured	Decrease	
						%	
A	7	12.4	24.8	0.0853	0.0123	85.5	
В	7	25.3	64.8	0.0445	0.0615	-38.1	
С	7	107.2	166.3	0.1211	0.0400	67.0	
D	7	35.0	60.5	0.0870	0.0196	77.5	
Ξ	7	61.5	96.6	0.0610	0.0296	51.5	
F	7	22.9	31.8	0.1069	0.0778	27.2	
G	4	8.1	20.4	0.1624	0.0053	96.7	
Means		28.0	51.8			52.5	
			(P = 0.0005)§			(P = 0.024)	

Table IV. Effects on Serial Preinjection NK Cell Activity Determinations of Culturing Mononuclear Cells

\* Mononuclear cells were sampled just before each IFN injection and assayed in <sup>51</sup>Cr release assays either immediately after cell separation (fresh) or after overnight preincubation at 37°C (cultured). Represented are geometric means for all preinjection NK cell activity determinations made in each patient.  $\ddagger$  The variance between serial preinjection NK cell activity determinations was calculated using log<sub>10</sub>-transformed LU values: % decrease = [1 - (variance in cultured cells/variance in fresh cells)] × 100. § P value pertains to comparison of LU in cultured cells with LU in fresh cells based upon paired two-tailed t test analysis of log<sub>10</sub>-transformed LU data. <math>||P| value pertains to the probability that the null hypothesis, % decrease = 0, is true.

Patient		NK cell activity (LU/10 <sup>7</sup> cells)						
	Sample time	10 <sup>5</sup> *	3 × 10 <sup>5</sup> *	10 <sup>6</sup> *	3 × 10 <sup>6</sup> *	107*	$3 \times 10^{7*}$	
		U	U	U	U	U	U	
A	Pre-IFN	30.6	12.4	9.0	29.9 (10.7)	5.0	8.2	
	24 h Post-IFN	20.1	10.8	13.3	20.4 (34.2)	12.6	24.0	
В	Pre-IFN	15.4	19.2	34.3	44.0	17.9	17.1 (48.6)	
	24 h Post-IFN	16.0	29.0	34.4	27.4	14.0	25.7 (19.3)	
с	Pre-IFN	55.0	76.7	166.9	165.7	241.1 (205.8)	27.8	
	24 h Post-IFN	49.4	42.2	132.7	32.2	54.6 (23.1)	71.8	
D	Pre-IFN	19.2	31.0	40.3 (104.0)	36.9	54.0	12.9	
	24 h Post-IFN	15.0	12.4	27.5 (40.4)	57.5	21.1	10.6	
Е	Pre-IFN	88.1	40.6 (115.3)	59.0	119.3	27.6	41.5	
	24 h Post-IFN	84.7	51.7 (57.9)	61.9	26.7	33.8	61.5	
F	Pre-IFN	30.8 (18.3)‡	33.9	49.3	5.4	16.1	39.6	
	24 h Post-IFN	15.0 (64.2)	34.0	42.6	15.7	15.3	12.6	
G	Pre-IFN	ND§	24.4	ND	3.3	12.1	4.3	
	24 h Post-IFN	ND	32.8	ND	24.6	77.9	18.9	
Geometric	Pre-IFN	30.5	34.9	48.4	25.6	32.7	19.1	
means	24 h Post-IFN	29.5	29.3	40.5	27.9	25.7	24.5	

Table V. Lack of Detectable IFN Activation in Mononuclear Cells Tested Just After Separation

\* IFN dose. ‡ Numbers in parentheses represent cytolysis by NK cells sampled before and after IFN injections given during week 7. § ND, not done.

period encompassed by the study (Table II). Thus, the progressive decrease in average NK cell boosting appeared to be primarily attributable to a reciprocal dose response at IFN dosages exceeding  $3 \times 10^6$  U. This inverse dose relationship confirmed and extended results obtained in a separate clinical study involving two purified recombinant species of IFN- $\alpha$ , in which only two doses were comparatively evaluated (23).

In a previous study a significant correlation existed between activation of a patient's NK cells by an optimal IFN concentration in vitro and activation measurable in NK cells from the same patient sampled 24 h postinjection of relatively low IFN dosages (11). A clear discrepancy between results of in vitro and in vivo IFN treatment occurred at higher IFN dosages in the present study. Thus, NK cell responsiveness to IFN in vitro may only be predictive of NK cell-activating effects of low IFN dosages in vivo. The mechanism of the suppressed response to higher doses of IFN in vivo remains to be determined.



Figure 2. Dose response of NK cells to in vitro lymphoblastoid IFN treatment. PMC from a healthy individual were incubated 18 h at 37°C with indicated concentrations of IFN, washed, and tested for NK cell activity in a 2-h  $^{51}$ Cr release assay. Represented are LU/10<sup>7</sup> cells±SEM, as determined by exponential curve fitting of  $^{51}$ Cr release data from six different effector/target ratios (see Methods). Similar results were obtained in two additional experiments.

Using mononuclear cells precultured overnight before assay in this and previous studies (11, 23), enhancing effects upon NK cell cytolytic activity occurred which could only be attributed to prior exposure of NK cells to IFN in vivo. However, NK cell activation was undetectable when the period of cell culture intervening between cell separation and performance of cytotoxicity assays was omitted (Table V). NK cells, exposed to IFN in vivo, may possibly fail to acquire or express an activated state until removed from the in vivo environment. An alternative explanation might be that cell separation procedures result in a degree of NK cell inactivation from which overnight culturing permits recovery. In accord with this interpretation were our findings that the increase in NK cell activity that resulted from overnight culture was accompanied by a significant reduction in interassay variance between serial weekly preinjection NK cell activity determinations (Table IV). Also favoring this interpretation were previous findings that Ficoll-Hypaque enhanced Fc receptor-dependent binding of serum IgG to cells during separation (24) and that such binding transiently inhibited NK cell activity (25). This effect of cell culture may account for some of the discrepancies in results of in vivo IFN treatment reported by other laboratories (12)

The relationship between NK cell activation and antitumor response in humans remains to be determined. It may be relevant to our findings that Sherwin et al. (26) recently reported that  $5 \times 10^7$  U doses of recombinant IFN- $\alpha$  given to breast cancer patients in a phase II clinical trial resulted in no significant antitumor effects. In contrast, in a previous multiinstitutional trial in which breast cancer patients were given 3 × 10<sup>6</sup> U doses of native Cantell IFN- $\alpha$  (Finnish National Red Cross), significant tumor responses occurred in 20% of the patients (8). Although the IFN dose was only one parameter by which these two clinical trials differed, the difference in dosage should be considered as a potentially important factor. A clinical trial to examine the importance of IFN immunomodulatory effects should include use of lower IFN doses and would likely be most meaningful in patients with minimal tumor burdens. In such patients, immunomodulation may be of greatest potential efficacy.

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